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# Introduction

The University of Kentucky publishes The Annual Safety and Security Report in compliance with the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act). This report, completed and distributed each year by October 1<sup>st</sup>, is designed to disclose to the campus community the procedures, practices, and programs the University of Kentucky uses to ensure student and employee safety and facility security. This report also serves to meet the requirements of the Commonwealth of Kentucky Michael Minger Life Safety Act (Minger Act).

The report includes statistics for the previous three calendar years concerning reported crimes (arrests and citations) and referrals as specified by the Clery Act. Statistics provided are for those having occurred on Clery defined geography (on campus, on non-campus property that is owned/controlled by the University and frequented by students, and on public property within or immediately adjacent/accessible to campus). Recorded statistics may be reported by the University of Kentucky Police Department, other law enforcement agencies, and campus security authorities (CSAs) as defined by the Clery Act and designated by the University.

This report includes information for the University of Kentucky Main Campus and ten separate campuses: Little Research Center, North Farm, South Farm, Robinson Forest, West Kentucky Research and Education Center, Paducah Campus, Hazard Campus, Bowling Green Campus - College of Medicine, Northern Kentucky Campus - College of Medicine (Highland Heights), and Northern Kentucky Campus - College of Medicine (Edgewood). Policies and procedures outlined in this document apply to all University of Kentucky campuses, unless otherwise specified.

To view the Annual Safety and Security Report, visit <a href="https://www.uky.edu/cmp/annual-safety-security-reports">https://www.uky.edu/cmp/annual-safety-security-reports</a>. Click on the year you wish to view. To obtain a printed copy of the Annual Safety and Security Report, please contact the Division of Crisis Management and Preparedness at (859) 257-9665 or email <a href="https://www.uky.edu/crimelog/">CMP@uky.edu</a>. The crime log is available online at <a href="https://www.uky.edu/crimelog/">https://www.uky.edu/crimelog/</a>. The crime log may be filtered by the crime category and/or report date.

We encourage our campus community to review this report and reference back to it as needed. If you should have any questions, contact Crisis Management and Preparedness at (859) 257-9665 or CMP@uky.edu.

# Division of Crisis Management and Preparedness

The University of Kentucky (University) established the Office of Emergency Management (OEM) in August 2004. In November 2011, OEM was placed under the command of the University of Kentucky Police Department (UKPD) and renamed the Division of Crisis Management and Preparedness (CMP).

**Vision Statement:** The Division of Crisis Management and Preparedness will strive to provide a disaster-resilient University community.

**Mission Statement:** The mission of the CMP is to coordinate and facilitate effective campus disaster preparedness, mitigation, response, and recovery activities to minimize the impacts of emergencies on the campus community, facilities, and environment. The primary functions of CMP include:

- Mitigation and Preparedness Plan Development
- Managing the University's Emergency Notification System, UK Alert
- Response and Recovery Coordination
- Managing the Emergency Operations Center
- Training and Exercise Development
- Campus Security and Crime Statistics Reporting
- 911 Addressing

# Annual Fire Safety Report

Each year the University Fire Marshal prepares the Annual Fire Safety Report in compliance with the requirements of the Commonwealth of Kentucky Michael Minger Life Safety Act and as part of the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (Clery Act). This report includes statistics for the three previous calendar years concerning fires or threats of fire in resident halls, Greek housing, graduate housing, or at any facility designated as a place of residence for students. Statistics provided are for those having occurred on Clery defined geography. The report includes annual fire statistics; a description of fire safety systems; yearly fire drills; policies on portable electrical appliances, furniture, decorations, bicycles/motorized scooters, open flames, evacuation procedures, policies on fire safety education and training; and contact information. This report includes information for the University of Kentucky Main Campus and nine separate campuses: Little Research Center, North Farm, South Farm, Robinson Forest, West Kentucky Research and Education Center, Paducah Campus, Hazard Campus, Bowling Green Campus - College of Medicine, and Northern Kentucky Campus - College of Medicine. Policies and procedures outlined in this document apply to all University of Kentucky campuses, unless otherwise specified.

To view or to obtain a copy of the University of Kentucky Annual Campus Fire Report visit the University Fire Marshal website at

https://ehs.uky.edu/docs/pdf/fs annual fire safety report 2022 01.pdf or contact the University Fire Marshal at (859) 257-6326.

# Campus Security Act Legal Requirements

**Federal Law:** In 1990, Congress enacted the Crime Awareness and Campus Security Act of 1990, which amended the Higher Education Act of 1965 (HEA). This act required all post-secondary institutions participating in HEA's Title IV student financial assistance programs to disclose campus crime statistics and security information. The act was amended in 1992, 1998, 2000, and 2008. The 1998 amendments renamed the law the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act in memory of a student who was slain in her dorm room in 1986. It is generally referred to as the Clery Act.

The Clery Act contains specific campus safety and security-related requirements. Every institution must do the following:

- Collect, classify, and count crime reports and crime statistics
- Issue campus warnings and notifications
- Provide educational programs and campaigns
- Disclose procedures for institutional disciplinary action in cases of dating violence, domestic violence, sexual assault, and stalking
- Publish an annual security report
- Submit crime and fire statistics to the US Department of Education
- Maintain a daily crime log of alleged criminal incidents if the institution maintains a campus police or security department
- Disclose missing student notification procedures and fire safety information if the institution maintains on-campus student housing facilities

For more information, see the Clery Act Appendix for FSA Handbook (October 2020) available at <a href="https://www2.ed.gov/admins/lead/safety/campus.html#handbook">https://www2.ed.gov/admins/lead/safety/campus.html#handbook</a>.

**State Law:** The Michael Minger Life Safety Act (Minger Act) requires institutions of higher education in Kentucky to report campus crimes to students and employees. Additionally, the Minger Act requires institutions to maintain a daily crime log, to report a fire or threat of fire to the State Fire Marshal immediately, and to issue special reports when there is an ongoing threat to the safety of students and employees. Institutions must submit an annual report of campus safety policies, programs, and statistics to the Kentucky Council on Postsecondary Education (CPE).

**Compliance:** The University designated CMP as the compliance office for ensuring that the designated Campus Security Authorities (CSAs) and the University meet the requirements of the Clery and Minger Acts. CMP is also responsible for issuing the annual reports and for coordinating the release of all Campus Crime Bulletins (timely warnings). This designation is in University Administrative Regulation (AR) 6:7 titled *Policy on Disclosure of Campus Security and Crime Statistics*. The full text is available at:

https://www.uky.edu/regs/sites/www.uky.edu.regs/files/files/ar/AR%206-7%20Final%202015-03-18.pdf.

# Campus Law Enforcement Policy

UKPD is responsible for a full range of services including crime reports, investigations, medical emergencies, fire emergencies, traffic collisions, enforcement of state laws, and all other incidents requiring police assistance. UKPD received its accreditation through the Kentucky Association of Chiefs of Police (KACP). UKPD emphasizes the values of professionalism, integrity, respect, customer service, responsibility, and accountability with all employees in order to strive for excellence and continuous improvement.

#### **Mission Statement**

UKPD's mission is to promote a safe and secure campus environment for students, employees, and visitors. UKPD will provide quality police services ethically, fairly, and equally in partnership with members of the community.

#### **Vision Statement**

UKPD is committed to achieving the highest standards of professional ethics, performance, and excellence. UKPD is dedicated to the principles of community policing and to becoming one of the most progressive, innovative, and professional police departments in the nation.

#### Accreditation

UKPD was first awarded accreditation by the Kentucky Association of Chiefs of Police in January 1996 and received its latest re-accreditation in 2020. The accreditation program gives UKPD the tools necessary to ensure that all policies and procedures conform to the most up-to-date professional law enforcement standards and best practices. State accreditation through the Kentucky Association of Chiefs of Police is not mandatory. Participation demonstrates UKPD's commitment to professional excellence to the University community. The overall goal of the accreditation program is to promote professionalism and improve the delivery of law enforcement services. Each accredited law enforcement agency must be re-evaluated every 5 years.

# **Enforcement Authority**

University police officers receive their law enforcement authority through the provisions of Kentucky Revised Statutes §164.950 – 164.980. University police officers have law enforcement authority and jurisdiction on property owned or controlled by the University and on streets that run through or adjacent to campus. They are empowered to conduct University-related criminal investigations anywhere within the Commonwealth of Kentucky, have the authority to carry firearms, and make arrests. If a University student commits offenses involving University rules and regulations, UKPD may also refer the student to the Dean of Students Office.

UK HealthCare Security is a division of UKPD. UK HealthCare Security Officers are non-sworn, trained security officers who provide support to the HealthCare facilities and special events as requested. UK HealthCare Security Officers report all crimes to UKPD and are CSAs.

# Working Relationship with Federal, State, and Local Police Agencies

UKPD maintains a close working relationship with local, state, and federal law enforcement agencies. Officers meet with their counterparts from Lexington Police Department (LPD); Kentucky State Police (KSP); Federal Bureau of Investigation (FBI); U.S. Postal Inspectors; Bureau of Alcohol, Tobacco, Firearms, and Explosives (ATF); and the Secret Service regarding common crime problems and criminal activity on and near campus. UKPD participates in several multidisciplinary response groups to provide quality police services for the community. UKPD participates in monthly or quarterly meetings for Sexual Assault Response Team (SART), Crisis Intervention Team (CIT) State Board, Child Multidisciplinary (MDT), Fayette County Strangulation Task Force, and Domestic and Sexual Violence Prevention Coalition.

Additionally, UKPD has Memoranda of Agreement with the following Police Departments: Department of Veterans Affairs; Blue Grass Airport Police; the Cities of Versailles, Winchester, Richmond, Nicholasville, Georgetown, Frankfort, and Danville; and KSP. UKPD also has a Memorandum of Understanding with the Fayette County Sherriff's Office and the Federal Medical Center/Federal Bureau of Prisons (Security). These documents cover a variety of actions and services. For full details on each, you may contact UKPD at (859) 257-8573.

UKPD has entered into an inter-jurisdictional agreement with eighty-nine (89) other police agencies across Kentucky to allow for structured criminal investigations across jurisdictional boundaries. The Bluegrass and Central Kentucky Unified Police Protection System (BACKUPPS) establishes policies and protocols to allow UKPD to operate effectively in partner agency jurisdictions and likewise to those partner agencies, during non-routine circumstances only.

UKPD participates in monthly Regional Crime Information Exchange (RCIE) and Regional Organized Crime Information Center (ROCIC) meetings with other law enforcement agencies from Kentucky. Participating agencies routinely exchange crime-related reports and statistics. UKPD officers are also active members of the International Association of Campus Law

Enforcement Administrators (IACLEA) and the Kentucky Association of University Law Enforcement Administrators (KAULEA). UKPD representatives also attend quarterly Kentucky ProTECT meetings, sponsored by the Secret Service, to discuss computer-related financial and identity crimes.

# **UKPD Training**

In addition to the required basic police academy training, each officer completes at a minimum forty (40) hours of annual in-service training, as well as additional in-house and specialized training in and out of state. The UKPD Operations Captain and a designee ensure all law enforcement training requirements are met and up to date throughout the calendar year. Police training representatives also seek out unique training opportunities that could potentially benefit the UKPD and the University community.

# Law Enforcement and Security at Separate Campuses

UKPD responds for service at Main Campus, North Farm, and South Farm Campuses. Local law enforcement responds to calls for service at all other separate campuses, except for Northern Kentucky Campus - College of Medicine (Highland Heights), which is served by the resident university police department at Northern Kentucky University. Paducah Campus, Hazard Campus, and Bowling Green Campus are also serviced by contract security. Security quards are tasked with ensuring a safe environment for all as well as checking facilities to determine security during non-business hours. Local/resident law enforcement agencies have dispatchers available twenty-four (24) hours a day, seven (7) days a week to answer all emergency calls placed through the 911 system. Officers will be dispatched to address calls and reported crimes will be documented/investigated as appropriate. Should the situation warrant, the local/resident law enforcement agency may reach out to UKPD to provide notification and/or for additional assistance. CMP will request crime statistics that fall on identified Clery geography on an annual basis from these law enforcement agencies for inclusion in the Annual Campus Safety and Security Report. Reports involving University of Kentucky students may be referred to the Office of Student Conduct where appropriate. In the event of a Violence Against Women Act (VAWA) crime (sexual assault, domestic violence, dating violence, and/or stalking), the staff at the separate campuses are trained to offer the survivor applicable resources. See the section of this report titled Information Regarding Domestic Violence, Dating Violence, Sexual Assault, and Stalking.

#### **Personal Safety**

UKPD is committed to the safety of its students, faculty, staff, and visitors at the University. Therefore, UKPD offers a host of resources to help keep the community safe. Safety is a shared responsibility and prevention is key. Remember, safety is the responsibility of all community members, not just those formally charged with ensuring the safety of students, staff, and facilities.

From the moment you walk on campus to graduation day, you should always be aware of your safety and your possessions. Here are some safety tips that you should follow while on campus and elsewhere. First, know that the University is a safe place to live, learn, and work. However, please keep in mind that although the University is a friendly community, you should adhere to the following to enhance your safety and security:

- Take advantage of safety services that are available to enhance your safety including SafeCats, Kentucky Wildcab, and the Bus service, etc.
- Study together and walk in groups.
- Never leave your possessions unsecured or unattended.
- Stick to well lit, well-traveled areas, such as the Cat's Path, and avoid shortcuts.
- Listen to your instincts or "gut feeling." If it feels wrong, it probably is wrong.
- Become familiar with your campus community; identify which buildings are usually open
  in the evening or open early in the morning. Know where you can go to summon help.
- Choose ATMs that are in well-traveled public areas.
- Download LiveSafe to quickly access the University of Kentucky Police for assistance or to report tips.
- Become involved in the University's community safety by reporting dark or uninviting areas needing attention.

# Crime Reporting

The University encourages students, employees, community members, and guests of Main, North Farm, and South Farm Campuses to report all crimes, emergencies, and public safety-related incidents accurately and promptly to UKPD when the victim of a crime elects to, or is unable to, make such a report. Crimes should be reported to UKPD for purposes of assessing the crime for distributing a potential timely warning notice and for disclosure in the annual crime statistics.

# Contact UKPD by:

- Dialing 911 from any campus phone to report an emergency.
- Dialing (859) 257-8573 to report non-emergencies.
- Dialing #UKPD (#8573) from any mobile phone to make direct contact with UKPD.
   (\*\* Note: Dialing 911 from a cellular phone will connect you to the Lexington-Fayette Urban County Government Division of 911.)

- Using the LiveSafe App. Downloading this app onto a smartphone allows individuals to text information directly, in real-time, to UKPD Communications.
- Reporting in person at UKPD Headquarters, 305 Euclid Avenue (Corner of Rose Street and Euclid Avenue).

Trained and state-certified telecommunications officers staff the UKPD Telecommunications Office twenty-four (24) hours a day, seven (7) days a week. UKPD's Telecommunications Office is equipped with a telecommunication device (TDD) for communication with hearing-impaired individuals.

Regardless of whether a written report is created, UKPD makes a record of every incident to which it responds, in any of the following situations: citizen reports of crimes, citizen complaints and citizen requests for services. Citizen requests for service can include instances when: (a) an officer is dispatched, (b) an employee is assigned to investigate, (c) an employee is assigned to take action at a later time, (d) criminal and non-criminal cases initiated by law enforcement officers, and (e) incidents involving arrests, citations, or summonses.

Comprehensive reporting is necessary to ensure that alleged events are recorded accurately and to protect the rights of officers and citizens. A record will be made of actions taken by the responding officer whether the call is a request for service or self-initiated activity. In many instances, the Communications Division will accomplish the reporting requirement through the collection of information (i.e., case numbers, disposition codes, etc.) on audiotape and Computer-Aided Dispatch (CAD). A record will be made of all dispatched calls. This in no way relieves officers from their responsibility to take written reports when the circumstances of a call or activity require one. When duplicate calls are received for a single incident, only one report will be required.

UKPD forwards any criminal incident report involving a University student, where that student is a suspect or offender to the Dean of Students Office for review and potential action by the Dean of Students. UKPD detectives will investigate a report when deemed appropriate. The detectives will also forward any additional information obtained via the investigation to the Dean of Students Office.

The University campus is equipped with numerous outdoor emergency alert towers. These towers are equipped with phones programmed to dial UKPD when activated. (The telecommunications officer receiving the call knows exactly where the call is originating.) To use these phones, simply push the red button and the emergency call will be initiated. A campus map displaying the locations of these phones may be viewed at <a href="http://maps.uky.edu/printablemaps/VisitorMap.pdf">http://maps.uky.edu/printablemaps/VisitorMap.pdf</a>.

UKPD maintains direct communications with the appropriate local police, fire, and emergency medical response agencies to facilitate their responses in an emergency. Direct communication is also maintained with the Environmental Health and Safety Division (EHS), University Fire Marshal, Physical Plant Division (PPD), Transportation Services, and HealthCare Security.

# **Crime Reporting for Other Separate Campuses**

Further, the University encourages students, employees, community members, and guests of other separate campuses to accurately and promptly report all crimes, emergencies, and public safety-related incidents to the appropriate law enforcement agency identified below when the victim of a crime elects to, or is unable to, make such a report. UKPD is unable to respond to emergency calls at Little Research Campus (Woodford County), Robinson Forest (Breathitt County), West Kentucky Research and Education Center (Caldwell County), Paducah Campus (McCracken County), Hazard Campus (Perry County), Bowling Green Campus (Warren County), Northern Kentucky Campus at Highland Heights (Campbell County), and Northern Kentucky Campus at Edgewood (Kenton County) due to geographical restrictions and encourages faculty, staff, and students to report any immediate threats to the local law enforcement agency listed below for those areas.

The local law enforcement agencies of separate campuses will provide any needed law enforcement services, including the investigation of any criminal incidents. These investigations may be done in concert with UKPD to ensure a structured criminal investigation on University property. If UKPD becomes aware of a specific crime being worked by a local law enforcement agency that has an immediate or on-going threat to campus, the two agencies will share information to assess the crime for distributing a potential timely warning notice and for disclosure in the annual crime statistics.

Survivors of sexual assault, domestic violence, dating violence, and stalking at all campuses are encouraged to contact the University's Violence Intervention and Prevention (VIP) Center for services and support (<a href="https://www.uky.edu/vipcenter/">https://www.uky.edu/vipcenter/</a>) as well as to report to the University's Title IX Office at (859) 257-8927. Additional resources are presented in the section on Assistance for Victims: Rights and Options.

Table 1: Local Law Enforcement Contact Information

CAMPUS	EMERGENCY	NON-EMERGENCY
Main Campus	911	(859) 257-8573 University of Kentucky Police Department
South Farm Campus	911	(859) 257-8573 University of Kentucky Police Department
North Farm Campus	911	(859) 257-8573 University of Kentucky Police Department
Little Research Campus	911	(859) 873-3126 Versailles Police Department
Robinson Forest Campus	911	(606) 666-3800 Breathitt County Sheriff's Office
West Kentucky Campus	911	(270) 365-2088 Caldwell County Sheriff's Office
Hazard Campus	911	(606) 436-2222 Hazard Police Department
Paducah Campus	911	(270) 444-8550 Paducah Police Department
Bowling Green Campus	911	(270) 393-2473 Bowling Green Police Department

#### Table 1 (continued).

Northern Kentucky Campus (Highland Heights)	911	(859) 572-5500 Northern Kentucky University Police Department
Northern Kentucky Campus (Edgewood)	911	(859) 356-3191 Edgewood Police Department

# **Crime Reporting to a Campus Security Authority**

The University recognizes that sometimes crime victims may not wish to report to the police. Therefore, crime victims may report a crime to the following CSAs. (These CSAs are still obligated to report the crime occurrence for statistical purposes as a confidential statistic.) All campuses are welcome to report non-emergencies to the following CSAs.

#### Note these are NON-EMERGENCY contacts.

Sex Offenses, Domestic Violence, Dating Violence, and Stalking may also be reported to:

Title IX Coordinator, University of Kentucky 13 Main Building (859) 257-8927

VIP Center, University of Kentucky 316 Gatton Student Center, 160 Avenue of Champions (859) 257-3574

Dean of Students, University of Kentucky 513 Patterson Office Tower (859) 257-3754

Community of Concern, University of Kentucky 513 Patterson Office Tower (859) 257-3755

### Off-Campus Crime:

If LPD is contacted about criminal activity occurring off-campus near Main Campus involving University students, they *may* notify UKPD. However, there is no official LPD policy requiring such notification. Students in these cases may be subject to arrest by LPD and University disciplinary proceedings through the Dean of Students Office. If notified, UKPD officers may assist with the investigation in cooperation with local, state, or federal law enforcement. LPD routinely works and communicates with campus officers on serious incidents occurring on campus or in the immediate neighborhoods and/or businesses surrounding campus. If LPD is contacted regarding incidents occurring in/on University-controlled property, including non-campus student housing facilities and student organizations, UKPD has requested that LPD

also notify them of the incident. While LPD has primary jurisdiction in all areas off campus, UKPD officers may and will respond to student-related incidents that occur close to campus when requested by LPD.

Lexington Police Department 150 East Main Street (859) 258-3600

# **Voluntary Confidential Reporting:**

The University investigates all reports; it does not have a voluntary, confidential reporting policy. UKPD encourages anyone who is the victim or witness to any crime to report the incident to the police promptly. Because police reports are public records under state law, UKPD cannot hold reports of crime in confidence. When a potentially dangerous threat to the University community arises, UKPD will issue timely reports or warnings through e-mail announcements, the posting of flyers at local campuses, or other appropriate means.

It should be noted that students and staff of separate campuses are also encouraged to report criminal incidents to their local/resident police. As stated above, police reports are public records under state law, and the local law enforcement agency may not be able to hold those reports in confidence. Thus, no voluntary confidential reporting exists at separate campuses.

The University does not have a voluntary confidential reporting procedure and, therefore, pastoral and professional counselors cannot notify their clients of the existence of such a reporting type/option. However, it should be noted that these counselors are not CSAs under the Clery Act and are thus considered exempt from reporting confidential statistics. The Clery Act defines pastoral and professional counselors as follows:

- Pastoral counselor: A person who is associated with a religious order or denomination, is recognized by that religious order or denomination as someone who provides confidential counseling, and is functioning within the scope of that recognition as a pastoral counselor.
- Professional counselor: A person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of the counselor's license or certification.

The Clery Act provides for this exemption to ensure that these individuals can provide appropriate counseling services without an obligation to report crimes they may learn about through client care. This exemption is intended to protect the counselor-client relationship. However, even the legally-recognized privileges acknowledge some exemptions, and there may be situations in which counselors are in fact under a legal obligation to report a crime. Further, if a counselor deems it appropriate, he/she may encourage clients to report incidents of crime.

# **Timely Warnings**

**Timely Warning Policy:** In line with UKPD mission and with the Clery Act, UKPD will provide "timely warnings" in the form of a Crime Bulletin or Public Safety Notice when a crime represents a serious or continuing threat to the safety of the campus community

Crime Bulletin: When an incident or crime that has occurred on University property (including separate campuses) is reported to or brought to the attention of UKPD, UKPD will determine if the incident or crime constitutes a specific Clery Act crime (see below) reported having occurred on Clery-defined geography (on campus, on non-campus property that is owned/controlled by the University and frequented by students, or on public property within or immediately adjacent/accessible to campus). UKPD is responsible for issuing a Crime Bulletin if UKPD confirms that the incident is serious and represents an ongoing threat to the safety of members of the campus community. Information for Crime Bulletins may also come from other law enforcement agencies or other offices. The Crime Bulletin may also seek information that may lead to arrest and conviction of the offender when crimes against persons or major crimes against property have been reported. It is prevention-oriented and is meant to enable members of the campus community to protect themselves and aid in the prevention of similar crimes.

Crime Bulletins will be distributed as soon as possible after the incident is reported with the intent to aid in the prevention of similar occurrences; however, the release is subject to the availability of accurate facts concerning the incident, the timeliness of the incident report, whether there is an ongoing threat to the community, and the risk of compromising law enforcement efforts. UKPD writes the Crime Bulletins and works in conjunction with UK Public Relations and/or the Office of the President to promptly disseminate the information to students and employees.

Victim names and any other identifying information are kept strictly confidential and are not included as part of the Crime Bulletin. Crime Bulletins are printed and distributed to multiple areas on campus. These areas may include residence halls, other on-campus residential facilities, libraries, UK HealthCare facilities, and any additional areas that may assist in alerting the campus community. Crime Bulletins are also distributed via the campus e-mail network and posted on the UKPD website.

Crime Bulletins may be issued for, but not limited to, any of the following Clery Act crime classifications:

Criminal Offenses – Criminal Homicide, including Murder and Non-negligent
Manslaughter, and Manslaughter by Negligence; Sexual Assault, including Rape,
Fondling, Incest, and Statutory Rape; Robbery; Aggravated Assault; Burglary; Motor
Vehicle Theft; and Arson;

- Hate Crimes Any of the above-mentioned offenses, and any incidents of Larceny-Theft, Simple Assault, Intimidation, or Destruction/Damage/Vandalism of Property that were motivated by bias;
- Violence Against Women Act Offenses Any incidents of Domestic Violence, Dating Violence, and Stalking (note that Sexual Assault is also a VAWA Offense but is included in the Criminal Offenses category for Clery Act reporting purposes); and
- Arrests and Referrals for Disciplinary Action Weapons Law Violations, including Carrying, Possessing, Etc.; Drug Abuse Violations; and Liquor Law Violations.

It is important to note that institutions are not required to issue timely warnings concerning crimes reported to licensed pastoral or professional counselors. Under the Clery Act, these counselors are under no obligation to report information they learn about through client interactions.

**Public Safety Notice:** A Public Safety Notice may be issued when the crime or suspicious activity does not fall under the notification requirements set forth by the Clery Act. Public Safety Notices are intended to provide the campus community with information about incidents that occur on campus, though the incidents may not be classified as a Clery Act crime but have been deemed by officials to pose a continuing threat to the campus community. Public Safety Notices may also be issued for any reported crime that may have occurred off-campus and has been deemed by officials to pose a continuing threat to the campus community and for which sufficient credible information has been received. Public Safety Notifications may also be issued via the campus email network.

# **Timely Warning Procedure**

#### Table 2: Timely Warning Procedure

- 1. The UKPD shift commander shall be responsible for notifying the on-call Commander of any crime that presents a serious and continuing threat to the campus community.
- 2. After the on-call Commander confers with the Chief of Police or his/her designee and the decision is made to issue a timely warning, the on-call Commander shall prepare a Crime Bulletin or Public Safety Notice and submit to the Chief of Police and on-call UK Public Relations Officer for review/revision.
- 3. Once the final version of the timely warning is approved, UK Public Relations will send an email to the campus-wide ListServ distribution list. Updates may be issued through an email or the University website; social media may also be used to provide updates as determined by UK Public Relations and the Chief of Police.

Crime Bulletins and Public Safety Notices may also be posted in campus buildings when deemed necessary by the UKPD Chief of Police. Postings will be in the lobby entrance of the selected buildings.

It should be noted that Crime Bulletins are not issued for the above-described crimes if:

- 1. UKPD apprehends the subject(s) and the threat has been quickly mitigated.
- If UKPD was not notified of a crime in a manner that would allow the department to post a
  "timely" warning for the campus community (analysis of delayed reports for potential timely
  warning applicability will be evaluated on a case-by-case basis);
- 3. A UK Alert/Immediate Notification was issued.

# Off-Campus Safety Notifications

Recognizing many students live off-campus, UKPD may provide safety notifications for incidents occurring just outside of Main Campus only (this service is not available regarding other separate campuses) if sufficient information has been received from the responding local law enforcement agency (LPD) and if deemed necessary by the Chief of Police. The goal of providing off-campus safety notifications is to inform densely-populated student residential areas outside of Main Campus of incidents that may impact safety utilizing Twitter. The Twitter account can be found @ukyoffcampus .

These tweets do not take the place of UK Alert but may serve as a supplement to existing emergency notification procedures for on-campus incidents. *UKPD may have limited information related to off-campus incidents due to the location being outside UKPD's jurisdiction*, but may provide the UK community with updates related to what type of incident occurred, where it occurred, and the approximate time it was reported to have occurred on a best-effort basis if possible.

Here is how the notifications work:

- To determine when tweets are sent from @ukyoffcampus\_, UKPD developed a heat map of high-density, student populated areas off campus.
- When UKPD is notified of information regarding an off-campus safety issue in a densely student populated area, UKPD will tweet about the situation from @ukyoffcampus\_.
   Again, be advised that UKPD may have limited information related to off-campus events due to the location being outside UKPD's jurisdiction and may not be informed of all incidents.

# **Emergency Response and Evacuation Policies**

Emergency Response Policy: The University operates an emergency message notification system for the purpose of delivering subscription-based, along with mandatory uky.edu email addresses, emergency messages to the campus community. The system, known as UK Alert, delivers emergency messages via telephone (cellular and/or VOIP landline), text messaging, email, pager, various social media sites, and indoor/outdoor Common Alerting Protocol-compliant (CAP) notification systems to subscribers and the campus community. The objective is to employ a multi-layered approach to deliver emergency messages. The University may use all methods of the emergency notification system or a combination of the methods, depending on the situation.

The design goal of UK Alert is to assist in promoting a safe environment for students, faculty, staff, and visitors. The recipient only receives an alert during emergencies that require immediate action. Emergencies are unplanned events that can cause physical injury to the community, that can cause physical or environmental damage or that can disrupt and/or damage research or educational operations. Examples include but are not limited to:

- Dangerous Situation (active shooter, civil disturbance, hostage situation, etc.)
- Fire
- Gas Leak
- Hazardous Material Leak/Spill
- Inclement Weather Delays/Closures
- Tornado Warning

All University students, faculty, and staff are automatically registered in UK Alert with their official University email address. The University encourages students, faculty, and staff to provide additional contact information such as mobile phone numbers and personal e-mail addresses to their UK Alert accounts. Parents, media, visitors, and other interested parties may register for UK Alert on a voluntary self-subscription basis. For further information or to subscribe, go to www.uky.edu/ukalert.

If a serious crime, a natural disaster, or a manmade emergency occurs that poses an immediate threat to the health and safety of the University of Kentucky community, federal law requires that the institution immediately notify the campus community. If the University initiates a UK Alert (immediate notification), the institution is not obligated to issue a timely warning notice (crime bulletin).

**Notification to the UK Community about an Immediate Threat:** UKPD will immediately notify the University community if, with the help of the appropriate University officials, National Weather Service, and local first responders, they confirm there is an emergency or dangerous situation that poses an immediate threat to the health or safety of the

University community. UKPD will determine the content of the message, determine the appropriate segment or segments of the campus community to receive a notification, and will use the appropriate method to communicate the threat. UKPD will immediately, and taking into account the safety of the community, determine the content of the notification and initiate the notification system, unless issuing a notification will, in the professional judgment of responsible authorities, compromise efforts to assist a victim or to contain, respond to or otherwise mitigate the emergency. UKPD will collaborate with LPD and LFUCG Division of Fire and Emergency Medical Services, when appropriate, to determine whether they should delay issuing a notification. UKPD is the primary authorized initiator to send campus emergency messages to Main Campus, South Farm Campus, and North Farm Campus. (See Emergency Response and Evacuation Procedures at Separate Campuses for information on separate campuses.) There may be circumstances where UK Public Relations is authorized to send UK Alerts as requested by the Chief of Police or his/her designee.

In the event of a serious incident that poses an immediate threat to members of the University community, the methods provided below may be used together, individually, or in any combination, during an emergency notification:

- Network email
- Text messages
- Voice messages
- Twitter posts
- Facebook posts
- VOIP office phones (text and voice)
- Wide area notification through the Talk-A-Phones (Main Campus only)

If there is an immediate threat to the health or safety of students or employees, the University will follow its emergency notification procedures. Having done so, the University is not required to issue a timely warning (crime bulletin) based on the same circumstances; however, the University will provide follow-up information to the community as needed. During a critical incident, the University will provide additional information through the University home page (<a href="https://www.uky.edu/UKHome/">www.uky.edu/UKHome/</a>) and the Infoline (859) 257-5684, as well as local media. Individuals outside the University community may remain informed by visiting the University website and signing up for UK Alert at <a href="https://www.uky.edu/cmp/emergency-notification-uk-alert">https://www.uky.edu/cmp/emergency-notification-uk-alert</a>.

All members of the local community are encouraged to notify UKPD of any emergency that may pose a risk to the health and safety of the students, faculty, staff, or visitors on campus. UKPD has the responsibility to mitigate, respond, and investigate all emergency/dangerous incidents. Additionally, UKPD has a responsibility to respond to such incidents to determine if the situation does pose a threat to the community. If so, federal law requires notification to the University community or the appropriate segments of the community that may be affected by the situation. In such an event, UKPD will work with the appropriate parties (University officials, National Weather Service, local first responders, LPD, LFUCG Division of Fire and Emergency Medical

Services, etc.) to ensure the local community is appropriately informed.

**Evacuation Policy:** Per the Kentucky Fire Prevention Code, it is the University's policy to immediately evacuate a building upon the activation of the fire alarm system. The only exceptions are in certain UK HealthCare facilities where procedures are being performed and alternate plans are in place and the Singletary Center during performances. To view the policy in its entirety, visit <a href="http://ehs.uky.edu//fire/evacuation.html">http://ehs.uky.edu//fire/evacuation.html</a>.

The University's evacuation policy makes exceptions for persons with disabilities that may require assistance during an emergency. To view the policy in its entirety, visit <a href="http://ehs.uky.edu/fire/ada">http://ehs.uky.edu/fire/ada</a> evac.html.

The University reviews and tests emergency evacuation plans throughout campus on at least an annual basis. All residence halls conduct drills at least once per semester and all other buildings on campus conduct drills based on their individual Building Emergency Action Plans.

Emergency Response and Evacuation Procedures at Separate Campuses: Due to the distance from Main Campus, UKPD trains and authorizes certain administrators at the Little Research Campus (Woodford County), Robinson Forest (Breathitt County), West Kentucky Research and Education Center (Caldwell County), Paducah Campus (McCracken County), Hazard Campus (Perry County), Bowling Green Campus (Warren County), Northern Kentucky Campus - Highland Heights (Campbell County) and Northern Kentucky Campus - Edgewood (Kenton County) to send alerts to their respective campuses. The individuals associated with these locations know how to join the separate campus emergency notification lists. These administrators monitor the weather, send tornado warnings via UK Alert to their respective campus lists, and call 911 and/or the local first responders to provide emergency services. The administrators also call/notify UKPD.

Separate campus senior administrators present may work with local law enforcement to initiate evacuations as necessary. Should the incident permit (given type of hazard/threat, area of campus in danger, ability to contain the threat), full coordination of any evacuations will be executed with the assistance of UKPD and CMP.

# Emergency Response and Evacuation Testing Procedures

**Emergency Preparedness:** The University of Kentucky has adopted an Emergency Operations Plan (EOP) that is designed to mobilize rapidly and efficiently the University's resources, personnel, and equipment to emergencies which may confront the University. The EOP provides responders with a framework and guidance to assist in the protection of life and property through effective use of resources. It defines roles and establishes key responsibilities for departments and personnel to implement before, during, and immediately following an emergency. The EOP is designed as an "all hazards" document, not to address unique

conditions that result from a particular hazard or event, but instead provides a framework to develop and maintain hazard-specific annexes. This means it contains concepts, policies, and procedures that apply regardless of the nature or origin of an emergency or disaster. Because this plan is designed to be flexible, part or all of it may be activated as the situation necessitates. Although planning is based on a worst-case scenario, general procedures for the management of information, activities and operations, and roles and responsibilities can be applied as needed during any level of emergency.

The University consists of ten campuses. This plan provides guidance for the Lexington, KY campuses only – Main Campus, North Farm, and South Farm. The remaining campuses operate under the guidance of the local city/county EOP and the individual building emergency action plans.

# **Emergency Response:**

Building Emergency Action Plans and Event Operations Plans: Each department is responsible for maintaining its own emergency operations plans that coincides with the University's overall EOP. The EOP can be used as a reference, but does not replace the departments' responsibilities to develop and test their own emergency plans. These departmental plans are called Building Emergency Action Plans (BEAP) and are designed to provide for students, employees, and visitors during an emergency. The development and use of a BEAP is an integral part of the University's strategy for responding to and recovering from emergencies. To ensure relevance and utility, the BEAP must be kept current with changes in facilities, personnel, and equipment. Once a plan is completed and/or updated annually it is reviewed and approved by CMP and released for implementation.

Types of emergencies covered under a BEAP may include (but are not limited to) the following:

- Fire
   Severe Weather
- Earthquake

- Utility Outage
- Workplace Violence
- Bomb Threat

Medical Emergency

Event Operations Plans are designed to ensure building occupants and event participants know the needed actions to take during an emergency or crisis, such as how to evacuate from a specific building. These plans may assist in enacting required emergency procedures should the need arise.

Types of emergencies covered under an Event Operations Plan may include (but are not limited to) the following:

Fire and/or Explosion
 Response to Active Aggressor
 Tornadoes

Bomb Threat
 Accidents, Injuries, and Serious Illness
 Ice/Snow Storms

Civil Disturbances
 Earthquake
 Rumor Control

Pandemic Flu Plan
 Response to Hostage Situations
 Flooding

Power Failure
 Chemical, Biological, Radiological Problems

Water Contamination
 Terrorism Threats/Incidents, Suspicious Material

Response to Major Crime on Campus

### **Emergency Response – Immediate Notification (UK Alert) Procedure:**

#### Table 3: Immediate Notification Procedure

- 1. Authorization to initiate a UK Alert immediate notification should be based on two factors threat to life safety and time
- 2. The decision to initiate a UK Alert will be based on the emergency incident and timely action required
- 3. An emergency incident is a natural or manmade event having significant impact to the campus community
- 4. Activation of the UK Alert immediate notification system shall be activated under the following circumstances:
  - Emergencies requiring immediate action
  - If a phone or radio call is received by Communications and the Communication Officer can reasonably confirm shots fired or an explosion, the Communications Officer shall initiate a UK Alert message immediately
  - Communications shall issue an immediate UK Alert during a Tornado Warning for Fayette County. Once the warning has passed, the Communications Officer shall send an "all clear" message.
  - Disruptions to normal campus operations

Emergency Response Drills and Exercises: The University will test and publicize emergency and evacuation procedures at least annually (most tests are performed more frequently). Emergency response systems are tested each spring in conjunction with Kentucky's Severe Weather drill and the fall in conjunction with the national Great American Shake-Out. These tests are publicized to the campus community. These tests evaluate the performance of the following critical systems: UK Alert, outdoor emergency notification towers, mass email to the University community, mass landline phone alerts to all VOIP phones on campus, etc. Additionally, UKPD tests all emergency notification towers every month. Further, the CMP team maintains a robust training and exercise program that includes workshops, conferences,

functional/full-scale exercises, tabletops, etc. throughout the year as they are dedicated to regularly testing the knowledge, skills, and abilities of emergency personnel as well as the plans, policies, procedures, facilities, and equipment of the University. Training helps emergency personnel become familiar with their responsibilities and acquire the skills necessary to perform assigned tasks. Exercises provide a means to validate plans, checklists, and response procedures and to evaluate the skills of personnel. These activities may be announced or unannounced depending on the specific activity.

Table 4: 2021 CMP Training

TYPE OF PROGRAM	DATE HELD	LOCATION HELD	AUDIENCE	TOPIC COVERED
Emergency Alerts Test	03/03/2021	Campus Wide	Campus Community	Tornado Drill and Blue Emergency Towers Test
Table Top Exercise	04/13 – 04/15/2021	Virtual	UK HR, UKPD, KY Emergency Management, Kentucky Department Public Health, Lexington Police Department, Lexington Fire Department	Radiological Material Security
Table Top Exercise	08/08/2021	Recruit Room	UK Athletics, UKPD	Severe Weather During Football Game
Emergency Alerts Test	09/29/2021	Campus Wide	Campus Community	Blue Emergency Towers Test

Further, it should be noted that following each major exercise or activation of the Crisis Management Team, a brief After Action Report (AAR) is completed to evaluate the event against measurable goals. This document may include purpose, date/time, announced/unannounced, participants, scope and incident summary, major strengths, and areas for improvements. The AAR is designed to assess emergency plans and core capabilities. The AAR may be distributed to the participants for review and to address action items for the improvement of the plan.

**Incident Command:** In 2003 all federal, state, tribal, and local organizations were required to implement the National Incident Management System (NIMS) during any domestic incident management, and emergency prevention, preparedness, response, recovery, and mitigation programs or activities. One component of NIMS is the Incident Command System (ICS). ICS is a standardized, on-scene, all hazards incident management concept that is utilized for all domestic emergency incidents. This system allows first responders throughout the country to work seamlessly in a standardized organizational structure. The University will utilize ICS when responding to emergencies on campus.

Each emergency shall be led by an Incident Commander (IC), who is responsible for all aspects of the incident. The IC is selected based upon his/her qualifications and expertise, not

necessarily rank, position or seniority. Typically, the first responding officer or Fire Major/Chief will assume the role of IC, until a more qualified person arrives and formally assumes command. The IC is responsible for all activities with the incident, to include the final authority on all aspects of operations, planning, logistics, finance, safety, liaison, and public information until he/she delegates that authority to another person. When the responsibility of Incident Command is transferred from one person to another a transfer command briefing is held face to face and a written summary of circumstances is provided to the incoming IC. The change in command is announced to all incident personnel.

# **Evacuation Testing Procedures**

#### Fire Drills

Each on-campus housing facility is required to have fire drills per the Fire/Life Safety Policy for On-Campus Student Housing, viewable at <a href="https://ehs.uky.edu/fire/fs\_life\_safety\_policy.pdf">https://ehs.uky.edu/fire/fs\_life\_safety\_policy.pdf</a>. Part II – Residence Halls, Paragraph 5, of the policy states: (1) Two fire drills shall be conducted during the Fall Semester. The first drill shall be an announced walk-through drill. The second drill shall be unannounced. (2) One unannounced drill shall be conducted during the Spring Semester. (3) All fire drills shall be reported to the University Fire Marshal via the on-line fire drill report within forty-eight (48) hours of the event. (4) The fire alarm shall be utilized for each drill. (5) The University of Kentucky Office of Residence Life shall schedule fire drills. Part II – Greek Housing, Paragraph 3, has all the same requirements as stated in Part II – Residence Halls, Paragraph 5. The University Fire Marshal keeps a record of each drill on file.

The University Fire Marshal coordinates evacuation (fire) drills each semester for all residential facilities including the University of Kentucky Main Campus and nine separate campuses: Little Research Center, North Farm, South Farm, Robinson Forest, West Kentucky Research and Education Center, Paducah Campus, Hazard Campus, Bowling Green Campus – College of Medicine, and Northern Kentucky Campus – College of Medicine (if applicable). Students learn the locations of the emergency exits in the buildings and are guided in the direction they should travel when exiting each facility for short-term building evacuations. The University Fire Marshal does not notify residents in advance about the designated locations for long-term evacuations because those decisions are affected by the time of day, location of the building being evacuated, the availability of the various designated emergency gathering locations on campus, and other factors such as the location and nature of the threat. In both short-term and long-term building evacuations, UKPD, the University Fire Marshal, and Housing staff on the scene will communicate information to students regarding the developing situation or any evacuation status changes.

The purpose of evacuation drills is to prepare building occupants for an organized evacuation in the case of a fire or other emergency. At the University, evacuation drills are used to educate and train occupants on fire safety issues specific to their building. During the drill, occupants practice procedures and familiarize themselves with the location of exits and the sound of the fire alarm.

In addition to educating the occupants of each building about the evacuation procedures during the drills, the process also provides the University an opportunity to test the operation of fire alarm system components. The University Fire Marshal monitors evacuation drills to evaluate egress and behavioral patterns. Participating departments prepare reports that identify deficient equipment so repairs can be made immediately. Recommendations for improvements are also submitted to the appropriate departments/offices for consideration. Students receive information about evacuation and shelter-in-place procedures during their initial floor meetings and during other educational sessions offered throughout the year. Housing staff members are annually trained in these procedures and act as a constant resource for the students living in residential facilities.

#### **General Evacuation Procedures**

Various emergencies may require a full evacuation of a building. All persons should evacuate the building upon activation of the fire alarm (as discussed in the above section on Fire Drills), the direction of emergency services personnel, or the direction of any person holding a role in emergency responsibilities for the department or building. When directed to evacuate, follow the posted evacuation routes in the building. If a specified evacuation route is blocked or otherwise unusable, use your own best judgement to identify an alternate route.

#### When evacuating:

- Remain calm
- Collect valuables (e.g., purse, coat) only if time permits
- If you are the last one out of a room, close the door
- If you encounter a student or visitor, direct them to evacuate
- If you encounter a physically disabled individual, assist that person out of the building or to the nearest stairwell and seek further assistance from an emergency responder outside the building
- Do not use elevators, use the stairs
- If you are conducting a laboratory experiment or other process, take appropriate steps
  to stop the experiment or process to prevent additional accidents while it is
  unattended. If you are unable to render the situation safe, evacuate and notify
  emergency responders of the potential hazard

Upon exiting the building, go to the designated emergency assembly area as identified by your department's BEAP. If the emergency assembly area is inaccessible or exposed to an ongoing threat, go to the alternate assembly area. Do not leave the assembly area or reenter the affected building or area until you hear a re-entry order from emergency officials. Hazards may be present even if alarms are no longer actively sounding. Prematurely reentering a dangerous area risks not only your own safety but that of emergency responders who may have to rescue you.

It is important to note in regard to evacuations that the scope may range from a single building to multiple buildings or even to a larger area depending on the hazard. The size and scope of an evacuation must always be taken into account by emergency officials coordinating the incident response.

# **Emergency Medical Response Procedures**

Students, staff, and guests at all campuses should report all medical emergencies immediately by calling 911 to connect with their local emergency services.

Individuals on Main Campus, South Farm, and North Farm may also dial #UKPD from a cell phone to connect with UKPD dispatchers directly who can dispatch an ambulance and/or police to their location.

All UKPD certified officers are trained in the following medical areas:

Basic First Aid Immediate care of individuals ill/injured until full medical

treatment may be obtained

Cardiopulmonary Resuscitation (CPR)

Lifesaving technique used during cardiac or breathing

emergencies

Stop the Bleed Training to address major bleeding emergencies with

the use of tourniquets, direct pressure, and wound

packing

Administration of Naloxone (Narcan)

Training officers with medication they carry at all times

that reverses respiratory depression from opioid

overdose

# Safe Housing Practices and Policies

**Fire Suppression Notification:** The University's Campus Housing Office (Campus Housing) provides all new and returning students with an online notification that lists the University residential facilities and the buildings' level of fire suppression as part of their premove-in paperwork. Residents are required to acknowledge receipt of this notice online after receiving their housing assignment. For further information or questions regarding this notification, please contact Campus Housing at (859) 257-1866.

**Assignment of Accessible Housing:** Residence hall rooms and University apartments are available for students who need accessible or unique accommodations due to disability or chronic health problems. Due to the high demand for housing, students should apply for housing on time. Additionally, students should request the accommodation at the time of

application and provide documentation to the Disability Resource Center (DRC) at (859) 257-2754. The DRC evaluates the information and determines the appropriate housing assignments in consultation with Campus Housing.

# **Safety Procedures for Those Who Require Assistance:**

**Housing Evacuation List** – Safety is a primary concern for students in University housing and requires the cooperative effort of those who live and work in the halls plus security and fire protection personnel. The University provides numerous measures to increase the safety of all students living on campus. The University compiles a housing evacuation list for the police and fire safety officials to use for priority evacuation and safety verification for students, faculty or staff that self-identify. Students should contact the DRC at (859) 257-2754, to be placed on either of these lists should they need assistance with evacuation during an emergency.

Emergency Assistance Card Program – The University has developed a voluntary Emergency Assistance Card program that is available upon request. Individuals with disabilities can carry this card and present it to a co-worker, friend, or faculty member, who in turn will give it to the emergency team on site. The card identifies the individual who needs assistance during an emergency (e.g., assistance with evacuation) as well as his/her current location and the reason that assistance is required. For more information about the card or to receive a card, contact the DRC at (859) 257-2754. Access to campus buildings and grounds is a privilege extended to students, faculty, staff, and authorized guests. The University encourages an open environment with limited constraints to ensure the reasonable accessibility of all members of the community.

# Security of and Access to Campus Facilities (Including Separate Campuses)

Campus Housing: The security of all student residences is a responsibility shared by Campus Housing, Residence Life, UKPD, and the students who reside in the buildings. The University requires that all residential facilities remain secured twenty-four (24) hours a day, seven (7) days a week. Residents of each facility gain access via their student identification cards. Guests must provide a UK ID or a government-issued ID and be checked in at the front desk of each residential facility (except University Flats and Boyd Hall). Campus Housing and Residence Life employees perform preventive maintenance throughout the residential facilities as well as respond to reported issues. Students are encouraged to keep their room and apartment doors locked at all times, are expected to ensure that doors latch behind them when they enter or leave a building, and shall not prop doors open. Residents should stop and question any stranger in the building (e.g., who are you here to see?) and notify campus police

immediately of any suspicious activity. All security failures must be reported, as soon as they are discovered, to Residence Life staff (at the front desk) or UKPD.

**Academic and Administrative Buildings:** Most academic and administrative buildings on the University's campus are open during weekday business hours. Some buildings or labs are open for longer periods to accommodate evening classes, research, or other special program needs. Individuals who wish to access these buildings during non-business hours or special events should contact the appropriate department head. Officers regularly patrol the academic/administrative building areas on campus and perform extra patrols as requested.

**UK HealthCare Facilities:** The University's Chandler and Good Samaritan Hospitals are open for operation twenty-four (24) hours a day, seven (7) days a week. Access to portions of these healthcare facilities is limited based on time of day and/or work performed in the area. Persons needing access to secured areas should contact UK HealthCare Security and/or the appropriate UK HealthCare department authority.

UK HealthCare manages Eastern State Hospital, an inpatient psychiatric facility that is in operation twenty-four (24) hours a day, seven (7) days a week. Access to the facility is limited to staff or individuals who have been granted access privileges. Access levels are determined based on an individual's position. Visitors or family members have limited access to the facility and require staff escort within the facility.

Security Considerations in the Maintenance of Campus Facilities: The University is committed to campus safety and security. The University centralized physical security systems in 2013. This included all access control points, interior and exterior notification systems, security cameras, alarm systems, panic alarms, and emergency phones. All systems are centrally controlled by UKPD and are monitored by Police Communications. This allows the University to notify the community and lockdown University facilities in the event of an emergency. The University has developed design standards that ensure all future construction and renovation projects incorporate physical security components.

Exterior lighting and landscape control is a critical part of the commitment to campus safety and security. UKPD employs the concept of CPTED (Crime Prevention Through Environmental Design) and continually conducts security surveys and safety walks to ensure campus lighting is adequate and that the landscape is appropriately controlled, such as maintaining shrubbery in a manner that minimizes hazardous conditions. The University encourages community members to report any deficiency in lighting to the appropriate PPD office. Anyone who has a concern about physical security should contact UKPD at (859) 257-8573. UKPD also allows for any user of the LiveSafe safety app to submit physical security or maintenance issues through its platform.

UKPD and PPD work together to identify inoperative locking mechanisms. The University encourages community members to report any locking mechanism deficiency to the appropriate

PPD office promptly. Additionally, PPD is available to respond to calls for service regarding unsafe facility conditions. These conditions may include, but are not limited to, unsafe steps or handrails, unsafe roadways on campus, and unsecured equipment.

The College of Agriculture, Food and Environment, is committed to the security and safety of research activities, research animals in residence, employees, and students in residence on all of its research centers across the state. Access to the Research Centers in Fayette County (North Farm and South Farm) and Woodford County (Little Research Center) is through access control and a video management system. Depending on the needs of the location, the gates are scheduled to open and close at certain times. If a location needs to be accessed after hours, it is done by using an access card. Closed-circuit video monitors entrance gates.

Submit work orders for repairs to Facilities Management, Engineering Division for any gate malfunction, building doors, area security lighting or any other needed facility repair. College of Agriculture, Food and Environment employees or third-party contractors perform the work.

The security and maintenance of separate campuses also ensure a comfortable, functional, and safe environment. Students and staff are encouraged to report any maintenance or unsafe physical conditions related to facilities and/or grounds to the campus director or main office.

Table 5: Campus Maintenance Contact Information

CAMPUS	CONTACT	PHONE NUMBER
Main Campus	Physical Plant Division (General Campus)	(859) 257-3875
Main Gampas	UK Medical Center Physical Plant Division	(859) 323-6285
South Farm Campus	Farm Superintendent	(859) 948-3614
South Familicampus	Horticulture Department Chair, Interim	(859) 257-1758
	Farm Superintendent	(859) 254-1434 x239
North Farm Campus	Farm Manager	(859) 254-1434 x238
	Farm Operations Director for Central KY Farms	(859) 576-3310
	Farm Superintendent	(859) 873-6587
Little Research Campus	Farm Manager	(859) 873-6587
	Farm Operations Director for Central KY Farms	(859) 576-3310
Robinson Forest Campus	Director	(606) 666-2438 x227
Nobilison Forest Campus	Business Manager	(606) 666-2438 x291
	Operations Superintendent	(270) 365 7541 x21333
West Kentusky Compus	Farm Manager	(270) 365-7541 x21330
West Kentucky Campus	Director	(270) 365-7541 x21320

### Table 5 (continued).

Hazard Campus	Maintenance	(606) 439-3557
Paducah Campus	Business Office Manager	(270) 534-3129
Bowling Green Campus	Administrative Staff Officer	(859) 562-1274
Northern Kentucky Campus – Highland Heights	NKU Work Control	(859) 572-5660
Northern Kentucky Campus – Edgewood	Maintenance	(859) 653-1231

# University of Kentucky Campuses

# **University of Kentucky – Main Campus**

The University is a public land grant institution founded in 1865. The main campus sits on over eight hundred (800) acres in the heart of Lexington, Kentucky. The University has over thirty thousand (30,000) students on its enrollment (undergraduate and graduate) and supports more than two hundred (200) academic programs spread across sixteen (16) degree-granting colleges. Students may also choose from over four-hundred fifty (450) student organizations and clubs. The University also has several other campuses across the state as outlined below.

The main campus employs an armed police force dedicated to providing quality police services ethically, fairly, and equally in partnership with members of the community. The police officers are highly trained, certified, and sworn under KRS 164.950. They are granted full police authority and are responsible for the prevention of crime, detection, and apprehension of offenders. Additionally, they are charged with the preservation of public peace, the enforcement of all criminal laws and state statutes as well as compliance with the policies and regulations of the University.

University of Kentucky – Main Campus 410 Administration Drive Lexington, KY 40506

Phone: (859) 257-9000 Website: www.uky.edu

# Little Research Center/Woodford Farm (LRC) Campus

The LRC, located in Versailles, KY, is a state-of-the-art animal research facility containing modern beef, swine, and sheep research units on over 1400 acres. The facility also provides research space for Veterinary Science, Plant and Soil Science, and Biosystems and Agricultural Engineering.

The LRC has a primary employee/public entrance into the farm off HWY 62 (Midway Road). This entrance is open during normal working hours and is card entry only with a call box for non-employee visitors outside of normal business hours. The card entry system is an AMAG System and is administered by the College of Agriculture, Food and Environment, Motor Pool. There are two cameras at this gate that record entry into the farm.

There are three (3) other entry gates on the farm. These gates are used by the Veterinary Science and Animal Science Departments. Entry is by remote control on two (2) of the three (3) gates with the third gate being used by large equipment and trucks only. The remote controls and operations are administered by the respective departments.

There are three (3) student residences at LRC. The residences are individual family-style housing and each resident is responsible for locking and securing their houses.

Little Research Center Campus 1171 Midway Road Versailles, KY 40383

Phone: (859) 873-6587

Website: https://facilities.ca.uky.edu/little-research-center

# **North Farm Campus**

The North Farm Campus consists of the Eastern State Hospital Medical Complex as well as the North Farm Agricultural Center.

#### **Eastern State Hospital Medical Complex**

The Eastern State Hospital Medical Complex provides recovery-focused, individualized care in a supportive environment that features the latest in treatment and technology. It is managed by UK HealthCare through a contract with the Kentucky Department of Behavioral Health, Developmental and Intellectual Disabilities. It is nationally accredited, meeting the standards of The Joint Commission, Centers for Medicare and Medicaid Services, and the Kentucky State Licensing and Regulation Division.

The Eastern State Hospital provides acute, in-patient psychiatric care to patients with severe and persistent mental illness, specialized services for acquired brain injuries, and associated ancillary services for those patients, such as laboratory, radiology, phlebotomy, and pharmacy. The hospital operates seven (7) acute care units of twenty-seven (27) to twenty-eight (28) beds in a mix of private and semi-private rooms. The Recovery Mall located on both the 1st and 2nd floors offers patients a wide range of recovery and rehabilitation programs including pottery, gym/fitness, music room, resource library, chapel, reading/sensory room, space for physical and occupational therapy, etc. The Eastern State Hospital Medical complex also includes the Central Kentucky Recovery Center which consists of personal care homes (resembling units of an apartment complex) that offer a design and program dedicated to helping individuals make the transition back to the community. Buildings are in a park-like setting to allow plenty of natural light inside and feature courtyards and open-air porches.

Eastern State Hospital 1350 Bull Lea Road Lexington, KY 40511

Phone: (859) 246-8000

Website: https://ukhealthcare.uky.edu/eastern-state-hospital

# North Farm (Agricultural Center)

North Farm, located on approximately one thousand eight hundred (1,800) acres in Fayette County, provides teaching, research, and extension opportunities for the faculty, staff, and students of the University of Kentucky College of Agriculture, Food, and Environment. Currently, the North Farm research revolves around initiatives, projects, and programs in the departments of Veterinary Science, Animal and Food Science, Plant and Soil Science, Biosystems and Agricultural Engineering, and Entomology. Also, the North Farm is an integral part of the Equine Initiative and the Equine Campus is located on the North Farm.

North Farm resulted from the merging of Maine Chance Farm, Spindletop Farm, and a portion of the Coldstream Farm. Coldstream Farm is accessed from Georgetown Road, also known as the Dairy Research Farm Entrance. This entrance has a manual farm gate that is closed in the evening. The Dairy Research Farm Complex includes housing that is available to the Dairy unit manager.

Spindletop and Maine Chance Farms lie north of I-64/I-75. There are four (4) entrances, two (2) off of Newtown Pike (Service and Equine Entrances) and one (1) off Iron Works Pike (Agronomy Entrance). The other entrance (Old Spindletop Entrance) is also on Iron Works Pike, just west of the Agronomy Entrance. This gated entrance has a padlock and only is accessible when the gate is open for Field Day traffic or moving large equipment. The Service Entrance Gate and Agronomy Entrance Gate remain open during normal working hours and are card entry only with a call box for non-employee visitors outside of normal business hours. The Equine Entrance Gate remains closed but operates on free entry from 6 a.m. to 6 p.m. on weekdays.

The Legacy Trail, which is open to the public, crosses under I-75/I-64 through an existing tunnel. It connects the Coldstream (south) side of the interstate with the Maine Chance and Spindletop (north) side. Before the Legacy Trail, only on-farm vehicles and equipment used this trail. Now, farm traffic shares usage through the tunnel with the Legacy Trail. There are gates on each side of the tunnel to allow access to the farms. These gates are operated by a numeric keypad and by select remote controls.

A diamond-mesh wire fence that has a black vinyl sight ribbon on top separates the Legacy Trail from the North Farm. The trail is adjacent to approximately 0.6 miles of Coldstream Farm on the south side of the interstate and approximately 2.5 miles of Coldstream/Spindletop Farms on the north side of the interstate.

There are also three (3) limited access (padlocked) gates between the Legacy Trail and Spindletop Farm, as well as padlocked access gates between the Spindletop Hall property and Spindletop Farm.

There are four (4) student residences on North Farm. The residences are individual family-

style housing and each resident is responsible for locking and securing their houses.

North Farm 1925 Research Farm Road Lexington, KY 40511 Phone: (859) 254-1434

Website: https://pss.ca.uky.edu/north-farm

# **Robinson Forest Campus**

Robinson Forest, located in Breathitt County on fourteen thousand eight hundred (14,800) acres, is one of the largest research and educational forests in the eastern United States. Robinson Forest provides space for continuous research in several forestry related disciplines, such as wood utilization, forest ecology, silviculture, hydrology, wildlife ecology, etc.

Robinson Forest has facilities used for various programs and an on-site lodging that provides housing for students, researchers, and extension personnel. Robinson Forest has a manual gate at its entrance that is locked at 4:30 p.m. Monday through Friday and the entire weekend when guests are not present. Visitors are permitted on the Robinson Forest site by appointment only.

Robinson Forest Campus 617 Clemons Fork Road Clayhole, KY 41317

Phone: (606) 666-9995

Website: https://robinson-forest.ca.uky.edu/

### South Farm Campus (aka UK Horticulture Research Farm)

South Farm, located at the Fayette-Jessamine County line, is a horticulture research farm on one hundred (100) acres. This location provides for research on fruits, vegetables, greenhouse and nursery production, hemp, entomology, plant pathology, and related crop sciences. It also hosts the UK-CSA (Community Supported Agriculture) production unit.

South Farm has two (2) entrances. One (1) entrance is on Waveland Museum Lane. This entrance remains padlocked except for special events, when it is opened and managed by farm personnel. The main entrance is on Man-O-War Boulevard. This entrance operates on the AMAG system and has two cameras that record entry into the farm. This gate opens at 6:30 a.m. and closes at 4 p.m. on weekdays to accommodate employee traffic. At all other times the gate is card-access only.

South Farm Campus 4321 Emmert Farm Lane Lexington, KY 40514

Phone: (859) 948-3614

Website: https://research.ca.uky.edu/content/SouthFarm

## West Kentucky Substation (Research and Education Center at Princeton) Campus

West Kentucky Substation (Research and Education Center at Princeton), located in Caldwell County, functions as a center of agricultural activities in western Kentucky. The facility is used to conduct agricultural research, provide diagnostic testing services, and develop educational programs important to farmers, agribusinesses, and families.

The facility is an open research facility that has no access entry system. Three (3) employees reside throughout the property and are responsible, in part, for the security of the area. The Princeton Police Department regularly patrols the main campus. Additionally, West Kentucky Substation campus provides temporary graduate student housing. The housing consists of individual family-style houses that have been converted for the benefit of resident students. Each resident is responsible for locking and securing his or her room/house.

The description of the West Kentucky Substation (Research and Education Center at Princeton) Campus is accurate for the majority of calendar year 2021. However, on December 10, 2021, the UK Research and Education Center, other campus buildings, and graduate student housing took a direct hit from an EF-4 tornado and were completely destroyed. Two (2) temporary office buildings were placed on site by December 14, 2021 for employees. While the campus does not have the ability to offer their usual full spectrum of research and extension programs most activities are able to continue as planned.

West Kentucky Substation Campus 348 University Drive Princeton, KY 42445

Phone: (270) 365-7541

Website: https://wkrec.ca.uky.edu/

## **Paducah Campus**

The University, in collaboration with West Kentucky Community and Technical College (WKCTC), offers studies leading to bachelor's degrees from the University in Mechanical Engineering and Chemical Engineering. It is located on WKCTC's campus, at 4810 Alben Barkley Drive, Paducah, KY.

WKCTC has a Manager of Security and Safety. The manager coordinates and works with college-employed security guards to promote a safe and secure environment for WKCTC.

Campus facilities are accessible for academic, training, recreational, and administrative purposes. The general public also has access to these facilities and often uses the library, student center, recreational facilities, and other venues where sporting events and other special events are conducted. Only authorized personnel have keys to facilities. The security guard service checks facilities to determine that they are secure during non-business hours. The Maintenance and Operations Department maintains college buildings and grounds with a concern for safety and security. Maintenance and Operations Department personnel regularly inspect for and repair potential safety and security hazards as well as responding to reports of such potential hazards. A campus Safety Committee meets periodically during the academic year to review and discuss safety issues and to promote a safe campus environment.

The Maintenance and Operations Department maintains college buildings and grounds with a concern for safety and security. Maintenance and Operations Department personnel regularly inspect for and repair potential safety and security hazards as well as responding to reports of such potential hazards. Maintenance and Operations Department staff members use an automated Preventive Maintenance Work Order System to input information concerning lighting issues, hazard issues, and overall preventive maintenance issues daily to track, monitor, and correct these issues. Any security or safety issues of immediate concern are given top priority by staff members for immediate correction.

This campus does not have its own police department/force. There is an Operations Manager for Security and Safety who does not have arrest authority. The Manager for Security and Safety supervises and coordinates college-employed security guards for the College. The Operations Manager for Security and Safety works closely with local, state, and federal law investigative staff when incidents arise that require joint investigative efforts, resources, crime related reports, and exchanges of information as deemed necessary to provide services to the campus community. Additionally, the parties routinely exchange crime-related information and reports, including information related to off-campus locations owned, leased, or controlled by WKCTC.

Paducah Campus 4810 Alben Barkley Drive Paducah, KY 42002

Phone: (270) 534-3304

Website: https://www.engr.uky.edu/research-faculty/departments/paducah-campus

## **Hazard Campus**

In 1990, the University established the Center of Excellence in Rural Health (CERH) to address health disparities in rural Kentucky, including a chronic shortage of health professionals and the residents' poor health status. The CERH accomplishes this through health policy research, community engagement, and health professionals' education to include the following programs: Doctor of Physical Therapy, Bachelor of Science in Medical Laboratory Science,

Bachelor of Arts in Social Work or Master of Social Work, and an East Kentucky Family Medicine Residency.

The CERH employs one (1) full-time security officer. This officer is tasked with ensuring a safe environment for all students, patients, faculty, staff, and visitors of the center. In addition to the security officer, the various sections of the building are secured with electronic access door locks that can be entered only by authorized personnel by swiping their University ID badge or by security code. Access to areas in the building is granted strictly on a need basis. This is accomplished by setting up groups in the security access system. With these groups, access to areas of the building is restricted to only those who need to be in a particular area. Additionally, nearly all of the buildings and parking areas are visible through a security camera system. This system allows for constant monitoring and recording of all activities throughout most of the area in and around the facility.

The CERH does not have an armed police force on the campus. The CERH security team works closely with local law enforcement with investigations of crimes committed on or around the campus. Generally, this involvement is limited to providing security camera footage to the local investigators.

Hazard Campus 750 Morton Boulevard Hazard, KY 41701

Phone: (606) 439-3557

Website: https://ruralhealth.med.uky.edu/

## **Bowling Green Campus - College of Medicine**

The University established the UK College of Medicine – Bowling Green Campus to address one of the most critical health needs confronting Kentucky, the shortage of physicians. The UK College of Medicine – Bowling Green Campus accomplishes this through partnerships with Western Kentucky University and The Medical Center at Bowling Green.

The UK College of Medicine – Bowling Green Campus is housed in a building owned by The Medical Center at Bowling Green. The Medical Center at Bowling Green campus employs sixteen (16) full-time and two (2) part-time armed security officers. These officers are tasked with ensuring a safe environment for all students, patients, faculty, staff, and visitors of the various buildings on campus. In addition to the security officers, electronic access door locks that can be entered only by authorized personnel by swiping their Medical Center ID badge secure various sections of the building. Access to areas in the building is granted strictly on a need basis and is accomplished by setting up groups in the security access system. With these groups, access to areas of the building is restricted to only those who need to be in a particular area. Additionally, nearly all of the buildings and parking areas are visible through a security camera system. This system allows for constant monitoring and recording of all activities throughout most of the areas in and around the facility. The security staff is certified by the International Association for Healthcare Security and Safety.

Bowling Green Campus – College of Medicine 399 US 31 W Bypass Bowling Green, KY 42101

Phone: (270) 781-2415

Website: https://meded.med.uky.edu/bowling-green

## Northern Kentucky Campus - College of Medicine (Highland Heights)

The University established the Northern Kentucky Campus – College of Medicine (Highland Heights) to address the shortage of physicians in Kentucky. This is accomplished through partnerships with Northern Kentucky University (NKU) and St. Elizabeth Healthcare.

The Northern Kentucky Campus – College of Medicine (Highland Heights) is housed on the third floor of NKU's Albright Health Center. Facilities utilized also include the anatomy lab in the Dorothy Westerman Hermann Natural Science Center. This campus location serves the needs of first- and second-year medical students and occasionally third- and fourth-year students when they are not on clinical rotations. Additionally, students will spend some time in the Health Innovation Center (HIC), where they will be taught clinical skills and participate in simulations. Electronic access door locks secure two student areas continually and can be entered only by authorized personnel who swipe their NKU ID badge to both the Natural Science Center and to the HIC. The third floor of Albright is restricted to NKU badge-only access during holidays and weekends, and on weekdays, 6 p.m. – 7 a.m. Access to areas in the building is granted strictly on an as needed basis. Additionally, due to its blind entrance, the student study lounge is monitored by a security camera.

NKU Police services this satellite campus in addition to a community of more than sixteen thousand (16,000) students, faculty, staff, and visitors with a staff of twenty-four (24) people. This includes sworn and non-sworn personnel consisting of: chief of police, lieutenant (1), detective (1), compliance coordinator (1), sergeants (5), full-time police officers (10), part-time police officer (1), community resource officer (1), K-9 unit (1), emergency dispatchers (7), and student safety ambassadors (2). Officers are sworn and state-certified, responsible for the full range of law enforcement services. These include but are not limited to responding and investigating incidents and offenses, medical emergencies, fires, bomb threats, traffic accidents, enforcement of state and local laws and other incidents that require police assistance. The NKU Police is accredited through the Kentucky Association of Chiefs of Police.

Northern Kentucky Campus – College of Medicine (Highland Heights) 100 Grant Drive, Albright Health Center, Suite 303 Highland Heights, KY 41099-2201

Phone: (859) 562-1480

Website: https://medicine.uky.edu/sites/meded/northern-kentucky-campus

## Northern Kentucky Campus – College of Medicine (Edgewood)

The University also established the Northern Kentucky Campus – College of Medicine (Edgewood) to address the shortage of physicians in Kentucky. As stated above, this is accomplished through partnerships with Northern Kentucky University (NKU) and St. Elizabeth Healthcare.

The Edgewood Campus is housed on the second floor of the St. Elizabeth's Hospital Medical Office Building. This campus location serves the needs primarily of third- and fourth-year medical students. Electronic access door locks secure the Edgewood Campus continually and can be entered only by entering a six-character code to outer doors and then to a door into the classroom or into the suite of offices and the student lounge. Access to this area is granted strictly on an as-needed basis. Although the medical office building is attached to the St. Elizabeth's Hospital via a pedway, it should be noted that the University does not own or control any space inside the hospital. No part of the hospital is considered University campus property.

The local City of Edgewood Police Department services this satellite campus in addition to a community of more than eight thousand seven hundred (8,700) residents. Seventeen (17) sworn and state-certified police officers are responsible for a full range of law enforcement services, including responding to and investigating incidents and offenses, medical emergencies, fires, bomb threats, traffic accidents, enforcement of state and local laws, and other incidents that require police assistance.

Northern Kentucky Campus – College of Medicine (Edgewood)
Doctor's Building, Suite 200
20 Medical Village Drive
Edgewood, KY 41017

Phone: (859) 218-8002

Website: https://meded.med.uky.edu/northern-kentucky

## **Promoting Safety and Security**

The following is a list of crime prevention and security awareness programs and projects available to the University community. Most programs are provided free of charge. The campus community is encouraged to be responsible for their security and the security of others.

**Alcohol Awareness and Education:** Before arrival, all incoming students and transfer students under the age of twenty-one (21) years are required to complete the online education alcohol and other substances prevention and intervention tool referred to as Everfi's AlcoholEdu. Those who fail to complete AlcoholEdu have a hold placed on their academic

account, restricting them from enrolling in classes the following term, thus allowing us a high completion rate and the opportunity to provide valuable information to students throughout their transition to college.

At various times through the year, Campus Recreation and Wellness and the student wellness ambassadors provide educational events and programs to University students, University student organizations, and University staff. This past year the student wellness ambassadors participated in more than four hundred (400) events reaching approximately ten thousand (10,000) students with messages focused on resource advocacy, prevention and intervention. This past year, we launched an in-person, interactive program referred to as the Learning Bar in which students visit a real bar environment to learn more than sixteen (16) lessons about how substances impact various parts of wellbeing and health. In fall alone we had over eight hundred (800) students visit the Learning Bar with a very positive response to the interactive lessons.

In addition to the student wellness ambassadors, Campus Recreation and Wellness is a critical partner in the collaborative group named WellKentucky. The group works together to provide holistic wellness education across campus through both an online resource, wellkentucky.org, and two all campus events. The co-sponsored events, Wellapalooza and Spring Break Bash, coincide with Alcohol Awareness Week as well as Spring Break Safety Week. Both annual events include more than twenty campus partners and reach more than five hundred (500) students. Campus Recreation and Wellness also works closely with the Office of Student Conduct to provide on-going restorative actions for students in violation of the Code of Student Conduct. This past year the department supported over four hundred (400) Restorative Actions ranging from online intervention, in-person group interventions, and individualized intervention sessions.

Campus Recreation and Wellness is also home to the Collegiate Recovery Community (CRC). This is a program for students in recovery from substance use disorders and other addictive behaviors. Open recovery meetings are held every week on campus and are open to students, faculty, staff, and community members. Additionally, the CRC also hosts weekly friends and family support meetings and on-going prevention and social events throughout the semester that are substance-free and conducive to students' recovery.

As a new initiative to campus, Campus Recreation and Wellness provides wellness coaching, recovery coaching, and mindfulness and meditation classes working to promote positive behaviors and coping skills to reduce harm.

Table 6: 2021 Programs Presented by the Office of Wellness Initiatives for Student Empowerment (WISE)

NAME OF PROGRAM	DATE HELD	LOCATION HELD	TOPIC COVERED
Everfi AlcoholEdu Online Education	July 15 – October 15 annually	Online	Primary education and resources for alcohol and other substances; connection to on-campus resources and support; harm reduction strategies to reduce harmful substance use behaviors
Fraternity and Sorority Life (FSL) Curriculum	Year-round	Throughout campus	All first-year FSL members are required to complete the Alcohol Skills Training Program to increase education and awareness to reduce harmful drinking. Additionally, second- year FSL students must complete the in person hazing prevention program.
Learning Bar – Interactive Substance Misuse Education	Year-round	Boone-Student Wellness Suite	Interactive education to increase awareness of substance impact on various wellbeing and health components.
Well Hut – Health Education	Year-round	Throughout campus	AOD, Stress management, Holistic Wellness, Student Success Strategies, Bystander Intervention
Recovery Meetings	Every Friday (All Recovery) and Wednesday (Friends and Family)	Hybrid	Recovery meeting for students in recovery from substance use disorders
Student Wellness Ambassadors	Year-Round (participated in >100 events across campus)	Throughout Campus	Alcohol and Drug Education, Holistic Wellness, Health and Wellness Resources (on campus)
Game Day Well Hut – Health Education	Home UK Football Games	Kroger Stadium	Alcohol and Drug Education, Campus Resources, and Interpersonal Violence Intervention and Prevention
Wellapalooza	09/29/2021	Gatton Student Center	Health and Wellness Resources (locations, services, programs, etc.)
Yoga at Kroger Field	10/13/2021	Kroger Field	Stress Management and Healthy Coping Strategies
Alcohol Screening Day	Spring/Fall	Throughout Campus	Alcohol and Drug Screening and Intervention
Spring Bash	03/24/2021	Gatton Student Center	Alcohol Awareness Week/Spring Break Safety Week as well as campus-wide health and wellness resources

**Kentucky Wildcab:** Kentucky Wildcab is a free, late night on-demand transportation option for UK students. This free service is primarily designed to provide a ride home to students and their guests while promoting responsible and safe late-night transportation decisions. Kentucky Wildcab service runs from 10 p.m. to 3 a.m. Thursday through Saturday, when fall and spring semester classes are in session.

Average wait times for a Wildcab are between ten (10) to fifteen (15) minutes; however, riders may experience shorter waits when demand is low and longer waits during peak demand. Upon arrival, the Kentucky Wildcab driver will wait for three (3) minutes before cancelling the ride and moving on to pick up other passengers. Once a ride is requested, users will receive an alert notifying them when the cab is on the way and when their ride has arrived at the scheduled pick-up point.

Students may be asked to show a valid UK ID. Kentucky Wildcab service is limited to the general campus vicinity, with a pre-established travel zone designed to accommodate the majority of areas adjacent to campus and frequented by students. This service area is generally bounded by Versailles Road to the west, Sixth Street to the north, Tates Creek to the east and Southland Drive to the south.

Kentucky Wildcab is funded by the UK Student Government Association and Student Transportation Fee and operated by student drivers employed through UK Transportation Services. For more information on how to access this free service, visit www.uky.edu/kentuckywildcab.

Cat's Path: The Cat's Path is comprised of a series of recommended walking routes that span central campus and provide the University community with a convenient method of navigating campus on a network of popular paths. The routes were specifically chosen due to their frequent use and accessibility to major campus destinations. Marked with highly visible signage and paw print ground logos, UKPD frequently patrols the Cat's Path, on foot or bike patrol. Students, faculty, staff, and guests are encouraged to choose these routes to heighten their visibility, particularly during hours of limited light. The paths are not designed to replace current personal safety efforts, such as traveling in groups and remaining alert; however, the increased police presence and path improvements will provide one more tool in your personal safety arsenal. This Cat's Path map shows recommended walking routes. For a copy of the Cat's Path map, please visit <a href="https://maps.uky.edu/printablemaps/visitorMap.pdf">https://maps.uky.edu/printablemaps/visitorMap.pdf</a>.

**Coffee with the Chief:** This program allows for a one-on-one conversation between the UKPD Chief and any member of the University community to promote stronger relationships with the UKPD and those it serves. The intent is to create a relaxed atmosphere to discuss casually any areas of concern or suggestions for improvements that would aid UKPD in its mission to build stronger relationships with the University community. Coffee with the Chief is scheduled as requested throughout the year. Chief Monroe held one (1) Coffee with the Chief conversation in 2021.

**LGBTQ\*** Liaison Program: Safety and security are at the forefront of the mission of the UKPD, but so is building trust among its community members. Furthering the University's commitment to creating a community of belonging for everyone on campus, UKPD Chief Joe Monroe has created a liaison program dedicated to fostering relationships within the LGBTQ\* community. UK's Police Department LGBTQ\* Liaisons work closely with the Office of LGBTQ\* Resources to help build mutual trust, respect, and understanding between LGBTQ\* members and the UKPD. Historically, members of the LGBTQ\* community in places across the country have had negative experiences with law enforcement. As a result, although a significant percentage of the LGBTQ\* population have experienced harassment and discrimination, there often is a reluctance to turn to the police. Having police officers who identify with diverse sexual orientations is one important effort to change that mindset. Building strong relationships is key to public safety and the well-being of the University's community. In addition to having liaisons, UKPD participates in 101 SafeZone training to give their officers a stronger knowledge base of strategies to demonstrate support. They will also act as the host for several STARR selfdefense classes for members who identify as LGBTQ\* and are open to finding new ways to enhance sensitivity and support within the community.

For more information about upcoming events with members of the LGBTQ\* community, visit <a href="https://www.uky.edu/lgbtq/calendar-events">https://www.uky.edu/lgbtq/calendar-events</a>.

**Special Victims Unit (A Unit of UKPD):** In 2020, UKPD created a Special Victims Unit which consists of a Special Victims Unit Lieutenant, Detective, and Victims Advocate. These dedicated professionals help victims of interpersonal violence navigate through the criminal justice system with respect and integrity.

The mission of the Special Victims Unit is to provide compassionate support services to survivors of interpersonal violence while removing barriers of reporting. They are committed to holding offenders accountable within the criminal justice system by maintaining quality police services with timely, thorough, and trauma-informed investigative techniques.

The main functions of the Special Victims Unit are outreach, training, and investigations. Members of the Special Victims Unit participate in community outreach programs to help with prevention, provide education, and reduce barriers to reporting. Special Victims Unit members undergo specific training to provide a trauma-informed approach, minimize re-victimization, and treat survivors with compassion and respect. The Special Victims Unit conducts thorough investigations of interpersonal violence incidents and helps guide survivors throughout the process and connect survivors to a variety of resources.

For additional information, visit https://www.uky.edu/police/special-victims-unit.

### Contact Information:

Lieutenant (859) 323-3401 Detective (859) 562-2223 Special Victims Advocate (859) 218-2370

## Center for Support and Intervention (CSI) formerly known as Community of

Concern (CoC): Several University offices have collaborated to provide training to faculty and staff on the topic of "Working with Distressed and/or Distressing Students." Using information from a University Counseling Center (UKCC) handout, information for effective interventions and referral processes (to various campus/community services) was incorporated for various levels of intervention. Referrals based on perceived situational threat – emergencies/threats go to UKPD/911; psychological crises or consultations go to UKCC; broader concerns regarding student behavior/well-being/ability to be successful at the University go to the Center for Support and Intervention (CSI) – which now also addresses employees of concern. Incident reports to the CSI may be referred on to the Office of Student Conduct or the Office of Institutional Equity and Equal Opportunity for a separate process in cases where the Code of Student Conduct or Administrative Regulations 6:1 or 6:2 may have been violated.

Table 7: 2021 Programs Presented by Center for Support and Intervention (CSI)

TITLE	FOCUS	DATE	AUDIENCE
Fraternity and Sorority Life New Member Educators	Introduction to CSI	03/10/2021	Students
Lewis Honors College	Introduction to CSI	03/17/2021	Faculty and Staff
Student Account Services	Working with Distressed and/or Distressing Students (CSI only)	03/20- 21/2021	Staff
Interfraternity Council	IFC and MENtal Health (with UKCC)	04/28/2021	Students
Fraternal Housing Coordinators	Threat Assessment	05/20/2021	Staff
New Resident Director On- Boarding	Introduction to CSI	07/12/2021	Staff
Resident Director Training	Introduction to CSI	07/19/2021	Staff
Fraternity and Sorority Life House Director Training	Introduction to CSI	08/06/2021	Staff
Residence Life Resident Assistants	Introduction to CSI	08/09/2021	Students
Residence Life Office Assistants	Introduction to CSI	08/10/2021	Staff
UK Athletics Men's Soccer	Introduction to Office of Student Conduct and CSI	08/10/221	Students
CARES Resource Fair	Introduction to CSI	08/17/2021	Students
Panhellenic Recruitment Counselor Training	Introduction to CSI	08/18/2021	Students
UK Athletic Women's Gymnastics	Introduction to Office of Student Conduct and CSI	08/19/2021	Students

Table 7 (continued).

College of Pharmacy New Student Orientation	Introduction to CSI	08/20/2021	College of Pharmacy New Student Orientation		
UK Athletics Men's Tennis	Introduction to Office of Student Conduct and CSI	08/20/2021	UK Athletics Men's Tennis		
UK Athletics Rifle and Women's Golf	Introduction to Office of Student Conduct and CSI	08/20/2021	UK Athletics Rifle and Women's Golf		
UK Athletics Women's Basketball*	Introduction to Office of Student Conduct and CSI	08/26/2021	UK Athletics Women's Basketball*		
College of Education Advisors	Introduction to CSI	09/02/2021	Faculty and Staff		
College of Arts and Sciences Advisors	Introduction to CSI	09/07/2021	Faculty and Staff		
College of Fine Arts Advisors	Introduction to CSI	09/09/2021	Faculty and Staff		
Student Orgs and Activities Student Leadership	Working with Distressed and/or Distressing Students (with UKCC)	09/11/2021	Students		
College of Engineering Paducah campus: EGR 175	Dean of Students, Office of Student Conduct, Center for Support and Intervention, Office of Institutional Equity and Equal Opportunity	09/14/2021	Students		
College of Engineering Advisors	Introduction to CSI	09/17/2021	Faculty and Staff		
College of Social Work Advisors	Introduction to CSI	09/17/2021	Faculty and Staff		
Gatton College of Business and Economics Advisors	Introduction to CSI	09/21/2021	Faculty and Staff		
College of Nursing Advisors	Introduction to CSI	09/28/2021	Faculty and Staff		
College of Health Science Advisors	Introduction to CSI	09/30/2021	Faculty and Staff		
UK Advising Network	Introduction to CSI	10/15/2021	Faculty and Staff		
New Faculty Chairs' Academy	Working with Distressed and/or Distressing Students (with UKCC)	10/21/2021	Faculty		
*Recorded for viewing by all other UK Athletics teams unable to schedule an in-person session					

**Electronic Alarm System:** The majority of electronic alarm systems on campus are monitored by Police Communications. Those not on the University system are on Simplex, a computer-based electronic system, monitored by an off-campus alarm company. Simplex immediately notifies UKPD dispatch upon alarm activation year-round. Alarm systems are configured to denote duress, intrusion, fire, and other activations. In the instance of fire alarms,

the Lexington Division of Fire and Emergency Services is notified immediately, followed by UKPD.

Emergency Telephones: The University campus is equipped with forty-seven (47) strategically placed emergency telephones. These phones are illuminated at all times, flash when activated, have 360-degree cameras, possess wide area notification speakers, and are programmed to automatically dial UKPD when activated. The telecommunications officer receiving the call knows exactly where the call is originating and can view the immediate surroundings with the 360-degree camera. To use these phones, simply push the red button and the emergency call will be initiated. Phones are tested monthly to ensure proper function. A campus map displaying the locations of these phones is available at <a href="http://maps.uky.edu/printablemaps/VisitorMap.pdf">http://maps.uky.edu/printablemaps/VisitorMap.pdf</a>.

**257-SAFE (7233):** In 2009, the University created one number for a variety of safety-related student services. This number serves as a centralized contact point to connect students to their desired service without them having to memorize or pre-program several phone numbers. A functioning auto-attendant directs people to five (5) safety-related services from the single (859) 257-SAFE number, twenty-four (24) hours a day, seven (7) days a week.

**SAFECATS (Safe and Free Escort for Campus Area Traveling Students):** This is a free safety escort service sponsored by UKPD and operated by the Flying Wildcats Booster Club, a student organization composed of Air Force ROTC cadets. Escorts have undergone UKPD training and criminal background checks.

Escorts provide walking and golf cart escorts for students to and from any destination on campus. This free service is provided Sunday through Thursday, 8:30 p.m. to 1:30 a.m. (except during University holidays or the summer), with extended hours during final exam weeks. To contact SAFECATS, call (859) 257-SAFE (7233). Hearing impaired students may request a SAFECATS escort by emailing SAFECATS at <a href="mailto:uksafecats@uky.edu">uksafecats@uky.edu</a>. For additional information visit <a href="mailto:http://www.uky.edu/police/257-safe-and-safecats">http://www.uky.edu/police/257-safe-and-safecats</a>.

**Student Newspaper:** The University's student newspaper, the Kentucky Kernel, has access to all information contained in the crime log. The editor of the paper determines whether to publish a campus crime report. The Kentucky Kernel publishes a print edition on Thursday during the fall and spring semesters. The Kernel updates its online site daily at <a href="https://www.kykernel.com">www.kykernel.com</a>.

Campus Community Emergency Response Team: CMP offers Campus Community Emergency Response Team (C-CERT) training to University faculty and staff on an annual basis. The primary purpose of C-CERT is to apply the established CERT curriculum, adopted by the U.S. Department of Homeland Security, to our University environment. C-CERT members receive hands-on training in basic response skills, such as fire safety and suppression, light search and rescue, disaster medical operations, team organization, disaster

psychology, and terrorism. Utilizing the training learned in the classroom and during exercises, C-CERT members can assist others in their neighborhood or workplace following an event when professional responders are not immediately available to help. C-CERT serves to complement UKPD's response to serious events. For more information on C-CERT, visit <a href="https://www.uky.edu/cmp/campus-community-emergency-response-team-c-cert">https://www.uky.edu/cmp/campus-community-emergency-response-team-c-cert</a>.

Building Emergency Action Plan (BEAP) Program: The University's Building Emergency Action Plan (BEAP) program establishes emergency action plans for each building on campus. These plans provide basic direction to all building occupants on actions they should take to protect themselves during various types of emergencies. Each BEAP identifies the building and floor coordinators who are responsible for maintaining and disseminating their building's plan, and who provide immediate leadership to their fellow staff, faculty, and students during an emergency. For more information on BEAPs, visit <a href="https://www.uky.edu/cmp/building-emergency-action-plan-beap">https://www.uky.edu/cmp/building-emergency-action-plan-beap</a>.

# Training Offered by UKPD, Community Services Division to Address Crime Prevention Education:

**Crime Prevention Presentations:** Periodically throughout the year, UKPD works with the University community to present information regarding the protection of themselves and their personal property, crime awareness, emergency procedures, and police resources. Additionally, the Specialists conduct crime prevention presentations on methods related to self-protection and protection of property. For additional information, contact UKPD Community Services at (859) 257-5108.

**Orientation to the Campus Community:** Throughout the year, UKPD participates in orientation sessions including those for new students, parents, faculty, and staff. During these orientations, specialists provide information regarding the campus community, including personal security, how UKPD works, and services that are available to the campus community regarding personal safety and security.

**Community Awareness Programs:** Members of UKPD actively participate throughout the year in University-sponsored awareness programs, such as resource fairs, community presentations, etc. The purpose of these programs is to provide the University community with a wide range of information concerning the resources available to them through the different University departments.

**Community Policing Program:** UKPD officers attend meetings throughout the year of many departments and campus associations to provide up-to-date crime prevention information and to hear the concerns of members of the University community about crime and security issues.

Active Attack, A Civilian Response Program: This program is for civilians who could find themselves involved in such an event and prepares them to take immediate action to save their lives. UKPD's mission is to provide the best research-based active attack response training in the nation. UKPD also trains the community in how law enforcement will respond to an active attack situation.

Citizens Police Academy: UKPD Citizens Police Academy (Academy) has helped foster a more cohesive relationship between University police officers, campus, and the Lexington community by making graduates more familiar with police practices. The Academy, offered in spring and fall, is comprised of a series of 2-hour courses offered over 10 weeks. Topics covered are: University Policing Overview, Criminal Procedure, K-9 Operations, Firearms and Use of Force, Driving Under the Influence, Dignitary Protection, Joint Terrorism Task Force, Traffic Stops, and Crisis Management and Preparedness. Course material is presented through lecture, audio-visual aids, and interactive scenarios. There is no charge for taking part in the Academy. Participants must be eighteen (18) years or older. Prospective participants must complete a course application and submit to an electronic background check.

**De-Escalation Training:** UKPD has specialized instructors that teach students, faculty, and staff how to be readily equipped to handle individuals under stress or extreme anxiety. They are provided a creative look at conflict that will help them defuse confrontations and generate cooperation both at work and at home.

S.T.A.R.R. (Self-Defense Tactics and Risk Reduction): The Self Defense Tactics and Risk Reduction (S.T.A.R.R.) program is vital to the UKPD's mission to provide a sense of security and empowerment to female faculty, staff, and students. The program is a self-defense class for women only and free of charge. The program builds confidence and teaches women how to defend themselves in the event of an attack. S.T.A.R.R. is offered through fall and spring semesters for a total of eight (8) courses with a maximum of eighteen (18) participants for each course. Classes meet at varied scheduled times. In addition to the scheduled classes, UKPD offers special arrangements for parties of eight (8) or more. Demonstrations are performed throughout the school year at residence halls and sorority housing. Women under the age of eighteen (18) who wish to attend the course will be required to get parent or guardian approval. If you have any questions about the STARR program, you are encouraged to contact the coordinator by email at <a href="mailto:STARR@uky.edu">STARR@uky.edu</a>. For course availability visit <a href="https://www.uky.edu/police/starr-womens-self-defense-program-0">https://www.uky.edu/police/starr-womens-self-defense-program-0</a>.

**LiveSafe Mobile App:** LiveSafe is a free mobile application that provides an extra layer of safety for all users. LiveSafe crowdsources intelligence regarding suspicious activity and safety concerns directly to UKPD through GPS-enabled audio, video, photos and text with the ability to do so anonymously. Utilizing the SafeWalk feature, users can virtually walk their friends home and quickly request help in the event of an emergency.

Walking directions to any building on or off-campus, emergency operations guidelines, and extensive community resources are all contained within the LiveSafe app. You can download the LiveSafe App on any Android or Apple smartphone. For more information on the LiveSafe App, visit <a href="https://www.uky.edu/cmp/livesafe">https://www.uky.edu/cmp/livesafe</a>.

**Security Surveys:** Security surveys/audits, including formal and informal, may be conducted, throughout the year, upon request, for various departments, organizations and individuals throughout the Commonwealth of Kentucky. To request the results of surveys or to request a new survey, contact the UKPD Community Affairs staff at (859) 257-5108.

**Crime Prevention Specialist:** UKPD employs two (2) full-time police officers who are professionally certified Crime Prevention Specialists (Specialists) who analyze, review, and survey the effectiveness of building security and design and procedures throughout the year. These Specialists recommend security improvements, based on the latest consensual crime prevention standards, to the University community and administration. Additionally, the Specialists conduct crime prevention presentations on methods related to self-protection and protection of property.

Table 8: 2021 Programs Presented by UKPD Community Affairs

TYPE OF SERVICE	DATE HELD	LOCATION HELD
Security Assessment	02/25/2021	125 East Maxwell St. Suite 302
Live Safe	03/26/2021	Zoom
Live Safe	03/29/2021	Zoom
Active Shooter	04/13/2021	Chandler ED and Zoom
General Presentation	04/19/2021	Zoom
Security Assessment	04/26/2021	Mandrell Hall
Security Assessment	04/27/2021	Floyd Co. Extension Office
Light Serve	06/14/2021	Palomar Clinic
RA Meeting	06/15/2021	Zoom
Res Life Vandalism Work Group	06/17/2021	Zoom
Leadership Orientation	06/21/2021	Funkhouser
RA Follow Up	06/22/2021	Zoom
Gov School of Art Presentation	06/25/2021	Singletary Center
Vandalism Meeting	06/25/2021	Zoom

## Table 8 (continued).

College of Dentistry Situational Awareness	06/28/2021	Zoom
Fall Fair	06/28/2021	Student Center
Freshman Fair	06/30/2021	Student Center
CS Interviews	06/30/2021	The 90
Student Fair	07/07/2021	Student Center
Student fair	07/08/2021	Student center
Student Fair	07/09/2021	Student center
Res Life Vandalism Work Group	07/09/2021	Zoom
Student Fair	07/13/2021	Student Center
International Grad Student Orientation	07/14/2021	Zoom
Security recommendations	07/14/2021	Pac Building
Student Fair	07/14/2021	Student Center
Student Fair	07/15/2021	Student Center
Security Recommendations	07/21/2021	Police Dept
Safety Inspection	07/22/2021	Jackson Co Office
Meeting about Cat-A-Palooza	08/02/2021	Student Center
Meeting with Palomar about Built Glass	08/03/2021	Teams Meeting
Res Life Meeting About Training	08/09/2021	Zoom
Training on Faith and Blue	08/10/2021	Student Center
All Staff Training W/ Culver	08/11/2021	Science Building
Q and A with Lewis College	08/16/2021	Lewis College
Safety Talk with Sorority	08/17/2021	Student Center
Orientation Meeting for CARES Tutors	08/21/2021	Zoom
After Hours Event	09/8/2021	Jewell Hall
After Hours Event	09/8/2021	Blazer Hall
Self-Defense Presentation	09/9/2021	Student Center
Security Recommendations	09/16/2021	UK Pharmacy Warehouse
Tuck A Truck	09/19/2021	Kroger Field

## Table 8 (continued).

Citizens Police Academy Class	09/22/2021	The 90, the EOC
Coffee With a Cop Run Through	09/29/2021	Joe Craft Center
Citizens Police Academy Class	09/29/2021	The 90, the EOC
Building Security Meeting – Childhood Center	10/05/2021	Zoom
Coffee With a Cop	10/06/2021	Memorial Coliseum
Citizens Police Academy Class	10/06/2021	The 90, the EOC
Res Life Vandalism Work Group	10/07/2021	Zoom
Faith and Blue	10/09/2021	Emanuel Baptist Church
Citizens Police Academy Class	10/13/2021	The 90, the EOC
Active Shooter Training with Rosenberg – College of Law	10/19/2021	Rosenberg College of Law
Citizens Police Academy Class	10/20/2021	The 90, the EOC
Meeting About Active Attack Training	10/22/2021	Zoom
Resource Fair	10/27/2021	Boone Center
Citizens Police Academy Class	10/27/2021	The 90, the EOC
Citizens Police Academy Class	11/03/2021	The 90, the EOC
Citizens Police Academy Class	11/10/2021	The 90, the EOC
Citizens Police Academy Class	11/17/2021	The 90, the EOC
Coffee with a Cop	11/17/2021	Student Center
Coffee with a Cop	11/18/2021	WT Young Library
Coffee with a Cop	11/19/2021	KY Clinic
Safety Walk	11/30/2021	Student Center
Class Related Interview	12/01/2021	Student Center
International Grad Student Orientation	12/07/2021	Zoom
Citizens Police Academy Class Graduation	12/08/2021	The 90, the EOC
Ortho Clinic Security Walk Through	12/10/2021	KY Clinic
Internal Med Security Walk Through	12/13/2021	KY Clinic
Foster Care Meet and Greet	12/18/2021	Elkhorn Church
Stocking Stuffing Event	12/23/2021	Ronald McDonald House

## **Timely Reports via Crime Bulletins and UK Alert:**

**Crime Bulletins** – If circumstances warrant, UKPD, in cooperation with CMP and designated CSAs, will issue special timely warnings and distribute them throughout campus. Crimes that have already occurred and/or represent an ongoing threat to students and employees can trigger timely warnings.

**UK Alert** – UK Alert is the University's emergency notification system and may be used to communicate official information during an emergency or crisis that disrupts normal campus operation or threatens the immediate health or safety of the campus community. This system is only used when immediate action is required by the recipient. All University students, staff, and faculty are automatically registered in UK Alert with their official University email address. The University encourages students, staff, and faculty to provide additional contact information such as mobile phone numbers and personal email addresses to their UK Alert accounts. Parents, media, visitors, and other interested parties may register for UK Alert on a voluntary self-subscription basis. UK Alert provides immediate notifications to the University community through text messages, phone calls, email, and outdoor sirens. The outdoor sirens (blue notification towers) are strategically placed across campus to provide outdoor alert tones and broadcast emergency messages. These emergency notification towers are illuminated at all times and flash when activated. For further information or to sign up for UK Alert, visit www.uky.edu/ukalert.

Violence Intervention and Prevention (VIP) Center: This resource center is geared toward the primary prevention of interpersonal violence (dating violence, domestic violence, sexual assault, and stalking). VIP is home of the Green Dot, a nationally recognized violence prevention strategy focusing on increasing safety by recognizing individual safety as a community responsibility. In addition to Green Dot Training, VIP also provides education and programming around social justice issues, activism, bystander dynamics, and many other topics connecting students, staff, and faculty to violence prevention. While the University's goal is to shape a violence-free campus, the University recognizes the importance of support and advocacy for any student, staff, or faculty member impacted by an act of dating violence, domestic violence, sexual assault, and stalking. VIP provides survivors with the tools, information, and options to make well-informed decisions that best meet their needs. VIP works using the lens of inclusive excellence and recognizes that a person's identity is connected to their experience or lack of experience with dating violence, domestic violence, sexual assault, and stalking. VIP is a safe, welcoming, and affirming space for anyone no matter their race, ethnicity, sex, sexual orientation, gender identity, gender expression, socioeconomic status, or any other identity. VIP is located in the Gatton Student Center, Suite 300A and is open Monday through Friday 9 am - 5 pm. VIP staff can be reached by calling (859) 257-3574 or emailing vipcenter@uky.edu.

The University encourages individuals who make a report or file a formal complaint of sexual assault, stalking, and relationship violence, regardless of where the report is made, to also

contact VIP (www.uky.edu/vipcenter) for assistance in accessing and navigating services, resources, and referrals both on and off campus. All University personnel who receive a report or complaint of sexual assault, stalking, or relationship violence should immediately refer the complainant to VIP for support and information about their options.

VIP provides a variety of programs for the campus community, including the following:

## **Green Dot Training**

<u>Green Dot Overview</u> – An inspiring summary of the Green Dot strategy at the University offered to classes, residence halls, and student organization/departmental meetings. As the "Home of the Green Dot," VIP is proud to highlight the basic concepts behind this strategy and how each community member can use their influence to reduce violence on campus (45 minutes).

<u>Green Dot Training</u> – Our Green Dot trainings are based on two (2) fundamental concepts:

- 1. The choices of bystanders can make the difference between an act of violence being committed and an act of violence being stopped.
- 2. Those community members trained as active bystanders are more likely to intervene and be proactive, ultimately creating a critical shift from inaction to action on our campus when it comes to interpersonal violence (sexual assault, stalking, and partner violence). The Green Dot strategy began at the University and is now being implemented on campuses and in communities across the nation, with each training ranging from two (2) to six (6) hours.

# Sexual Assault Prevention for Undergraduate Students (SAPU) and Sexual Assault Prevention for Graduate and Professional Students (SAPG)

All incoming students are required to take SAPU or SAPG, a premier online program addressing the critical issues of dating violence, domestic violence, sexual assault, and stalking. Created in collaboration with leading campus practitioners and researchers and national thought leaders including renowned expert Dr. Alan Berkowitz, these programs reach seven hundred thousand (700,000) individuals at over six hundred fifty (650) institutions across the country. Because all students are required to complete this program, this provides a foundation of primary prevention knowledge upon which to build future prevention programming. This program also meets federal requirements by informing all students of their rights, resources, options, and relevant University policies if they have been impacted by dating violence, domestic violence, sexual assault, and stalking. This program includes positive options for bystander intervention and information on risk reduction but discourages any victim blaming.

## **Consent Campaign**

In the fall of 2015, VIP launched a campus wide Consent Campaign in partnership with the office of the Dean of Students. This is a comprehensive, ongoing campaign that utilizes in-person programming, social media, printed and electronic materials, awareness events, and campus partnerships to educate and engage the campus, promoting a deeper understanding of consent. It also includes an in-person, peer-led training called #ConsentCulture, in which student leaders facilitate discussions and activities around understanding consent with their peers.

#### **Additional Educational Activities**

VIP offers a wide range of presentations related to dating violence, domestic violence, sexual assault, and stalking as well as, dating violence, domestic violence, sexual assault, and stalking-related social justice issues, and other relevant topics. VIP staff welcome additional topic ideas from students, staff, and faculty and opportunities to collaborate with other University departments and divisions.

## **Internship Opportunities**

VIP offers several experiential learning and community service opportunities to those who want to contribute to violence prevention efforts. VIP offers competitive internship opportunities for undergraduate students rooted in prevention-based programming development, distribution, and assessment. Please contact VIP by calling (859) 257-3574 or emailing vipcenter@uky.edu for details and application requirements.

#### **Resource Center**

VIP encourages all students, staff, and faculty to explore their connection to this issue and their areas of influence to shape a violence-free campus. The resource center is open to the campus community during normal business hours and offers VIP services, resource materials, meeting space, conversation space, and activities to engage all University community members.

# Support Services for Students, Staff, and Faculty Affected by Dating Violence, Domestic Violence, Sexual Assault, and Stalking

VIP offers support for students, staff, and faculty impacted by dating violence, domestic violence, sexual assault, and stalking including crisis counseling, a safe and affirming space, information and options, safety planning, academic advocacy, medical and legal accompaniments, housing advocacy, emergency financial assistance, referrals to campus and community organizations, healing and self-care activities, and additional resources.

**World Wide Website:** UKPD maintains a website (<a href="www.uky.edu/Police">www.uky.edu/Police</a>) for quick and up-to-date information on the police department and services it provides in addition to crime statistics, crime prevention information, emergency procedures, and online registration for STARR. UKPD also posts special alerts, timely reports, and a daily crime log to this site.

**The Office of Student Conduct**: The Office of Student Conduct (<a href="www.uky.edu/studentconduct">www.uky.edu/studentconduct</a>) is committed to promoting a safe, healthy, student-centered, and

inclusive community where students can learn, grow, and develop as they pursue their academic endeavors at the University. The goals of the student conduct system are to: promote personal responsibility and peer accountability; encourage students to consider the impact of their actions on themselves their peers, and the greater community; empower students to address any conflict that may arise in a safe, respectful, and socially conscious manner; collaborate with faculty, staff, students, and the campus community concerning student conducts matters; and educate the campus community about student rights and responsibilities related to the Code of Student Conduct. Any individual can report potential misconduct through the public reporting form on the Office of Student Conduct website.

The Office of Student Conduct supports this mission by providing programs and services that:

- Provide a fair, educational, consistent student conduct process for resolving alleged violations of the Code of Student Conduct.
- Teach students about appropriate behavior and community responsibility that respects the dignity and humanity of others.
- Intervene when student behaviors violate the Code of Student Conduct in an educative manner and provide opportunities for students to learn from their actions.
- Offer training opportunities for faculty and staff who participate in the facilitation of the student conduct process.

Table 9: 2021 Programs Presented by the Office of Student Conduct

NAME OF PROGRAM	DATE HELD	LOCATION HELD	TOPIC COVERED
Restorative Justice Training	01/14 - 01/15/2021	Zoom	Restorative justice training for ORL
New Conduct Officer Training	01/27 - 01/28/2021	Zoom	Conduct Officer Training/Maxient
Investigator Training	01/29/2021	Zoom	Training for campus partners to assist in investigations
OSC/FSL Expectations Presentation - Pi Kappa Alpha	02/02/2021	Zoom	Expectations/Overview presentation
FSL Presidents Conduct Training	02/03/2021	Zoom	Conduct Process
OSC/FSL Expectations Presentation - Sigma Phi Epsilon	03/01/2021	Zoom	Expectations/Overview presentation
Delta Zeta - Overview of Conduct Process and Covid-19 Guidelines	04/12/2021	Zoom	Overview of Conduct process, Covid-19 Guidelines
OSC/FSL Expectations Presentation - Triangle	04/28/2021	Zoom	Overview of Conduct process, Covid-19 Guidelines

Table 9 (continued).

OSC/FSL Expectations Presentation - Kappa Alpha	05/03/2021	Zoom	Overview of Conduct process, Covid-19 Guidelines
New Resident Director On- Boarding	07/12/2021	WG3 Classroom	Overview of Conduct process, due process, Maxient
ORL Conduct Officer Training	07/19/2021	Lewis Honors College U133	Conduct Officer Training
Conflict Management: RA Staff	08/09/2021	JSB 121	Overview of Conflict and Conflict Resolution Skills
Confronting Incidents: RA Training	08/09/2021	JSB 121	Confronting incidents; reporting
Kickin' Off the School Year Right	08/09/2021	Bell Soccer Complex	Expectations regarding University Housing Community Standards and the Code of Student Conduct
Incident Report Writing	08/10/2021	JSB 121	Incident report submission and what should be contained in an incident report
Roommate Agreement: RA Training	08/10/2021	JSB 121	Conflict Coaching/ Expectation Setting/ Spectrum of Resolution
Conflict Resolution - SAB Executive Team	08/10/2021	GSC 350 C	Overview of Conflict and Conflict Resolution Skills
Difficult Conversations - Skills for Conflict Resolution	08/12/2021	Whitehall Classroom Building 110	Overview of Conflict, conflict management styles, and skills
Difficult Conversations: Skills for Conflict Resolution	08/19/2021	JSB 321	Overview of Conflict, conflict management styles, and skills
FSL Presidents Conduct Training	09/01/2021	Whitehall Classroom Building 106	Conduct Process
Conflict Resolution: Difficult Conversations	09/11/2021	GSC Harris Ballroom	Overview of Conflict and Conflict Resolution Skills
Hearing Board Training	09/17/2021	Zoom	Conduct process, hearing board information, skills
Investigator Training	09/20/2021	Zoom	Training for campus partners to assist in investigations
OSC/FSL Expectations Presentation - Alpha Sigma Phi	09/27/2021	Zoom	Expectations/Overview presentation
Conduct Training	10/01/2021	Patterson Office Tower 545	Conduct training for new conduct officers
Difficult Conversations - Skills for Conflict Management and Confrontation	10/03/2021	GSC Harris Ballroom	Overview of Conflict, conflict management styles, and skills
Hearing Board Training	10/06/2021	Zoom	Conduct process, hearing board information, skills

The University of Kentucky Counseling Center: Consultation and Psychological Services (UKCC): UKCC provides individual, group, and crisis mental health services to enrolled students. From a safety and security perspective, UKCC specifically provides services

to students who are survivors of assault or abuse, survivors of oppression or identity based bias incidents, and individuals with thoughts of harming themselves or others, as well as providing support to the campus community around traumatic events. UKCC also provides free consultation to the broader community regarding students who have experienced abuse, harassment, or bias as well as students who are struggling with serious mental health concerns including contemplating suicide. UKCC continues to see significant increases in utilization each year. For more information about UKCC, visit <a href="https://www.uky.edu/counselingcenter/">https://www.uky.edu/counselingcenter/</a>.

UKCC staff members provide numerous programs and workshops to students, faculty, and staff on topics promoting wellbeing and safety. A primary example is QPR (Question, Persuade Refer), a suicide prevention training program which, as with CPR, teaches people early recognition, intervention, and referral to more highly-trained caregivers. UKCC also provides programming to support the UK community in the face of oppression trauma. Additional programs focusing on safety and well-being include working with distressed students, conflict management, stress and anxiety management, peer counseling and support, student death or injury debriefings, diversity and inclusion, and depression, alcohol, and eating disorder screenings. As part of the effort to create an inclusive campus environment, UKCC reaches out to marginalized or minoritized students for programming needs as well as collaborates with other departments and organizations that support marginalized or minoritized students. UKCC conducts and participates in numerous racial justice programs. During the pandemic, UKCC has provided both virtual and in-person programming through the rest of the year.

UKCC is open Monday and Friday, 8 a.m. to 4:30 p.m, with evening clinical services Tuesday through Thursday and phones answered twenty-four (24) hours a day. UKCC services are free to University students enrolled and paying for at least six (6) credit-bearing hours (this does not include employees taking free courses as a benefit). UKCC also is a member of the Community of Concern (see the Center for Support and Intervention for more information).

Table 10: 2021 Programs Presented by the University of Kentucky Counseling Center (UKCC)

PROGRAM	NUMBER OF PROGRAMS	TOPIC	AUDIENCE
Peer Counseling Skills	9	Peer counseling skills, motivational interviewing, working with specific student populations in distress, trauma-informed care	Residence Life – RAs, Panhellenic
Distressed Students	8	Responding to and referring students in crisis	International Center Orientations; Undergraduate Admissions; Student Organizations and Activities; Career Center; College of Engineering; Athletics; Advising Network

Table 10 (continued).

QPR	34	Suicide Prevention	Various Colleges (Faculty and Administrators); Residence Life Staff and RAs; Engineering LLP; Courses in Kinesiology; Psychology; Nursing; Transformative Learning; Peer Mentors; MLK Center; Gamma Chi; Panhellenic
Cultural Competency	8	Diversity and inclusion training with emphasis on cultural competency in relevant professional/academic area	CARES; College of Pharmacy; Student Engagement; College of Public Health
Grief Debriefing	9	Supporting students and employees following a student or employee death.	Specific Fraternities and Sororities; Specific Residence Halls; Lewis Honors College; College of Law; Veterans Resource Center
Mental Health First Aid	4	Overview of signs and symptoms of a mental health crisis and how to help an individual	Center for Support and Intervention
Mental Health Screening Day and Awareness Events	7	Community screening for mental health, substance use, disordered eating	UK Community

The Office of LGBTQ\* Resources: Located in the Dinkle-Mas Suite for LGBTQ\* Resources in the heart of the Gatton Student Center, the Office of LGBTQ\* Resources is the central hub for accessing information, groups, and services related to diverse sexual and gender identities. The office works to make sure the University community is a welcoming, safe, and supportive place for all students, faculty, staff, and alumni. The mission of the office focuses on the three core pillars of education, advocacy, and community building and staff are actively engaged in supporting student organizations, offering professional development opportunities for campus groups, and advocating for lesbian, gay, bisexual, transgender, and queer individuals from many walks of life. The ultimate goal is to highlight the wonderfully diverse experiences of LGBTQ\* Wildcats while working to decrease marginalization in all forms. For more information on the UK Office of LGBTQ\* Resources email lgbtq@uky.edu or visit\_https://www.uky.edu/lgbtq/.

Table 11: 2021 SafeZone Programs Presented by the Office of LGBTQ\* Resources

DATE	PROGRAM	DEPARTMENT ENGAGED
06/16/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	General Campus
08/20/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	RA Training
08/27/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	College of Pharmacy
09/16/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	General Campus
10/04/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	RA Training
10/27/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	College of Pharmacy
11/03/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	College of Pharmacy
12/01/2021	SafeZone Workshop: LGBTQ* Rhetoric and Realities	College of Pharmacy

## **Drug and Alcohol Policy**

University policy prohibits the unlawful possession, use or distribution of alcohol and controlled/illegal drugs by any member of the University community. All University community members are expected to fulfill their obligations and responsibilities according to University policy as well as federal, state, and local laws. Disciplinary action imposed by the University may be in addition to criminal penalties. Both students and employees are subject to the applicable state and federal laws.

**Alcohol Policy:** The University expects its students and employees to be acquainted with and abide by state laws and University regulations regarding alcohol and drugs and to be aware of the social, physiological, and psychological consequences of excessive drinking to make responsible and informed decisions about the serving and consumption of alcohol. The University provides regular educational programs on alcohol and drug abuse as well as counseling services. The University alcoholic beverage policy is consistent with the laws of the Commonwealth of Kentucky, which, in general, prohibit the possession, consumption, and serving of alcoholic beverages by and to persons less than twenty-one (21) years of age.

The University does not permit alcoholic beverages in classrooms, laboratories, offices, the undergraduate sections of University apartments or in the individual rooms of residence halls and fraternity and sorority houses. However, new policy dictates that alcohol can be consumed in common areas of residence halls and fraternity/sorority houses during registered student organization-sponsored events that meet certain requirements (e.g., security, third-party server,

number of guests of legal age to drink, etc.). The University permits alcoholic beverages in graduate student apartments and houses, married student housing, and non-student residential housing, provided such use does not violate any law or University regulation. Alcoholic beverages are also permitted in University facilities for private events with permission of the Provost or appropriate executive vice president, provided such use does not violate any law or University regulation.

The full text of the University's Alcohol Policy is available at <a href="https://www.uky.edu/regs/sites/www.uky.edu.regs/files/files/ar/AR6-4">https://www.uky.edu/regs/sites/www.uky.edu.regs/files/files/ar/AR6-4</a> University Alcohol Policy-Final 2015-06-19.pdf.

**Drug Policy:** The University is committed to providing a healthy and safe environment for its students, faculty, and staff. The University has defined conduct concerning the unlawful possession, use, dispensation, distribution or manufacture of alcohol or illicit drugs. Conduct that violates this definition poses unacceptable risks and disregard for the health, safety, and welfare of members of the University community and shall result in disciplinary action up to and including suspension or termination.

As a recipient of federal grants and contracts, the University gives this notice to students, faculty, and staff that it is in compliance with and shall remain in compliance with the Drug-Free Workplace Act of 1988 and the Drug-Free Schools and Communities Act of 1989. This notice informs students, faculty, and staff of the standards of conduct which shall be applicable while on University property, on University business, and/or at University-sponsored activities.

#### **Standards of Conduct**

By University regulations, federal law, state law, and, in some instances, local ordinance, students, faculty, and staff are prohibited from the **unlawful** possession, use, dispensation, distribution, or manufacture of illicit drugs on University property, on University business, and/or at University-sponsored activities.

Under University regulations students, faculty, and staff are required to abide by state laws concerning alcoholic beverages. Kentucky laws state that if one is under the age of twenty-one (21), it is unlawful to: (1) possess or consume alcoholic beverages, (2) misrepresent one's age for the purpose of purchasing alcoholic beverages, or (3) use a fake ID in an attempt to purchase alcoholic beverages. No matter what one's age, Kentucky law states that it is unlawful to: (1) procure any alcoholic beverages for anyone under twenty-one (21) years of age, or (2) drink or to be drunk in a public place.

University campuses and buildings are considered public places for purposes of these laws, except for facilities licensed to serve alcoholic beverages and facilities used as a private residence, unless University regulations state otherwise.

Ordinances of the Lexington-Fayette Urban County Government parallel state law.

Any member of the University student body, faculty, or staff who violates these defined standards of conduct shall be subject to appropriate disciplinary action up to and including suspension and/or termination. The specifically-defined standards of conduct, the disciplinary

procedures, and the appropriate sanctions are detailed in the Code of Student Conduct (<a href="http://www.uky.edu/studentconduct/code-student-conduct">http://www.uky.edu/studentconduct/code-student-conduct</a>) and in AR 6:4 (titled "UNIVERSITY ALCOHOL POLICY") and Human Resource Policy and Procedures (HR P & P) Numbers 13.0 and 14.0 (titled "DRUG ABUSE" and "ALCOHOL ABUSE," respectively).

Additionally, it is a violation of state law to operate a motor vehicle while under the influence of any substance which may impair one's driving ability (drugs or alcoholic beverages).

### **Sanctions**

Under University regulation, students who violate this standard of conduct are subject to disciplinary action from a minimum of a warning to a maximum of suspension from the University. Students who reside in University Housing are subject to further disciplinary action which may vary from a warning to termination of their housing contract.

Faculty and staff are subject to disciplinary action from a minimum of a warning to a maximum of termination from University employment.

Under state and federal drug laws, the gravity of the sanction depends on the classification of the controlled substance, the particular activity involved (possession or trafficking which includes manufacture, sale, and possession with intent to sell), and whether or not multiple convictions are involved.

Under Kentucky law, the most severe penalty for a drug law violation involves trafficking. On a first offense conviction, one may receive a fine of up to ten thousand dollars (\$10,000) and/or a sentence of up to ten (10) years in the penitentiary; for subsequent offenses, the penalties may be doubled.

Under federal laws for simple possession of a controlled substance, one may be imprisoned for up to one (1) year and/or fined up to one thousand dollars (\$1,000). For subsequent offenses, one may be imprisoned for up to three (3) years and/or fined up to five thousand dollars (\$5,000). Under federal law, one may be fined up to eight million dollars (\$8,000,000) and/or may be sentenced from not less than ten (10) years up to life in prison for trafficking in drugs. For violations of other federal drug laws, one may receive life in prison or the death penalty.

Under both state and federal laws, one may suffer the loss of whatever property (house, farm) or possessions (vehicle) which one may have used in the drug trade.

Sanctions for violation of state alcohol laws vary from a fine of ten dollars (\$10) to two thousand dollars (\$2,000), a sentence of forty-eight (48) hours to twelve (12) months in jail, and/or suspension of one's operator license.

## **Notice of Drug-Related Conviction**

In compliance with the Federal Drug-Free Workplace Act of 1988, any employee shall notify the immediate supervisor if the employee is convicted of a criminal drug offense occurring in the workplace or while on University business within five (5) days of the conviction. The University shall take appropriate sanctions and remedies according to its policies. The provisions of this section apply to students who are employees of the University. If the employee is under a federal contract or grant, the University shall notify the contracting or granting agency of the

conviction and its actions. This section of this policy is also applicable to students who receive a Pell grant (federal grant).

#### **Health Risks**

The scope and impact of health risks from alcohol and drug abuse are both alarming and welldocumented, ranging from mood-altering to life-threatening, with consequences that extend beyond the individual to family, organizations and society at large. The University, therefore, conducts regular programs to educate its students, faculty and staff that consumption and use of drugs may alter behavior, distort perception, impair thinking, impede judgment, and lead to physical or psychological dependence. Alcohol and/or drugs and/or drug abuse may lead to the deterioration of physical health by causing or contributing to various health conditions including but not limited to fatigue, nausea, personal injury, insomnia, pathological organ damage, some forms of cancer, pancreatitis, heart attack, respiratory depression, birth defects, convulsions, coma, and even death. Alcohol and drug abuse may also result in deterioration of mental health by causing or contributing to various conditions such as increased aggression, hallucinations, depression, disorientation, and psychosis. A detailed list of the effects and health risks associated with the use of many specific drugs appears as Appendix B to the University's Policy Statement as a Drug-Free Institution (link provided below). Alcohol consumption causes a number of marked changes in behavior. Even low doses significantly impair the judgment and coordination required to drive a car safely, increasing the likelihood that the driver will be involved in an accident. Low to moderate doses of alcohol also increases the incident of a variety of aggressive acts, including spouse and child abuse. Moderate to high doses of alcohol cause marked impairments in higher mental functions, severely altering a person's ability to learn and remember information. Very high doses cause respiratory depression and death. If combined with other depressants of the central nervous system, much lower doses of alcohol will produce the effects just described.

Repeated use of alcohol can lead to dependence. Sudden cessation of alcohol intake is likely to produce withdrawal symptoms, including severe anxiety, tremors, hallucinations, and convulsions. Alcohol withdrawal can be life-threatening. Long-term consumption of large quantities of alcohol, particularly when combined with poor nutrition, can also lead to permanent damage to vital organs such as the brain and the liver. Mothers who drink alcohol during pregnancy may give birth to infants with fetal alcohol syndrome. These infants have irreversible physical abnormalities and intellectual disabilities. In addition, research indicates that children of alcoholic parents are at greater risk of becoming alcoholics than other youngsters.

## **Training and Counseling Resources**

Continuous efforts are made to make students, faculty, and staff aware of the on-campus and off-campus programs which provide information and professional services on matters related to the abuse of alcohol and drugs.

The Kentucky Kernel regularly lists sources for information and counseling. Students are encouraged to contact the Dean of Students and/or the Office of Residence Life for information and appropriate referral.

Counseling is provided by such areas as University Health Services; the Counseling Center; Educational, School, and Counseling Psychology; the UK College of Medicine Office of Medical Education-Wellness; and On-Call Counselors are available in the residence halls system.

For faculty and staff, the Human Resources' Work-Life and Well-Being website provides information as to resources available to employees.

Other counseling, treatment, and rehabilitation services are available in the Lexington community as well as communities throughout the state in which Kentucky Community and Technical College and College of Agriculture, Food and Environment employees are located.

- New Vista offers both counseling and treatment. (859) 253-1686. (Visit <u>newvista.org</u> for locations and contact information.)
- In the Lexington area, the number for Alcoholics Anonymous (AA) is (859) 559-5368. (Visit <a href="https://www.aa.org">www.aa.org</a> for other locations.)
- The Chrysalis House Inc. offers long term, half-way house residential treatment for recovering chemically dependent women (859) 977-2501. (Visit <a href="www.chrysalishouse.org">www.chrysalishouse.org</a> for more information.)
- University of Kentucky Family Center (859) 257-7755.

Many other services are available and may be located by looking in the local telephone directory yellow pages under "Social Services" or "Alcohol Abuse and Addiction – Information and Treatment" or in the section at the front of the telephone directory.

The full text of the University's Drug Policies is available at:

https://www.uky.edu/regs/sites/www.uky.edu.regs/files/files/ar/ar 4-10 student code - final - 2022 updated titles.pdf

https://www.uky.edu/studentconduct/misuse-drugs

https://www.uky.edu/hr/policies/drug-abuse

The full text of the University's Policy Statement as a Drug-Free Institution (compliance with the Drug-Free Workplace Act and the Drug-Free School and Communities Act) is available at: https://www.uky.edu/hr/sites/www.uky.edu.hr/files/drug-free-policy-0822.pdf.

## Weapons Policy

In Kentucky Revised Statute 237.115, the Kentucky General Assembly explicitly recognizes the authority of the University to control the possession of deadly weapons on any property owned, leased, or controlled by the University, including the right to prohibit possession of such weapons by any person or entity using University property or premises. Administrative Regulation 6:6 establishes the University's deadly weapons policy. This Administrative Regulation applies to all members of the University community, including faculty, staff, students, and visitors.

For purposes of this regulation, "deadly weapon" means:

- A. A weapon of mass destruction;
- B. Any weapon from which a shot, readily capable of producing death or other serious physical injury, may be discharged;
- C. Any knife other than an ordinary pocket knife or hunting knife;
- D. Billy, nightstick, or club;
- E. Blackjack or slapjack;
- F. Nunchaku karate sticks;
- G. Shuriken or death star; and
- H. Artificial knuckles made from metal, plastic or other similar hard material.

Except as provided in the exceptions of this regulation, deadly weapons are prohibited on any property owned, leased or controlled by the University, including but not limited to the following: classrooms, laboratories, residence halls, hospitals and clinics, office buildings, performance halls, museums, athletics and recreation facilities, farms and forests, parking lots and structures, University-owned vehicles and all outdoor areas of the campus of any unit of the University.

The following are exceptions to this policy:

- A. Possession of deadly weapons by peace officers acting in the course of official duties;
- B. Possession of deadly weapons as a part of legitimate academic, athletic, or work-related activities (e.g., historical preservation, law enforcement training, ROTC activities, 4-H marksmanship training, rifle team, etc.);
- C. Possession of deadly weapons by persons holding valid permits issued by the Kentucky Department of Fish and Wildlife Resources for use in the Robinson Forest Wildlife Management Area:
- D. Possession of a deadly weapon by a person licensed to carry a concealed deadly weapon pursuant to KRS 237.110, if the firearm or other deadly weapon is contained in a motor vehicle (private or University-owned) and is not removed from the vehicle (KRS 527.020(4) and 237.110(17));
- E. Possession of a deadly weapon if it is located in a non-University motor vehicle and in an enclosed container, compartment, or storage space installed as original equipment in the motor vehicle by its manufacturer, including but not limited to a glove compartment, center console, or seat pocket, regardless of whether said enclosed container, storage space, or compartment is locked, unlocked, or does not have a locking mechanism (KRS 527.020(8));
- F. Possession of a deadly weapon by persons who are specifically authorized\* by KRS 527.020 to carry concealed deadly weapons on or about their persons at all times and at all locations within the Commonwealth. Such persons include but are not limited to: Commonwealth's

attorneys, judges of the Court of Justice, conservations officers of the Department of Fish and Wildlife, elected sheriffs, and peace officers from other jurisdictions.

\* For a complete listing of persons authorized to carry concealed deadly weapons within the Commonwealth of Kentucky and the conditions for which the carrying of the deadly weapon is authorized, see KRS 527.020.

G. Possession of deadly weapons by a person specifically authorized to have such possession by the President, or his or her designee, but only if such person fully complies with any and all restrictions imposed upon such possession by the President, or his or her designee.

Students who possess deadly weapons in violation of this prohibition are guilty of violations of the Code of Student Conduct and are subject to disciplinary action under that Code, including expulsion from the University and all other appropriate legal actions. Faculty and staff employees who possess deadly weapons in violation of this prohibition are guilty of misconduct and subject to corrective action under Governing and Administrative Regulations, including termination of employment and all other appropriate legal actions. All others who possess deadly weapons in violation of this prohibition will be directed to remove their weapons or themselves from the University's property or premises and will be subject to all other appropriate legal actions.

## Missing Student Policy

Any student who has been missing for twenty-four (24) hours should be reported to UKPD, regardless of residency.

If a member of the University community has reason to believe that a student who resides in oncampus housing is missing, or receives a report of a missing student, he or she shall immediately notify UKPD at: (859) 257-UKPD (257-8573). Upon receipt of a notification of a missing student, UKPD shall initiate an investigation to determine whether the student is missing. UKPD shall notify all necessary law enforcement and fire/EMS agencies to assist in the location of the missing student.

It is the policy of the University to provide all students residing in on-campus housing the option of providing a contact person to be notified by the University if the student is determined to be missing for more than twenty-four (24) hours by providing an opportunity to register confidential contact information.

A student who wishes to identify a confidential contact may do so through the Office of Residence Life, University Housing, the Fraternity and Sorority Life Office, or Real Estate Services (whichever is applicable). Students shall be allowed to register confidential contact information at the beginning of each academic year or before moving into University housing. A student's confidential contact information shall be accessible only by authorized campus officials and law enforcement as appropriate and will not be disclosed outside of a missing person

investigation.

Students under the age of eighteen (18), who are not emancipated individuals, shall be advised that the University is required to notify the student's custodial parent or legal guardian within twenty-four (24) hours after UKPD or another law enforcement agency determines that the student has been missing for more than twenty-four (24) hours, in addition to notifying any contact person designated by the student.

If, after investigation, UKPD determines that the student has been missing for more than twenty-four (24) hours, the University shall notify the student's emergency contact no later than twenty-four (24) hours after the student is determined to be missing. If the missing student is under the age of eighteen (18), and is not an emancipated individual, the University shall also notify the student's parent or legal guardian no later than twenty-four (24) hours after the student is determined to be missing.

Regardless of whether the student has identified a contact person, is above the age of eighteen (18) or is an emancipated minor, UKPD will notify the appropriate local law enforcement agency that has jurisdiction in the area that the student is missing within twenty-four (24) hours.

Upon a determination that a student has been missing for more than twenty-four (24) hours, UKPD shall notify the appropriate University officials. Nothing in this policy is intended to preclude the University from determining that a student is missing before the student has been missing for a full twenty-four (24) hours or initiating notification procedures as soon as it determines that the student is missing.

This policy contains the official notification procedures of the University for missing students who reside in on-campus housing, per the requirements of the Higher Education Opportunity Act (HEOA).

# Information Regarding Domestic Violence, Dating Violence, Sexual Assault, and Stalking

The University is committed to providing a safe learning, living, and working environment for all members of the University community. The University prohibits sexual assault, stalking, domestic violence, dating violence, sexual exploitation, complicity in the commission of any act prohibited by the Sexual Misconduct Policy, and retaliation against any person for the good faith reporting of any of these forms of conduct or participation in any investigation or proceeding under the Sexual Misconduct Policy (collectively, "prohibited conduct"). These forms of prohibited conduct are unlawful, undermine the character and purpose of the University, and will not be tolerated.

# Federal Clery Act Definitions of Domestic Violence, Dating Violence, Sexual Assault, and Stalking

The Clery Act defines the crimes of domestic violence, dating violence, sexual assault and stalking as follows:

## • Domestic Violence:

- i. A Felony or misdemeanor crime of violence committed—
  - A) By a current or former spouse or intimate partner of the victim;
  - B) By a person with whom the victim shares a child in common;
  - C) By a person who is cohabitating with, or has cohabitated with, the victim as a spouse or intimate partner;
  - D) By a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred; or
  - E) By any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.
- ii. For the purposes of complying with the requirements of this section and §668.41, any incident meeting this definition is considered a crime for the purposes of Clery Act reporting.
- **Dating Violence**: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim.
  - i. The existence of such a relationship shall be based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship.
  - ii. For the purposes of this definition—
    - A) Dating Violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse.
    - B) Dating violence does not include acts covered under the definition of domestic violence.
  - iii. For the purposes of complying with the requirements of this section and §668.41, any incident meeting this definition is considered a crime for the purposes of Clery Act reporting.
- Sexual Assault: An offense that meets the definition of rape, fondling, incest or statutory
  rape as used in the FBI's Uniform Crime Reporting (UCR) program. Per the National
  Incident-Based Reporting System User Manual from the FBI UCR Program, a sex

offense is "any sexual act directed against another person, without the consent of the victim, including instances where the victim is incapable of giving consent."

- Rape is defined as the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim.
- Fondling is defined as the touching of the private parts of another person for the purposes of sexual gratification, without the consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.
- o **Incest** is defined as sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.
- Statutory Rape is defined as sexual intercourse with a person who is under the statutory age of consent.

## Stalking:

- Engaging in a course of conduct directed at a specific person that would cause a reasonable person to
  - a. Fear for the person's safety or the safety of others; or
  - b. Suffer substantial emotional distress.
- ii. For the purposes of this definition
  - a. Course of conduct means two or more acts, including, but not limited to, acts which the stalker directly, indirectly, or through third parties, by any action, method, device or means follows, monitors, observes, surveils, threatens or communicates to or about, a person, or interferes with a person's property.
  - b. *Reasonable person* means a reasonable person under similar circumstances and with similar identities to the victim.
  - Substantial emotional distress means significant mental suffering or anguish that
    may, but does not necessarily, require medical or other professional treatment or
    counseling.
- iii. For the purposes of complying with the requirements of this section and section 668.41, any incident meeting this definition is considered a crime for the purposes of Clery Act reporting.

# Jurisdictional (Commonwealth of Kentucky) Definitions of Domestic Violence, Dating Violence, Sexual Assault, and Stalking

# Domestic Violence/Abuse – The Commonwealth of Kentucky defines domestic violence as follows:

In the Commonwealth of Kentucky, there is no stand-alone definition of domestic violence. Instead, domestic violence is a modifier to other crimes of violence. The following pertinent definitions are laid out in the Kentucky Revised Statutes regarding domestic violence.

- "Domestic violence and abuse" means physical injury, serious physical injury, stalking, sexual abuse, assault, or the infliction of fear of imminent physical injury, serious physical injury, or sexual abuse or assault between family members or members of an unmarried couple.
- "Family member" means a spouse, including a former spouse, a grandparent, a grandchild, a parent, a child, stepchild, or any other person living in the same household as a child if the child is the alleged victim.
- "Member of an unmarried couple" means each member of an unmarried couple which allegedly has a child in common, any children of that couple, or a member of an unmarried couple who are living together or have formerly lived together.

## Dating Violence/Abuse – The Commonwealth of Kentucky defines dating violence as follows:

In the Commonwealth of Kentucky, dating violence is a modifier to other crimes of violence. The following pertinent definitions are laid out in the Kentucky Revised Statutes regarding dating violence.

- "Dating relationship" means a relationship between individuals who have or have had a relationship of a romantic or intimate nature. It does not include a casual acquaintanceship or ordinary fraternization in a business or social context. The following factors may be considered in addition to any other relevant factors in determining whether the relationship is or was of a romantic or intimate nature:
  - (a)Declarations of romantic interest:
  - (b) The relationship was characterized by the expectation of affection;
  - (c)Attendance at social outings together as a couple;
  - (d)The frequency and type of interaction between the persons, including whether the persons have been involved together over time and on a continuous basis during the course of the relationship;
  - (e)The length and recency of the relationship; and
  - (f)Other indications of a substantial connection that would lead a reasonable person to understand that a dating relationship existed.
- "Dating violence and abuse" means physical injury, serious physical injury, stalking, sexual assault, or the infliction of fear of imminent physical injury, serious physical injury,

sexual abuse or assault occurring between persons who are or have been in a dating relationship.

## Sexual Assault – The Commonwealth of Kentucky defines sexual assault as follows:

"Sexual assault" refers to conduct prohibited as any degree of rape, sodomy, or sexual abuse under KRS Chapter 510 or incest under KRS 530.020.

## 510.110 Sexual abuse in the first degree.

- (1) A person is guilty of sexual abuse in the first degree when:
  - (a) He or she subjects another person to sexual contact by forcible compulsion; or
  - (b) He or she subjects another person to sexual contact who is incapable of consent because he or she:
    - 1. Is physically helpless;
    - 2. Is less than twelve (12) years old; or
    - 3. Is mentally incapacitated
    - 4. Is an individual with an intellectual disability; or
  - (c) Being twenty-one (21) years old or more, he or she:
    - 1. Subjects another person who is less than sixteen (16) years old to sexual contact;
    - 2. Engages in masturbation in the presence of another person who is less than sixteen (16) years old and knows or has reason to know the other person is present; or
    - 3. Engages in masturbation while using the Internet, telephone or other electronic communication device while communicating with a minor who the person knows is less than sixteen (16) years old, and the minor can see or hear the person masturbate; or
  - (d) Being a person in a position of authority or position of special trust, as defined in KRS 532.045, he or she, regardless of his or her age, subjects a minor who is less than eighteen (18) years old, with whom he or she comes into contact as a result of that position, to sexual contact or engages in masturbation in the presence of the minor and knows or has reason to know the minor is present or engages in masturbation while using the Internet, telephone or other electronic communication device while communicating with a minor who the person knows is less than sixteen (16) years old, and the minor can see or hear the person masturbate.
- (2) Sexual abuse in the first degree is a Class D felony, unless the victim is less than twelve (12) years old, in which case the offense shall be a Class C felony

### 510.120 Sexual abuse in the second degree.

- (1) A person is guilty of sexual abuse in the second degree when:
  - (a) He or she is at least eighteen (18) years old but less than twenty-one (21) years old and subjects another person who is less than sixteen (16) years old to sexual contact; or
  - (b) Being a jailer, or an employee, contractor, vendor or volunteer of the Department of Corrections, Department of Juvenile Justice, or a detention facility as defined in KRS

520.010, or of an entity under contract with either department or a detention facility for the custody, supervision, evaluation or treatment of offenders, he or she subjects a person who is at least eighteen (18) years old and who he or she knows is incarcerated, supervised, evaluated, or treated by the Department of Corrections, Department of Juvenile Justice, detention facility or contracting entity, to sexual contact.

- (c) Being a peace officer, while serving in his or her official capacity, he or she subjects a person who the officer:
  - 1. Arrested, held in custody, or investigated for commission of a traffic or criminal offense; or
  - 2. Knew or should have known was under arrest, held in custody, or being investigated for commission of a traffic or criminal offense;

to sexual contact.

- (2) In any prosecution under subsection (1)(a) of this section, it is a defense that:
  - (a) The other person's lack of consent was due solely to incapacity to consent by reason of being less than sixteen (16) years old; and
  - (b) The other person was at least fourteen (14) years old; and
  - (c) The actor was less than five (5) years older than the other person.
- (3) Sexual abuse in the second degree is a Class A misdemeanor.

#### 510.130 Sexual abuse in the third degree.

- (1) A person is guilty of sexual abuse in the third degree when he or she subjects another person to sexual contact without the latter's consent.
- (2) In any prosecution under this section, it is a defense that:
  - (a) The other person's lack of consent was due solely to incapacity to consent by reason of being less than sixteen (16) years old; and
  - (b) The other person was at least fourteen (14) years old; and
  - (c) The actor was less than eighteen (18) years old.
- (3) Sexual abuse in the third degree is a Class B misdemeanor.

#### 510.140 Sexual misconduct.

- (1) A person is guilty of sexual misconduct when he engages in sexual intercourse or deviate sexual intercourse with another person without the latter's consent.
- (2) Sexual misconduct is a Class A misdemeanor.

#### 510.040 Rape in the first degree.

- (1) A person is guilty of rape in the first degree when:
  - (a) He engages in sexual intercourse with another person by forcible compulsion; or
  - (b) He engages in sexual intercourse with another person who is incapable of consent because he:
    - 1. Is physically helpless; or
    - 2. Is less than twelve (12) years old.

(2) Rape in the first degree is a Class B felony unless the victim is under twelve (12) years old or receives a serious physical injury in which case it is a Class A felony.

#### 510.050 Rape in the second degree.

- (1) A person is guilty of rape in the second degree when:
  - (a) Being eighteen (18) years old or more, he engages in sexual intercourse with another person less than fourteen (14) years old; or
  - (b) He engages in sexual intercourse with another person who is mentally incapacitated or who is incapable of consent because he or she is an individual with an intellectual disability.
- (2) Rape in the second degree is a Class C felony.

#### 510.060 Rape in the third degree.

- (1) A person is guilty of rape in the third degree when:
  - (a) Being twenty-one (21) years old or more, he or she engages in sexual intercourse with another person less than sixteen (16) years old;
  - (b) Being at least ten (10) years older than a person who is sixteen (16) or seventeen
  - (17) years old at the time of sexual intercourse, he or she engages in sexual intercourse with the person;
  - (c) Being twenty-one (21) years old or more, he or she engages in sexual intercourse with another person less than eighteen (18) years old and for whom he or she provides a foster family home as defined in KRS 600.020;
  - (d) Being a person in a position of authority or position of special trust, as defined in KRS 532.045, he or she engages in sexual intercourse with a minor under eighteen (18) years old with whom he or she comes into contact as a result of that position;
  - (e) Being a jailer, or an employee, contractor, vendor, or volunteer of the Department of Corrections, Department of Juvenile Justice, or a detention facility as defined in KRS 520.010, or of an entity under contract with either department or a detention facility for the custody, supervision, evaluation, or treatment of offenders, he or she subjects a person who he or she knows is incarcerated, supervised, evaluated, or treated by the Department of Corrections, Department of Juvenile Justice, detention facility or contracting entity, to sexual intercourse; or
  - (f) Being a peace officer, while serving in his or her official capacity, he or she subjects a person who the officer:
    - 1. Arrested, held in custody, or investigated for commission of a traffic or criminal offense; or
    - 2. Knew or should have known was under arrest, held in custody, or being investigated for commission of a traffic or criminal offense;

to sexual intercourse.

(2) Rape in the third degree is a Class D felony.

#### 510.070 Sodomy in the first degree.

(1) A person is guilty of sodomy in the first degree when:

- (a) He engages in deviate sexual intercourse with another person by forcible compulsion; or
- (b) He engages in deviate sexual intercourse with another person who is incapable of consent because he:
  - 1. Is physically helpless; or
  - 2. Is less than twelve (12) years old.
- (2) Sodomy in the first degree is a Class B felony unless the victim is under twelve (12) years old or receives a serious physical injury in which case it is a Class A felony.

#### 510.080 Sodomy in the second degree.

- (1) A person is guilty of sodomy in the second degree when:
  - (a) Being eighteen (18) years old or more, he engages in deviate sexual intercourse with another person less than fourteen (14) years old; or
  - (b) He engages in deviate sexual intercourse with another person who is mentally incapacitated or who is incapable of consent because he or she is an individual with an intellectual disability.
- (2) Sodomy in the second degree is a Class C felony.

#### 510.090 Sodomy in the third degree.

- (1) A person is guilty of sodomy in the third degree when:
  - (a) Being twenty-one (21) years old or more, he or she engages in deviate sexual intercourse with another person less than sixteen (16) years old;
  - (b) Being at least ten (10) years older than a person who is sixteen (16) or seventeen (17) years old at the time of deviate sexual intercourse, he or she engages in deviate sexual intercourse with the person;
  - (c) Being twenty-one (21) years old or more, he or she engages in deviate sexual intercourse with another person less than eighteen (18) years old and for whom he or she provides a foster family home as defined in KRS 600.020;
  - (d) Being a person in a position of authority or position of special trust, as defined in KRS 532.045, he or she engages in deviate sexual intercourse with a minor less than eighteen (18) years old with whom he or she comes into contact as a result of that position;
  - (e) Being a jailer, or an employee, contractor, vendor, or volunteer of the Department of Corrections, Department of Juvenile Justice, or a detention facility as defined in KRS 520.010, or of an entity under contract with either department or a detention facility for the custody, supervision, evaluation, or treatment of offenders, he or she subjects a person who he or she knows is incarcerated, supervised, evaluated, or treated by the Department of Corrections, Department of Juvenile Justice, detention facility, or contracting entity, to deviate sexual intercourse; or
  - (f) Being a peace officer, while serving in his or her official capacity, he or she subjects a person who the officer:
    - 1. Arrested, held in custody, or investigated for commission of a traffic or criminal offense; or

2. Knew or should have known was under arrest, held in custody, or being investigated for commission of a traffic or criminal offense;

to sexual intercourse.

(2) Sodomy in the third degree is a Class D felony.

#### 530.020 Incest.

- (1) A person is guilty of incest when he or she has sexual intercourse or deviate sexual intercourse, as defined in KRS 510.010, with a person whom he or she knows to be an ancestor, descendant, uncle, aunt, brother, or sister. The relationships referred to herein include blood relationships of either the whole or half-blood without regard to legitimacy, relationship of parent and child by adoption, relationship of stepparent and stepchild, and relationship of step grandparent and step grandchild.
- (2) (a) Incest is a Class C felony if the act is committed by consenting adults.
  - (b) Incest is a Class B felony if committed:
    - 1. By forcible compulsion as defined in KRS 510.010(2); or
    - 2. On a victim who is:
      - a. Less than eighteen (18) years of age; or
      - b. Incapable of consent because he or she is physically helpless or mentally incapacitated.
  - (c) Incest is a Class A felony if:
    - 1. Committed on a victim less than twelve (12) years of age; or
    - 2. The victim receives serious physical injury.

# Stalking – The Commonwealth of Kentucky defines stalking as follows: 508.140 Stalking in the first degree.

- (1) A person is guilty of stalking in the first degree,
  - (a) When he intentionally:
    - 1. Stalks another person; and
    - 2. Makes an explicit or implicit threat with the intent to place that person in reasonable fear of:
      - a. Sexual contact as defined in KRS 510.010;
      - b. Serious physical injury; or
      - c. Death; and
  - (b) 1. A protective order has been issued by the court to protect the same victim or victims and the defendant has been served with the summons or order or has been given actual notice; or
    - 2. A criminal complaint is currently pending with a court, law enforcement agency or prosecutor by the same victim or victims and the defendant has been served with a summons or warrant or has been given actual notice; or

- 3. The defendant has been convicted of or pled guilty within the previous five (5) years to a felony or to a Class A misdemeanor against the same victim or victims; or
- 4. The act or acts were committed while the defendant had a deadly weapon on or about his person.
- (2) Stalking in the first degree is a Class D felony.

#### 508.150 Stalking in the second degree.

- (1) A person is guilty of stalking in the second degree when he intentionally:
  - (a) Stalks another person; and
- (b) Makes an explicit or implicit threat with the intent to place that person in reasonable fear of:
  - 1. Sexual contact as defined in KRS 510.010;
  - 2. Physical injury; or
  - 3. Death.
- (2) Stalking in the second degree is a Class A misdemeanor.

#### **Definition of Consent as it Relates to Sexual Activity**

# Commonwealth of Kentucky Definition of Consent as it Relates to Sexual Activity KRS Chapter 510 Statutes for Sex Offense

#### 510.020 Lack of consent.

- (1) Whether or not specifically stated, it is an element of every offense defined in this chapter that the sexual act was committed without consent of the victim.
- (2) Lack of consent results from:
  - (a) Forcible compulsion;
  - (b) Incapacity to consent; or
  - (c) If the offense charged is sexual abuse, any circumstances in addition to forcible compulsion or incapacity to consent in which the victim does not expressly or impliedly acquiesce in the actor's conduct.
- (3) A person is deemed incapable of consent when he or she is:
  - (a) Less than sixteen (16) years old;
  - (b) Sixteen (16) or seventeen (17) years old and the actor is at least ten (10) years older than the victim at the time of the sexual act;
  - (c) An individual unable to communicate consent or lack of consent, or unable to understand the nature of the act or its consequences, due to an intellectual disability or a mental illness;
  - (d) Mentally incapacitated;
  - (e) Physically helpless; or

- (f) Under the care or custody of a state or local agency pursuant to court order and the actor is employed by or working on behalf of the state or local agency.
- (4) The provisions of subsection (3)(f) of this section shall not apply to persons who are lawfully married to each other and no court order is in effect prohibiting contact between the parties.

#### University of Kentucky Definition of Consent as it Relates to Sexual Activity

This definition of consent is provided by Administrative Regulation 6:2 and is utilized by the Institutional Equity and Equal Opportunity Office for the purpose of assessment/investigation and adjudication of violations.

"Affirmative consent" means a voluntary expression of willingness, permission, or agreement to engage in specific sexual activity throughout a sexual encounter. It is the responsibility of each person involved in the sexual activity to ensure that he or she has the affirmative consent of the other to engage in the sexual activity. Consent cannot be inferred from the absence of a "no"; consent, verbal or otherwise, must be obtained.

Consent cannot be granted by an individual who:

- 1. Is incapacitated as defined by this Regulation (see "Incapacitated" definition below);
- 2. Has been compelled by force or threat of force;
- 3. Is coerced by supervisory or disciplinary authority.

"Incapacitated" means a person is impaired to such a level that they lack the physical and/or mental ability to make informed, rational judgments and/or cannot appraise or control their own conduct or make decisions with the degree of understanding they typically possess. A person may be impaired by an intoxicant, by mental illness or deficiency, or by physical illness or disability to the extent that personal decision-making is impossible. A person can be intoxicated without being incapacitated. A person who is below the statutory age of consent is incapacitated.

#### How to be an Active Bystander

Bystander intervention means safe and positive options that may be carried out by an individual or individuals to prevent harm or intervene when there is a risk of dating violence, domestic violence, sexual assault, or stalking. Bystander intervention includes recognizing situations of potential harm, understanding institutional structures, and cultural conditions that facilitate violence, overcoming barriers to intervening, identifying safe and effective intervention options, and taking action to intervene.

In recognition that bystanders can play a role in the prevention of sexual and relationship violence, the University offers Green Dot Active Bystander training. This is a training strategy that teaches bystanders to be active bystanders through safely and effectively intervening whenever they observe a potentially concerning situation. The Green Dot strategy is offered as training on an on-going basis for students, faculty, and staff. Participants are taught both proactive and reactive strategies for making a difference through using their words, behaviors, and actions to prevent or mitigate the impact of harm.

Proactive Green Dot strategies include regular actions one can take to contribute to shaping a violence-free campus. Through participating in training and programs from VIP, wearing a Green Dot shirt or sporting a button from the center, you can let others know interpersonal violence will not be tolerated and that survivors will be believed.

Reactive Green Dots include action steps to be taken while being an active bystander. Be an active bystander by directly asking someone, "How are you?" "Is there anything I can do for you?". Another way we can intervene is by causing a distraction to divert the attention of the aggressor and create a moment where the recipient of the harmful behavior can step away or find support. Delegating intervention to another party or entity is another effective way to be an active bystander if you do not feel comfortable intervening in a direct or distracting way.

No matter how you practice being an active bystander, #FindYourGreenDot to help make the University a violence-free campus.

To schedule a Green Dot training for your team, request a program here: <a href="https://www.uky.edu/vipcenter/content/request-programinterview">https://www.uky.edu/vipcenter/content/request-programinterview</a>

#### **Risk Reduction**

The following are a few risk reduction strategies suggested by the Rape, Abuse, and Incest National Network (RAINN). Many more tips are available on their website, <a href="www.rainn.org">www.rainn.org</a>. The strategies offered here are with no intent to blame victims. Only abusers are responsible for the abuse. To learn more about primary prevention of sexual and gender-based violence, please review the following section titled Programs to Prevent Domestic Violence, Dating Violence, Sexual Assault, and Stalking. You may also contact VIP at <a href="wipcenter@uky.edu">wipcenter@uky.edu</a> or (859) 257-3574.

RAINN.org Strategies for Staying Safe on Campus:

- **Know your resources.** Locate your university health care center, campus police station, and VIP. Identify the location of Talk-A-Phone (emergency phones) on campus. Download the LiveSafe App.
- **Stay alert.** Be aware of your surrounding at all times, whether you are simply walking to class or enjoying an outing in a surrounding neighborhood.
- **Make others earn your trust.** Someone you just met may seem like a friend but give it time before placing your faith in them.
- **Have a backup plan.** Always have a plan B. Do you have cash in case your debit/credit card doesn't work? Do you have a reliable friend you can call if your car won't start? If your phone battery dies or if you have lost your phone, do you have some important phone #s memorized?
- **Be secure.** Always sleep with your doors and windows locked. Make sure locking mechanisms are engaged when you leave.
- **Trust your instincts.** If a situation, location, or person feels unsafe take measures to remedy the situation immediately. If something doesn't feel right, it probably isn't.

- When you attend a social event, go with a group of friends. Make plans with your group ahead of time to watch out/care for each other for the duration of the event. Everyone should get home safely.
- **Never leave your drink unattended.** If you have left your drink unattended during a conversation, bathroom break, or while out dancing, get a fresh/new drink.
- Exit uncomfortable/scary situations
  - Always remember being in the situation is not your fault.
  - Never feel obligated to do anything you don't want to do.
  - Have a code word to use with family/friends so you can relay to them your discomfort in a situation without the present party knowing.
  - Lie. Make up any excuse to exit uncomfortable situations you need to leave due to an ill family member, a friend just called, feeling unwell, etc.

# Programs to Prevent Domestic Violence, Dating Violence, Sexual Assault, and Stalking

Regular and ongoing education regarding domestic violence, dating violence, sexual assault, and stalking is available for all members of the University community. The VIP Center offers both online and interactive training sessions for students and conducts Green Dot bystander intervention training for faculty and staff. The Title IX Coordinator, or designee, offers training on Discrimination and Harassment, including Title IX, regularly for new employees, in the Supervision curriculum, and for employees and any units upon request.

The programs offered are comprehensive, intentional, and integrated initiative strategies and campaigns intended to end domestic violence, dating violence, sexual assault, and stalking. These programs are culturally relevant, inclusive of diverse communities and identities, sustainable, responsive to community needs, and informed by research or assessed for value, effectiveness, or outcome. The programs also consider environmental risk and protective factors as they occur on the individual, relationship, institutional, community, and societal levels.

Programs to prevent dating violence, domestic violence, sexual assault, and stalking include both primary prevention and awareness programs directed at incoming students and new employees as well as ongoing prevention and awareness campaigns directed at returning students and current employees. Primary prevention and awareness programs presented include presentations to new students and employee orientations, resource fairs, programs presented by special invitation to classes and clubs, and annually required online sexual assault prevention training for undergraduates/graduate students. This online training utilizes EverFi's Sexual Assault Prevention program for both undergraduate and graduate students who are new to campus. The program educates students on relevant terms and concepts, such as consent and bystander intervention, and has multiple scenarios through which a student may showcase their understanding of the content. It also ensures all incoming students have access to the University's Title IX policies. New employees also go through an online program as part of New

Employee Orientation which includes a video training new employees about the VIP Center, their work on campus, and how they may utilize or recommend VIP services.

Annual, on-going prevention and awareness campaigns are designed to offer continuing education regarding sexual violence and include programs such as, Safer Sex Week, Take Back the Night, Supporting Survivors with Title IX, etc.

VIP offered the following primary prevention and awareness programs for all incoming students and new employees in 2021:

Table 12: 2021 Primary Prevention and Awareness Programs

DATE	NAME OF PROGRAM	TOPICS COVERED
02/10/2021	Recognizing Sexual Harassment in the Workplace	Awareness, Sexual Violence
02/18/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
02/25/2021	Pleasure is Your Birthright	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence
03/03/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
03/10/2021	Being a Change Maker in the Workplace	Primary Prevention, Awareness, Sexual Violence,
03/11/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence
03/15/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
03/24/2021	Spring Break Bash Tabling	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
03/26/2021	Lexcation: Tye-Dye Event	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
03/26/2021	Lexcation: Movie Night	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/02/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/07/2021	Beautiful Kentucky: Survivor Speak-Out	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/08/2021	Supporting Survivors Workshop	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/08/2021	Hard Times and How To	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/13/2021	Researching a Safe Workplace	Primary Prevention, Awareness, Sexual Violence

04/14/2021	Spring into Safety	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/23/2021	Wake Up Lex	Awareness, Sexual Violence
04/28/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
04/30/2021	Decorate Your Denim	Awareness, Sexual Violence
07/21/2021	Trauma-Informed Responses	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
08/05/2021	Setting Boundaries	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
08/10/2021	Trauma-Informed Responses	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
08/17/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
08/18/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
08/20/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/05/2021	Labor Stay Street Fair	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/13/2021	Tabling	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/20/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/21/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
09/21/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/28/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
09/29/2021	Well-A-Palooza Tabling	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/05/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/06/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/07/2021	Snack and Chat	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking

10/12/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/12/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/13/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/19/2021	#EndtheSilence Program	Awareness, Dating Violence, Domestic Violence, Stalking
10/20/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/20/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
10/20/2021	Trauma-Informed Yoga	Awareness, Dating Violence, Domestic Violence, Stalking
10/21/2021	Survivor Solidarity Event	Awareness, Dating Violence, Domestic Violence, Stalking
10/27/2021	#ConsentCulture	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/03/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/04/2021	VIP Overview	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/04/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/10/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/10/2021	East Wing Open House	Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/11/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/11/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/11/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
11/11/2021	Green Dot Overview	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
Ongoing Fall 2021	Sexual Assault Prevention for Undergraduate Students	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking
Ongoing Fall 2021	Sexual Assault Prevention for Graduate and Professional Students	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Domestic Violence, Stalking

VIP offered the following ongoing awareness and prevention programs for students and employees in 2021:

Table 13: 2021 On-going Awareness and Prevention Programs

DATE	NAME OF PROGRAM	TOPICS COVERED
01/29/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
02/28/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
03/31/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
04/30/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
05/31/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
06/30/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
07/30/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
08/31/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
09/30/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
10/29/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
11/30/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence
12/18/2021	New Employee Orientation	Primary Prevention, Awareness, Sexual Violence, Dating Violence, Stalking, Domestic Violence

The Office of Institutional Equity and Equal Opportunity offers additional training programs. Training is part of a comprehensive program designed to protect members of the University community from discrimination based on sex or gender, which includes sexual misconduct as defined by AR 6:2 Policy and Procedures for Addressing and Resolving Allegations of Sexual Assault, Stalking, Dating Violence, Domestic Violence, and Sexual Exploitation.

Table 14: 2021 Programs Presented by the Office of Institutional Equity and Equal Opportunity

DATE	TOPIC OF TRAINING	AUDIENCE
01/11/2021	Discrimination and Harassment	Standing Training
01/19/2021	Hearing Panel and Appeals Board Training	HP and AB Members
01/19/2021	Discrimination and Harassment	Grand Rounds
01/19/2021	Discrimination and Harassment Discrimination, Harassment, and Sexual	SuperVision
01/21/2021	Misconduct	CHS New Students
01/22/2021	Hearing Panel and Appeals Board Training	HP and AB Members
01/26/2021	Discrimination, Harassment, and Sexual Misconduct	Student Center
01/27/2021	Discrimination, Harassment, and Sexual Misconduct	Student Center
01/29/2021	Discrimination and Harassment	GME Training
02/05/2021	Discrimination, Harassment, and Sexual Misconduct	Student Wellness
02/11/2021	Discrimination and Harassment	SuperVision
02/16/2021	Discrimination and Harassment	UKWF
02/24/2021	Discrimination, Harassment, and Sexual Misconduct	New Athletes
02/26/2021	Discrimination and Harassment	Trauma and Acute Care
03/01/2021	Discrimination, Harassment, and Sexual Misconduct	Trauma and Acute Care
03/01/2021	Discrimination, Harassment, and Sexual Misconduct	Trauma and Acute Care
03/08/2021	Discrimination and Harassment	UKHC Security
03/10/2021	Discrimination and Harassment	Clinical Education Faculty
03/11/2021	Discrimination and Harassment	UKHC Security
03/31/2021	Discrimination, Harassment, and Sexual Misconduct	Athletics
04/01/2021	Discrimination and Harassment	CAFÉ
04/02/2021	Discrimination and Harassment	CAFÉ
04/05/2021	Discrimination and Harassment	UKHC Security
04/09/2021	Discrimination and Harassment	Faculty that Supervise Staff
04/12/2021	Discrimination and Harassment	SuperVision
04/14/2021	Discrimination and Harassment	Clinical Education Faculty

04/19/2021	Discrimination, Harassment, and Sexual Misconduct	Resident Advisors
04/20/2021	Discrimination, Harassment, and Sexual Misconduct	NAO
04/20/2021	Discrimination and Harassment Discrimination, Harassment, and Sexual	Clinical Education Faculty
04/21/2021	Misconduct	WildCab
05/04/2021	Discrimination and Harassment	SuperVision
05/07/2021	Discrimination and Harassment	Faculty that Supervise Staff
05/19/2021	Discrimination and Harassment	Faculty that Supervise Staff
05/20/2021	Discrimination and Harassment	Anesthesiology Residents
05/21/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Partners 1
05/21/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Partners 2
05/21/2021	Discrimination and Harassment	Faculty that Supervise Staff
05/24/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Partners 5
05/26/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Partners 3
05/27/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Partners 4
06/14/2021	Discrimination and Harassment	GME New Residents
06/15/2021	Discrimination and Harassment	Auxiliary Svcs.
06/17/2021	Discrimination and Harassment	SuperVision
06/21/2021	Discrimination and Harassment	GME Bowling Green Residents
07/01/2021	Discrimination and Harassment	GME New Fellows
07/02/2021	Discrimination and Harassment	GME New Fellows
07/12/2021	Discrimination and Harassment	Auxiliary Services
07/12/2021	Discrimination and Harassment	Auxiliary Services
07/12/2021	Discrimination, Harassment, and Sexual Misconduct	Auxiliary Services
07/15/2021	Discrimination and Harassment	Auxiliary Services
07/19/2021	Discrimination and Harassment	Auxiliary Services
07/20/2021	Discrimination, Harassment, and Sexual Misconduct	Resident Directors
07/20/2021	Discrimination and Harassment	SuperVision

Discrimination, Harassment, and Sexual 07/20/2021 Misconduct Discrimination, Harassment, and Sexual 07/20/2021 Misconduct Discrimination, Harassment, and Sexual 07/20/2021 Discrimination and Harassment 07/21/2021 Discrimination and Harassment 07/28/2021 Discrimination and Harassment UKHC 07/28/2021 Discrimination and Harassment UKHC 07/28/2021 Discrimination, Harassment, and Sexual 08/02/2021 Misconduct Discrimination, Harassment, and Sexual 08/02/2021 Misconduct Discrimination, Harassment, and Sexual 08/05/2021 Discrimination, Harassment, and Sexual 08/05/2021 Discrimination, Harassment, and Sexual 08/05/2021 Discrimination and Harassment Discrimination, Harassment, and Sexual 08/05/2021 Discrimination, Harassment, and Sexual 08/05/2021 Misconduct Discrimination, Harassment, and Sexual 08/06/2021 Misconduct Discrimination, Harassment, and Sexual 08/06/2021 Misconduct Discrimination, Harassment, and Sexual 08/10/2021 Misconduct Discrimination, Harassment, and Sexual 08/10/2021 Discrimination and Harassment Discrimination, Harassment, and Sexual 08/10/2021 Discrimination and Harassment New Agent Orientation 08/10/2021 Discrimination and Harassment New Agent Orientation 08/10/2021 Discrimination and Harassment Discrimination, Harassment, and Sexual 08/10/2021 Discrimination, Harassment,			
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	08/16/2021		SAL Health and Wellness
	08/16/2021		Volleyball

08/16/2021	Discrimination, Harassment, and Sexual Misconduct	SAL Health and Wellness
08/18/2021	Discrimination, Harassment, and Sexual Misconduct	Campus Rec Student Emps
08/18/2021	Discrimination, Harassment, and Sexual Misconduct	Football
08/19/2021	Discrimination, Harassment, and Sexual Misconduct	Gamma Chis
08/19/2021	Discrimination, Harassment, and Sexual Misconduct	Health Sciences Orientation
08/19/2021	Discrimination, Harassment, and Sexual Misconduct	OSC/CSI
08/19/2021	Discrimination, Harassment, and Sexual Misconduct	Pharmacy Class of 2025
08/20/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	UK101 PeerInstructors
08/21/2021	Misconduct	CARES Tutors
08/24/2021	Discrimination and Harassment	SAL Health and Wellness
08/24/2021	Discrimination and Harassment Discrimination, Harassment, and Sexual	SuperVision
08/24/2021	Misconduct Discrimination, Harassment, and Sexual	SAL Health and Wellness
08/25/2021	Misconduct Discrimination, Harassment, and Sexual	ROTC
09/01/2021	Misconduct Discrimination, Harassment, and Sexual	AFROTC
09/01/2021	Misconduct Discrimination, Harassment, and Sexual	FSL President
09/01/2021	Misconduct Discrimination, Harassment, and Sexual	LLP Peer Mentors
09/01/2021	Misconduct Discrimination, Harassment, and Sexual	FSL Presidents
09/01/2021	Misconduct Discrimination, Harassment, and Sexual	Women's Swimming and Dive
09/08/2021	Misconduct Discrimination, Harassment, and Sexual	Men's Basketball
09/08/2021	Misconduct Discrimination, Harassment, and Sexual	Men's Track
09/08/2021	Misconduct	Student Center
09/09/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	Women's Basketball
09/09/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	Women's Track
09/10/2021	Misconduct	Gymnastics
09/10/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	Student Center
09/13/2021	Discrimination, Harassment, and Sexual Misconduct	Student Center

09/14/2021	Discrimination, Harassment, and Sexual Misconduct	UK101/201 Peer Instructors
09/14/2021	Discrimination, Harassment, and Sexual Misconduct	Paducah Engineering
09/15/2021	Discrimination, Harassment, and Sexual Misconduct	UK101/201 Peer Instructors
09/15/2021	Discrimination, Harassment, and Sexual Misconduct	Womens Tennis
09/15/2021	Discrimination, Harassment, and Sexual Misconduct	Women's Swimming
09/16/2021	Discrimination and Harassment	Division of Hospital Medicine
09/17/2021	Discrimination, Harassment, and Sexual Misconduct	Baseball
09/17/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	Men's Tennis
09/17/2021	Misconduct	Rifle
09/20/2021	Discrimination, Harassment, and Sexual Misconduct	Men's Soccer
09/20/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination, Harassment, and Sexual	Women's Swimming
09/21/2021	Discrimination, Harassment, and Sexual Misconduct	Softball
09/22/2021	Discrimination and Harassment	SuperVision
10/07/2021	Discrimination, Harassment, and Sexual Misconduct Discrimination Harassment and Sexual	Dance Team
10/08/2021	Discrimination, Harassment, and Sexual Misconduct	Men's Cheer
10/11/2021	Discrimination, Harassment, and Sexual Misconduct	Kappa Kappa Gamma
10/12/2021	Discrimination and Harassment	SuperVsion
10/12/2021	Discrimination, Harassment, and Sexual Misconduct	UK 101/201 Instructors
10/13/2021	Discrimination and Harassment Discrimination, Harassment, and Sexual	SOA Staff Training
10/13/2021	Misconduct	Women's Cheerleading
10/15/2021	Discrimination, Harassment, and Sexual Misconduct	Men's Golf
10/18/2021	Discrimination and Harassment	Martin School
10/18/2021	Hearing Panel Refresher	Hearing Panel
10/19/2021	Hearing Panel Refresher	Hearing Panel
10/20/2021	Appeals Board Refresher	Appeals Board
10/25/2021	Hearing Panel Refresher	Hearing Panel

Table 14 (continued).

10/26/2021	Appeals Board Refresher	Appeals Board
10/28/2021	ADA Presentation	HDI
11/03/2021	Discrimination, Harassment, and Sexual Misconduct	CHE 772 Seminar
11/03/2021	Discrimination, Harassment, and Sexual Misconduct	MoneyCats
11/09/2021	Discrimination and Harassment	Ag Extension Training
11/09/2021	Discrimination and Harassment	Administrative Unit Assessment
11/10/2021	Discrimination, Harassment, and Sexual Misconduct	SGA Exec Training
11/11/2021	Discrimination and Harassment	SuperVision
11/12/2021	Discrimination, Harassment, and Sexual Misconduct	UKPA Orientation
11/15/2021	Discrimination, Harassment, and Sexual Misconduct	FIJI
11/15/2021	Discrimination and Harassment	Pav A, 10th floor
11/16/2021	Discrimination and Harassment	New Agent Orientation
11/17/2021	Discrimination and Harassment	Pav A, 10th floor
11/18/2021	Discrimination and Harassment	SuperVision
11/19/2021	Discrimination and Harassment	Pav A, 10th floor
12/01/2021	Discrimination and Harassment	CMS Staff
12/07/2021	Discrimination and Harassment	Central Monitoring
12/08/2021	Discrimination and Harassment	SuperVision

# Procedures Victims Should Follow if a Crime of Domestic Violence, Dating Violence, Sexual Assault or Stalking Occurs

After an incident of domestic violence, dating violence, or sexual assault, survivors are encouraged to consider seeking hospital care immediately. Students/staff should call 911 to connect with their local emergency services. Survivors located on Main Campus may also dial #UKPD from a cell phone to directly connect with UKPD dispatchers. The survivor may choose to make a report over the phone or if needed an ambulance or officer will be dispatched to the survivor's location.

Survivors who feel they may have been drugged are encouraged to communicate this to hospital staff and to ask the hospital to take appropriate samples for testing purposes. To ensure proper evidence is collected, sexual assault survivors may choose to have a Sexual Assault Forensic Exam (SAFE) performed at the hospital by a registered Sexual Assault Nurse

Examiner (SANE). SAFE exams are free in the Commonwealth of Kentucky, so there is never any cost to the survivor. In order to preserve evidence (semen, saliva, hairs, blood), survivors are encouraged not to bathe, douche, change clothes, brush teeth or comb/spray hair before the exam. Additionally, the scene of the assault should be preserved if possible – do not wash clothes, bed linen or any other items associated with the assault. If a survivor has questions about what might constitute evidence or what behaviors might impede evidence collection, they may call the Violence Intervention and Prevention Center at (859) 257-3574 between the hours of 9 a.m. – 5 p.m. or Ampersand Sexual Violence Resource Center's 24-hour support line at (859) 253-2511.

If the survivor chooses to complete a SAFE exam, he/she retains the right to determine whether a report or other notification is made to law enforcement. This excludes cases where reporting of abuse and neglect of a child, spouse, or another vulnerable adult is required. No survivor will be denied an examination due to his/her choice not to file a police report or otherwise cooperate with law enforcement. During a SAFE exam medical professionals will provide any needed immediate care for injuries, record the survivor's medical history, provide a comprehensive medical examination, advise mandatory reporting requirements (such as, for a minor), and provide any required follow-up care. Senate Bill 63 advises that upon completion of the exam, and with survivor approval to proceed with law enforcement action, law enforcement has five (5) days from the date of notification by the collecting facility to take custody of the evidence, and a subsequent period of thirty days to transmit evidence to the Department of Kentucky State Police Forensic Laboratory.

In 2010, the Kentucky General Assembly enacted House Bill 500, which requires in-state hospitals and other sexual assault examination facilities to provide SAFE exams, regardless of the law enforcement reporting choice of the survivor. If the survivor opts not to complete a SAFE exam or report the assault, emergency medical care professionals can still treat injuries and address any other medical related concerns the survivor may have (e.g., unwanted pregnancy, sexually transmitted disease, etc.). The survivor may also access support services such as counseling and advocacy through VIP.

Physical evidence is important because it helps others understand what the survivor has experienced, can help prove their case in court, and can help secure a protection order if need be. In addition to the SAFE exam, survivors of sexual assault, domestic violence, dating violence, and stalking should be advised to preserve the following types of evidence, if possible:

- Have photos taken of visible physical injuries (e.g., abrasions, cuts, bruises, etc.)
- Keep a stalking log to record number and type of unwanted contact
- Keep all documentation related to the abuse or threat of abuse
  - Text/phone/email messages
  - Social networking pages
  - Other communications, such as handwritten letters/notes/cards
  - Photos
  - Contact information of any witness(es)
  - Detailed record of dates/times harassment and/or threatening behavior occurred.

If a survivor has reported their experience to law enforcement, they should inform the police of the type(s) of evidence they have so law enforcement may assist them in properly securing and storing the information.

#### **Involvement of Law Enforcement and Campus Authorities**

Members of the University community are always strongly encouraged to report domestic violence, dating violence, sexual assault, or stalking crimes they may know about. However, it is important to note that survivors have a choice in whether or not to report. Survivors have the right to decline to report their incidents to law enforcement. When the survivor is ready the crime should be reported to the appropriate law enforcement jurisdiction.

Table 15: Law Enforcement Contact Information

INCIDENT LOCATION	REPORT TO	CONTACT INFORMATION
Main Campus, South Farm, North Farm	UKPD	(859) 257-1616
Off-Campus in the Surrounding Community (Fayette County)	Lexington Police Department	(859) 258-3600
Other Separate Campuses	Report to the Local Law Enforcement Authority	See Contact Numbers in the Crime Reporting Section for Each Separate Campus

Additionally, incidents of this nature involving a University student, regardless of location, should be reported to the Title IX Office, (859) 257-8927. Should the survivor choose, the Title IX Office can assist in reporting the incident to law enforcement.

For additional information, please visit:

UKPD http://www.uky.edu/police/ukpd-home

UKPD Special Victims Unit <a href="https://www.uky.edu/police/special-victims-unit">https://www.uky.edu/police/special-victims-unit</a>

University of Kentucky Title IX Office <a href="https://www.uky.edu/eeo/title-ix">https://www.uky.edu/eeo/title-ix</a>

Lexington Police Department https://www.lexingtonky.gov/departments/police

Lexington Police Department Survivor's Handbook

https://www.lexingtonky.gov/sites/default/files/organization-page/2018-03/A%20Survivors%20Handbook%202018.pdf

#### **Pastoral and Professional Counselors**

Pastoral and professional counselors, while acting in their professional capacity, are not CSAs under the Clery Act and are thus considered exempt from reporting confidential statistics. The Clery Act defines pastoral and professional counselors as follows:

- Pastoral counselor: A person who is associated with a religious order or denomination, is recognized by that religious order or denomination as someone who provides confidential counseling, and is functioning within the scope of that recognition as a pastoral counselor.
- Professional counselor: A person whose official responsibilities include providing mental health counseling to members of the institution's community and who is functioning within the scope of the counselor's license or certification.

The Clery Act provides for this exemption to ensure that these individuals can provide appropriate counseling services without an obligation to report crimes they may learn about through client care. This exemption is intended to protect the counselor-client relationship. However, even the legally recognized privileges acknowledge some exemptions, and there may be situations in which counselors are in fact under a legal obligation to report a crime.

## Reporting Incidents of Domestic Violence, Dating Violence, Sexual Assault, and Stalking to Title IX

If you are a survivor of domestic violence, dating violence, sexual assault or stalking you should report the incident promptly to the University of Kentucky Title IX Office.

Walk-in Report Title IX Office at 13 Main Building

Phone (859) 257-8927

Email malexander@uky.edu or

On-line <a href="https://cm.maxient.com/reportingform.php?UnivofKentucky&layout\_id=30">https://cm.maxient.com/reportingform.php?UnivofKentucky&layout\_id=30</a>

All complaints related to alleged violations of Policy and Procedures for Addressing and Resolving Allegations of Sexual Harassment Under Title IX and Other Forms of Sexual Misconduct (AR 6:2), regardless of where the complaint is initially received, are referred to the Title IX Office for investigation. For example, any complaint of domestic violence, dating violence, sexual assault or stalking made to UKPD will be referred to the Title IX Office.

Anonymous reports may be made to UKPD; however, because police reports are public records under state law, UKPD cannot hold reports of sexual assault, stalking, dating violence, domestic violence, or sexual exploitation in confidence. Title IX considers all requests for anonymity but may not be able to honor all requests while meeting the complaining party's needs and complying with the University's duties according to federal law. Further, the Title IX Coordinator is required to report criminal incidents to UKPD.

Dual Reporting: Violations of AR 6:2 may be both a violation of University policy and law, and as such, the University encourages Complaining Witnesses to make reports to both local law enforcement agencies (LPD, UKPD, or other appropriate local law enforcement agencies) and a University official. The result of an external criminal investigation does not affect whether a violation of University policy has occurred. An external criminal investigation will not take the place of a University investigation. The University will not wait for the conclusion of a criminal investigation to begin conducting its independent investigation, take interim measures to protect the University or any member of the University community or, when necessary, initiate hearing procedures.

# Rights of Victims and the Institution's Responsibilities for Orders of Protection, No-Contact Orders, Restraining Orders, or Similar Lawful Orders Issued by Criminal, Civil, or Tribal Court or by the Institution

The University complies with Commonwealth of Kentucky law regarding orders of protection. Orders of protection in Kentucky serve to protect the survivor from future abuse by setting limits on offender behavior. For example, the court may order the offender to maintain a certain safe distance from the survivor.

#### The VIP Center provides the following guidance:

Kentucky courts may issue a short-term Emergency Protective Order (EPO) or Interpersonal Protective Order (IPO) when you are concerned for your safety. The following is information provided by the Administrative Office of the Courts and describes the process of obtaining available protections. Advocates at the VIP Center are here to help you navigate these options and answer any questions you may have about the process. Additionally, the VIP Center can help you to obtain a campus No Contact Order (NCO), which comes from the University directly and does not require court involvement. The VIP is open Monday through Friday, 9 a.m. to 5 p.m. and can be reached at (859) 257-3574.

#### Why file for an EPO or IPO?

To be eligible for an EPO or an IPO, the respondent must have either:

- Physically injured or assaulted you.
- Sexually abused or sexually assaulted you.
- Threatened to physically injure or assault you.
- Stalked you.
- Done something to place you in fear of imminent physical injury, serious physical injury or sexual abuse or assault.

#### Types of Protective Orders

The court may order protection for a survivor of domestic violence, dating violence and abuse, stalking, and/or sexual assault. Protective order cases are different from criminal cases. Protective orders are intended to prevent future acts of violence or abuse. A criminal case is

usually handled by the county attorney, who prosecutes the respondent for acts of violence or abuse that have already taken place.

#### **Temporary Protective Orders**

The court may issue an *emergency protective order* (EPO) in domestic violence cases or a *temporary interpersonal protective order* (TIPO) in dating violence and stalking/sexual assault cases. These are short-term orders intended to stop violence and abuse by placing restrictions on the respondent's actions until a hearing may be held by the court, usually within 14 days.

#### Long-Term Protective Orders

Domestic violence orders (DVO) and interpersonal protective orders (IPO) can last up to three (3) years. These orders are intended to stop violence and abuse by placing restrictions on a respondent after a court hearing.

Who can obtain a protective order?

To get a protective order, the parties must have a qualifying relationship. Qualifying relationships include:

- Family members. This includes a spouse, a former spouse, a parent, a child, a stepchild, a grandparent, a grandchild, or any other person living in the same household as a child if the child is the alleged victim.
- Members of an unmarried couple. This includes members of an unmarried couple who allegedly have a child in common, any children of that couple, or members of an unmarried couple who are living together or have formerly lived together.
- A dating relationship between two individuals who have or have had a relationship of a romantic or intimate nature.
- A victim of stalking or sexual assault.

#### Who can be protected?

- You can request protection for yourself, your children, and/or other persons who you believe may need protection.
- If you are an adult and believe a child needs protection but you do not, you may file a
  petition on behalf of that child.
- If you are under the age of eighteen (18), an adult may file a petition for you.

#### Where do I file a petition for a protective order?

To file a petition for a protective order, visit the Office of Circuit Court Clerk in your county of residence or in the county where you are currently residing if you left your home to get away from the abuse. There is an Office of Circuit Court Clerk in every Kentucky county. For contact information for all 120 Offices of Circuit Court Clerk, visit <a href="https://kycourts.gov/Courts/County-Information/Pages/default.aspx">https://kycourts.gov/Courts/County-Information/Pages/default.aspx</a> and select a county.

- You can obtain a protective order twenty-four (24) hours a day.
- There are no fees or costs for filing a petition.
- After business hours, you should contact your local law enforcement for assistance in obtaining a protective order.

#### How do I fill out the petition?

You will be asked to provide information about you and the respondent. It is important to provide as much information about the respondent as possible – such as date of birth, Social Security number, and address – so that he or she may be served with any order that may be issued. Your address and date of birth will be kept confidential. You will be asked to list any children you and the respondent have, and you can request that these children be protected by any order that may be issued. Under "Motion for Relief," you can request the protection that you believe is appropriate for your situation. You will be asked to swear that the information you provided is true.

#### What happens after the petition is filed?

The petition will be immediately submitted to a judge or a trial commissioner for review. If a judge issues an EPO, TIPO, or a summons, a hearing will be scheduled within fourteen (14) days to determine whether a long-term order is needed. You will receive something that shows you the date and time for your hearing. If you do not know when your hearing is scheduled, check with the Office of Circuit Court Clerk. Law enforcement will then attempt to serve the protective order or summons on the respondent. A protective order does not go into effect until the respondent is served with a copy of the order or is notified about the protective order by law enforcement. You can contact the "agency assigned service" (listed on the order) to find out if the respondent has been served. A protective order (EPO/TIPO) is effective until the court holds a hearing, usually within fourteen (14) days. If the respondent has not been served with the EPO/TIPO, the order will be continued until service is made (up to six (6) months) or until the order is withdrawn by the court. Even if you believe you no longer need protection, you will need to appear at the scheduled court hearing. Only a judge can grant a new court date or change the order. Depending on the circumstances of the case, the court may excuse you from future court appearances until the respondent is served. If the EPO/TIPO remains unserved for up to six (6) months, you will receive a notice from the court at your last known address letting you know that the order is about to expire and that you will need to come to the Office of Circuit Court Clerk to fill out a new petition to continue the case.

#### What should I bring to the hearing?

This may be the only hearing in the case, so you will need to bring any witnesses you may have and any documents that may be evidence of what has happened, such as police reports, photos, and medical records. The circuit court clerk can give you forms for subpoenas for any witnesses. If you are asking for child support, bring pay stubs and tax returns if possible. All of this information will become part of the court record.

What can happen at the hearing?

At a full hearing, the court hears testimony from you, the respondent, and any other witnesses. The court may dismiss the case or issue a DVO or IPO (domestic violence order or interpersonal protective order), which may include any of the following terms:

- 1. Order the respondent to have no contact with you or other persons except as directed by the judge.
- Order the respondent not to go near a specified residence, school, or place of employment of the petitioner. NOTE: This must be requested on the protective order petition. Any address information provided is not confidential and will be available to the respondent.
- 3. Order the respondent not to abuse or threaten you.
- 4. Order the respondent not to damage or dispose of your property.
- 5. Order the respondent to leave your residence.
- 6. Grant temporary custody of children.
- 7. Grant child support.
- 8. Order counseling.
- 9. Anything else needed to eliminate future acts of violence.

You should carefully read all orders you receive. If you have questions about what your order means, contact your attorney (if you have one), a local domestic violence program or the VIP Center.

What if the respondent violates the protective order?

A protective order can be enforced in any Kentucky county. Other states may enforce the order, but it should be registered in any state where you move to or plan to stay for an extended period. If the respondent violates the protective order, your options may include:

- Calling the police, who may be able to arrest the respondent.
- Going back to the court that issued the protective order to ask that the respondent be held in contempt for violating the order.
- Going to the county attorney's office to see if the respondent can be charged with a crime for violating the protective order.

How do I change or extend a protective order?

If you need to change the terms of a protective order, you must file a motion to amend with the Office of Circuit Court Clerk in the county where you obtained your protective order. Either party can file a motion to amend an order. A judge will then review the motion and make a decision. Only the judge can change some or all of the terms of an order. If you wish to have the protective order extended past its expiration date, you must file a motion with the court that gives your reason(s) for the request. The motion must be filed before the order expires.

# Procedures University of Kentucky will Follow if a Crime of Domestic Violence, Dating Violence, Sexual Assault or Stalking Occurs

In the event a crime of domestic violence, dating violence, sexual assault, or stalking occurs, the University will follow its policy governing such acts which can be found in AR 6:2, titled Policy and Procedures for Addressing and Resolving Allegations of Sexual Harassment Under Title IX and Other Forms of Sexual Misconduct. It should be noted that the steps, anticipated timelines, decision-making process for disciplinary proceedings, steps to file a disciplinary complaint, and how the institution determines which type of proceeding to use are all informed by a single policy, AR 6:2. Also, these procedures apply in all cases involving violations of AR 6:2 regardless of whether the respondent is a faculty employee, staff employee, or student. The University resolves complaints of prohibited conduct within a period that is reasonable given the nature of the complaint. However, should the process take longer than anticipated, the University will communicate with the involved parties to ensure everyone is informed of any revised timeline, etc. The process will be conducted not only in a timely manner, but also in a prompt, fair, and impartial manner in order to provide all parties with resolution.

## AR 6.2: Policy and Procedures for Addressing and Resolving Allegations of Sexual Harassment Under Title IX and Other Forms of Sexual Misconduct

#### I. Introduction

This Administrative Regulation establishes the University's policies and procedures for addressing and resolving allegations of Sexual Harassment under Title IX of the Education Amendments of 1972. This regulation also applies to acts of retaliation as defined in the Title IX implementing regulations and types of Sexual Misconduct not contemplated by the Title IX implementing regulations. This regulation does not include Sexual Harassment or other forms of sex or gender-based discrimination covered by Title VII or that fall within Administrative Regulation 6:1, Policy on Discrimination and Harassment.

The definition of Sexual Harassment under Title IX is codified in the U.S. Department of Education's Regulations implementing Title IX. Conduct that is Sexual Harassment under Title IX is not necessarily Sexual Harassment under Title VII or Kentucky law and vice versa.

The University's Title IX Coordinator and the Office of Institutional Equity and Equal Opportunity ("Institutional Equity") administer this regulation.

The Title IX Coordinator and Institutional Equity administer two (2) separate policies that address Sexual Misconduct and other forms of discrimination and harassment:

- Administrative Regulation 6:1, "Policy on Discrimination and Harassment"
- Administrative Regulation 6:2, "Policy and Procedures for Addressing and Resolving Allegations of Sexual Harassment Under Title IX and other forms of Sexual Misconduct"

Sexual Harassment and other forms of sex or gender-based discrimination that fall outside of Title

IX are prohibited by Administrative Regulation 6:1. Sexual Misconduct that falls outside of the definition of Title IX is prohibited by Administrative Regulation 6:2. The University's Title IX Coordinator has discretion to determine appropriate charge for reported behavior, including which policy applies to reported behavior. Questions about which policy applies in a specific instance should be directed to the University's Title IX Coordinator at (859) 257-8927.

#### **II. Policy**

The University of Kentucky is committed to providing a safe learning, living, and working environment for all members of the University community. Consistent with this commitment, the University prohibits Sexual Harassment under Title IX which includes quid pro quo sexual harassment, hostile environment sexual harassment, domestic violence, dating violence, sexual assault, stalking, and retaliation against any person for the good faith reporting of any of these forms of conduct or participation in any investigation or proceeding under this regulation (collectively, "Sexual Harassment"). This regulation also prohibits sexual assault, domestic violence, dating violence, stalking, and sexual exploitation (collectively "Sexual Misconduct") that fall outside the definitions of those behaviors as defined as Sexual Harassment under Title IX. These forms of Sexual Harassment and Sexual Misconduct are unlawful, undermine the character and purpose of the University, and will not be tolerated.

Employees or students who violate this regulation may face disciplinary action up to and including termination or expulsion. The University will take prompt and equitable action to prevent Sexual Harassment and Sexual Misconduct, discipline anyone who violates this policy, prevent further prohibited behavior, and remedy the effect of any such conduct. The University conducts ongoing prevention, awareness, and training programs for faculty, staff, and students to achieve the goals of this regulation.

Every member of the University community is responsible for fostering an environment free from Sexual Harassment and Sexual Misconduct. All members of the University community are encouraged to take reasonable and prudent actions to prevent or stop any acts of Sexual Harassment and Sexual Misconduct. The University will support and assist community members who take such actions.

#### III. Scope

- A. This Administrative Regulation applies to all members of the University community, including faculty, staff, students, authorized volunteers, and registered student organizations.
- B. Education Program or Activity means any on campus or any other University owned, leased, controlled, or operated location, event, or circumstance over which the University exercised substantial control over both Respondent and the context in which the alleged violation occurred. This includes any activity or location off University premises if the activity is authorized, initiated, sponsored, aided, or supervised by the University or a registered student organization.
- C. This regulation applies to any acts of Sexual Misconduct that occur on campus or any other University owned, leased, controlled, or operated location, event, or circumstance over which

the University exercised substantial control over both Respondent and the context in which the alleged violation occurred. This includes any activity or location off University premises if the activity is authorized, initiated, sponsored, aided, or supervised by the University or a registered student organization.

- D. This regulation applies to any acts of Sexual Misconduct that occur outside the context of University employment or a University education program or sponsored activity whenever the conduct has continuing adverse effects on or creates a hostile environment for students, employees, or third parties while on property owned, leased, or controlled by the University, or in any University employment or education program or activity.
- E. University faculty, staff, and students may utilize services of the University's Violence Intervention and Prevention Center ("VIP") regardless of where the behavior they experienced occurred or regardless of the identity of the accused individual.

#### **IV. Definitions**

The following definitions are for purposes of this Administrative Regulation and are not intended to replace or summarize the United States Code, the Code of Federal Regulations, or the Kentucky Revised Statutes.

#### A. Affirmative Consent

"Affirmative Consent" means a voluntary expression of willingness, permission, or agreement to engage in specific sexual activity throughout a sexual encounter. It is the responsibility of each person involved in the sexual activity to ensure that they have the affirmative consent of the other to engage in the sexual activity. Consent cannot be inferred from the absence of a "no"; consent, verbal or otherwise, must be obtained.

Consent cannot be granted by an individual who:

- (1) Is incapacitated as defined by this Regulation (See "Incapacitation" below);
- (2) Has been compelled by force or threat of force; or
- (3) Is coerced by supervisory or disciplinary authority;

#### B. Advisor

"Advisor" means an advocate or other individual who may attend both an investigative meeting or live hearing to provide advice, support, or guidance to either Respondent or Complainant. An Advisor may not interfere with the purpose of or create a disturbance in any investigative meeting. If an Advisor interferes with the purpose of an investigative meeting, disrupts or obstructs a live hearing or investigative meeting, or fails to comply with procedures in a live hearing, they will be asked to leave the investigative meeting or live hearing.

An Advisor may be an attorney. Non-attorney Advisors may not directly participate in the hearing because participation in a live hearing may be regarded as the practice of law in Kentucky. An Advisor may not be a witness at the hearing of the matter for which they are serving as an advisor.

#### C. AR 6:2 Appeals Board

"AR 6:2 Appeals Board" means those presidential appointees who will consider appeals of the AR 6:2 Hearing Panel's determination as to whether a student, student organization or employee has violated AR 6:2 or of recommended sanctions. Because the Code of Student Conduct ("the Code") explicitly provides that the AR 6:2 procedures--not the Code's procedures--apply when a student is accused of violations AR 6:2, the AR 6:2 Appeals Board hears all appeals involving students who have been found responsible for violations of AR 6:2.

The Board consists of one faculty employee from each College and an equal number of staff employees from the University as a whole. In addition, the President will appoint one faculty employee, who has a law degree, to serve as Chair of the AR 6:2 Appeals Board.

The Dean of each College, in consultation with the Faculty Council (or equivalent) of the College, will forward two nominees to the President, and the President will appoint one member from each College to the Board. The Staff Senate will forward a number of nominees that is equal to the number of nominees forwarded by the Deans of the Colleges. The President will appoint half of the nominated staff members to the Board.

Board Members must receive annual training by the Title IX Coordinator, or their designee, on issues related to Sexual Harassment and Sexual Misconduct. Students are not permitted to serve.

#### D. AR 6:2 Appeals Panel

"AR 6:2 Appeals Panel" means a 3-person panel, including the Chair of the AR 6:2 Appeals Board and two other members of the Appeals Board selected by the Chair at the time of an Appeal, to resolve appeals of a Probable Cause Determination, an AR 6:2 Hearing Panel's determination as to whether a student, student organization or employee has violated AR 6:2, or of recommended sanctions. The AR 6:2 Appeals Panel is the final decision-maker for all issues related to violations of AR 6.2 and recommended sanctions. For faculty members who are found to have violated A.R. 6:2, there may be additional procedures under Governing Regulation X and Kentucky statutes.

#### E. AR 6:2 Hearing Officer

"AR 6:2 Hearing Officer" means an attorney who is appointed by the President to preside over a hearing to resolve alleged violations of AR 6:2. The AR 6:2 Hearing Officer is responsible for maintaining order and determining the sequence of events during a hearing. The AR 6:2 Hearing Officer may direct any person who fails to comply with procedures during the hearing, disrupts or obstructs the hearing to leave the hearing. The Hearing Officer is the decision-maker for all evidentiary and procedural issues. The Hearing Officer may not dismiss a matter that the Title IX Coordinator has determined is ripe for hearing pursuant to these procedures.

#### F. AR 6:2 Hearing Board

"AR 6:2 Hearing Board" means those presidential appointees who potentially will serve as members of the AR 6:2 Hearing Panel for a particular matter. The Board consists of two (2) faculty employees from each College and an equal number of staff members from the University as a whole. Students are not permitted to serve.

The Dean of each College, in consultation with the Faculty Council (or equivalent) of the College, will forward four nominees to the President and the President will appoint two members from each College to the Board. The Staff Senate will forward a number of nominees that is equal to the number of nominees forwarded by the Deans of the Colleges. The President will appoint half of the nominated staff members to the Pool.

Board Members must receive annual training by the Title IX Coordinator, or their designee, on issues related to Sexual Harassment and Sexual Misconduct.

#### G. AR 6:2 Hearing Panel

"AR 6:2 Hearing Panel" means a 3-person AR 6:2 Hearing Panel selected by the AR 6:2 Hearing Officer from the AR 6:2 Hearing Board to resolve alleged violations of AR 6:2. The AR 6:2 Hearing Panel is the decision-maker with respect to issues of responsibility. If there is a finding of responsibility, the AR 6:2 Hearing Panel makes a recommendation concerning sanctions to the Provost (faculty), Associate Provost for Student and Academic Life (students) or Vice President for Human Resource (staff).

#### H. Attorney

"Attorney" means an individual who is licensed to practice law in the courts of the Commonwealth of Kentucky. An Attorney may attend an investigative meeting, but may not interfere with the investigative meeting. An Attorney representing a Complainant, a Respondent, or the University may actively participate in any live hearing that may occur as a result of an investigation pursuant to AR 6:2, but may not create a disturbance in any live hearing. An attorney who interferes with the purpose of an investigative meeting, disrupts or obstructs a live hearing or investigative meeting, or fails to comply with procedures in a live hearing will be asked to leave the investigative meeting or live hearing.

If Respondent or Complainant does not have an Attorney to represent them at the Live Hearing, the University will provide, without fee or charge, an Attorney of the University's choice to represent the Complainant or Respondent. Attorneys provided by the University will be selected in accordance with state procurement law and will be compensated at a fixed rate.

#### I. Campus Security Authority

"Campus Security Authority" (CSA) is broadly defined as an individual having responsibility for campus security or an official having significant responsibility for student and campus activities. For a specific listing of individuals designated as campus security authorities, see

Administrative Regulation 6:7.III.E, Policy on Disclosure of Campus Security and Crime Statistics.

#### J. Complainant

"Complainant" means an individual who is alleged to be the victim of conduct that could constitute Sexual Harassment or Sexual Misconduct. If Complainant is a minor or legally incompetent, the parents or guardians of Complainant may act on Complainant's behalf. However, the individual who experienced the behavior, not the parents or guardians, is the Complainant.

#### K. Complicity

"Complicity" means any act taken with the purpose of aiding, facilitating, promoting, or encouraging the commission of an act of prohibited conduct by another person.

#### L. Dating Violence

"Dating Violence", is a form of Sexual Harassment or Sexual Misconduct. The federal regulation uses the definition in 34 U.S.C. 12291(a) (10), which defines Dating Violence as violence committed by a person—

- (1) Who is or has been in a social relationship of a romantic or intimate nature with the victim; and
- (2) Where the existence of such a relationship is be determined based on a consideration of the following factors:
  - a. The length of the relationship.
  - b. The type of relationship.
  - c. The frequency of interaction between the persons involved in the relationship.

#### M. Domestic Violence

"Domestic Violence" is a form of Sexual Harassment or Sexual Misconduct. The federal regulation uses the definition in 34 U.S.C. 12291(a)(8), which defines Dating Violence as felony or misdemeanor crimes of violence committed by a current or former spouse or intimate partner of the victim, by a person with whom the victim shares a child in common, by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of Kentucky, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of Kentucky.

#### N. Employee

"Employee" means a faculty employee or staff employee, regardless of employee type (i.e., regular or temporary), as defined in *Human Resources Policy and Procedure #4.0: Employee Status*.

#### O. False Allegation

"False Allegation" means an allegation that was made in bad faith, e.g., an allegation that the

person making knew to be untrue at the time it was made. The mere fact an investigation concluded there was no Probable Cause or that a hearing panel did not find the accused responsible does not mean the allegation was made in bad faith.

#### P. Force or Coercion

"Force or Coercion" means: (a) threats of serious physical, emotional, or psychological harm to or physical restraint against any person, (b) any scheme, plan, or pattern intended to cause a person to believe that failure to perform an act would result in serious harm to or physical restraint against any person, or (c) threats of employment or academic repercussions for failing to engage in the requested behavior.

#### Q. Formal Complaint

"Formal Complaint" means a document filed in the Office of Institutional Equity by a Complainant or signed by the Title IX Coordinator, or their designee, alleging Sexual Harassment or Sexual Misconduct against a Respondent and requesting that the University investigate the allegation of Sexual Harassment or Sexual Misconduct. At the time of filing a Formal Complaint, a Complainant must be participating in or attempting to participate in the education program or activity of the University.

#### R. <u>Incapacitated</u>

"Incapacitated" means a person is impaired to such a level that they lack the physical and/or mental ability to make informed, rational judgments and/or cannot appraise or control their own conduct or make decisions with the degree of understanding they typically possess. A person may be impaired by an intoxicant, by mental illness or deficiency or by physical illness or disability to the extent that personal decision-making is impossible. A person can be intoxicated without being incapacitated. A person who is below the statutory age of consent is incapacitated.

#### S. Making a False Statement

"Making a False Statement" means providing a statement or information that the person providing such statement or information knows to be false at the time it is provided.

#### T. Physical assault

"Physical Assault" means threatening or causing physical harm or engaging in other conduct that threatens or endangers the health or safety of any person. Physical assault will be addressed under this policy if it involves sexual or gender-based harassment, dating or domestic violence or, is part of a course of conduct under the stalking definition.

#### U. Preponderance of the Evidence Standard

"Preponderance of the Evidence" means superior evidentiary weight that, though not sufficient to free the mind wholly from all reasonable doubt, is still sufficient to incline a fair and impartial mind to one side of the issue rather than the other. This is the burden of proof in a civil trial,

in which the jury is instructed to find for the party that, on the whole, has the stronger evidence, however slight the edge may be.

#### V. <u>Probable Cause</u>

"Probable Cause" means a reasonable basis for believing that a violation of this policy may have occurred.

#### W. Registered Student Organization

"Registered Student Organization" (RSO) means a group of identifiable persons who have complied with the requirements for registration as determined by the Office of Student Organizations and Activities ("SOA") in accordance with AR 4:1, Registration of Student Organizations, and includes groups that are seeking but have not yet been granted registered status.

#### X. Respondent

"Respondent" means an individual who has been reported to be the perpetrator of conduct that could constitute Sexual Harassment or Sexual Misconduct. A Respondent is a party to any live hearing that occurs because of an investigation pursuant to this regulation. If Respondent is a minor or legally incompetent, the parents or guardians of Respondent may act on Respondent's behalf. However, the individual accused, not the parent or guardian, is the Respondent.

#### Y. Responsible Employee

"Responsible employee" means any University employee who:

- (1) Has the authority to act to redress Sexual Harassment;
- (2) Has been given the duty of reporting incidents of Sexual Harassment or any other misconduct to the Title IX Coordinator; or
- (3) Is an individual reasonably believed to have this authority or duty.

#### Z. Retaliation

"Retaliation" for Sexual Harassment under Title IX is defined at 34 CFR 106.7. Under that definition, neither the University nor any faculty member, employee, student, or authorized volunteer may intimidate, threaten, coerce, or discriminate against any individual for the purpose of interfering with any right or privilege secured by Title IX or the implementing regulations or because the individual has made a report or complaint, testified, assisted, or participated or refused to participate in any manner in an investigation, proceeding, or hearing. Intimidation, threats, coercion, or discrimination, including charges against an individual for violations of University regulations and policies that do not involve sex discrimination or Sexual Harassment, but arise out of the same facts or circumstances as a report or complaint of sex discrimination, or a report or formal complaint of Sexual Harassment, for the purpose of interfering with any right or privilege secured by Title IX or this part, constitutes retaliation.

Retaliation does not include good faith actions lawfully pursued in response to a report of prohibited behavior.

Individuals who have made a complaint of Sexual Harassment or Sexual Misconduct under this policy are protected from behavior that infringes upon their ability to participate in any education program or activity regardless of the outcome of any investigation or hearing. Individuals who encourage others to retaliate on their behalf may also be found responsible for Retaliation.

Any allegations of Retaliation should be reported to the Title IX Coordinator.

#### AA. Sanction

"Sanction" means any educational or disciplinary measure provided to encourage self-reflection regarding Respondent's policy violation, to stop further inappropriate behavior, and to deter any subsequent violations. Sanctions should be appropriately connected to the violation.

#### BB. Sexual Assault

"Sexual Assault" is a form of Sexual Harassment or Sexual Misconduct. The federal regulation uses the definition 20 U.S.C. 1092(f)(6)(A)(v), which defines Sexual Assault as an offense classified as a forcible or nonforcible sex offense under the uniform crime reporting system of the Federal Bureau of Investigation.

A sex offense is any act directed against another person, without the consent of the second person, including instances where the second person is incapable of giving consent.

- (1) Sexual Assault: Rape is defined as the penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of a second person, without the consent of the person being violated.
- (2) Sexual Assault: Fondling is defined as the touching of the private parts of another person without the consent of the second person, including instances where the second person is incapable of giving consent because of age or because of temporary or permanent mental incapacity.
- (3) Sexual Assault: Incest is defined as sexual intercourse between persons who are related to each other within the degrees wherein marriage is prohibited by law.
- (4) Sexual Assault: Statutory Rape is defined as sexual intercourse with a person who is under the statutory age of consent.

Sexual assault also includes all sex offenses as stated in Kentucky Revised Statutes 510.010 through 510.140.

#### CC. Sexual Harassment for Title IX Purposes

"Sexual Harassment" for Title IX Purposes "means conduct on the basis of sex that occurs in the University's education program or education activity and satisfies one or more of the following:

- (1) An employee of the University conditioning the provision of an aid, benefit, or service of the recipient on an individual's participation in unwelcome sexual conduct. This type of sexual harassment is also referred to as Quid Pro Quo.:
- (2) Unwelcome conduct determined by a reasonable person to be so severe, pervasive, and objectively offensive that it effectively denies a person equal access to the University's education program or activity. This type of sexual harassment is also referred to as Hostile Environment.; or
- (3) "Sexual assault" as defined in 20 U.S.C. 1092(f)(6)(A)(v), "dating violence" as defined in 34 U.S.C. 12291(a)(10), "domestic violence" as defined in 34 U.S.C. 12291(a)(8), or "stalking" as defined in 34 U.S.C. 12291(a) (30).

#### DD. Sexual Exploitation

"Sexual Exploitation" means taking non-consensual or abusive sexual advantage of another and includes situations in which the conduct does not fall within the definitions of Sexual Harassment or Sexual Assault, Dating Violence, Domestic Violence, or Stalking.

Examples of sexual exploitation include, but are not limited to, the following:

- (1) Causing the incapacitation of another person (through alcohol, drugs, or any other means) for the purpose of compromising that person's ability to give affirmative consent to sexual activity;
- (2) Allowing third parties to observe private sexual activity from a hidden location (e.g., a closet) or through electronic means (e.g., via Skype or live streaming of images);
- (3) Engaging in voyeurism (e.g., watching private sexual activity without the consent of the participants or viewing another person's intimate parts (including genitalia, groin, breasts, or buttocks) in a place where that person would have a reasonable expectation of privacy);
- (4) Recording or photographing private sexual activity and/or a person's intimate parts (including genitalia, groin, breasts, or buttocks) without consent;
- (5) Disseminating or posting images of private sexual activity and/or a person's intimate parts (including genitalia, groin, breasts, or buttocks) without consent;
- (6) Human Trafficking; and
- (7) Knowingly exposing another person to a sexually transmitted infection or virus without the other's knowledge.

#### EE. Sexual Misconduct

"Sexual Misconduct" includes Sexual Assault, Dating Violence, Domestic Violence, Stalking, and Sexual Exploitation when that behavior does not meet the jurisdictional requirements to

constitute Sexual Harassment under Title IX.

#### FF. Stalking

"Stalking" is a form of Sexual Harassment or Sexual Misconduct. The federal regulation uses the definition in 34 USC § 12291(a) (30), which is engaging in a course of conduct directed at a specific person that would cause a reasonable person to:

- (1) Fear for his or her safety or the safety of others; or
- (2) Suffer substantial emotional distress.

Course of conduct means two (2) or more acts, including, but not limited to, acts in which the stalker directly, indirectly, or through third parties, by any action, method, device, or means, follows, monitors, observes, surveils, threatens, or communicates to or about a person or interferes with a person's property.

Substantial emotional distress means significant mental suffering or anguish that may, but does not necessarily, require medical or other professional treatment or counseling.

Reasonable person means a reasonable person under similar circumstances and with similar identities to the person who alleges stalking.

#### GG. Student

"Student" means any person who is enrolled in courses at UK. Student status continues whether or not UK's academic programs are in session. Student status includes those taking courses for credit or non-credit at UK, either full-time or part-time, while pursuing undergraduate, graduate, or professional studies.

Persons who are not enrolled at UK but who are participating in or attempting to participate in the education program or activity of the University are not students. However, such persons may serve as a Complainant or a Respondent.

Persons who withdraw after allegedly violating this Policy or who graduated after allegedly violating this Policy are not students but are still subject to discipline under this regulation.

#### HH. Supportive Measures

"Supportive Measures" means non-disciplinary, non-punitive individualized services offered as appropriate, as reasonably available, and without fee or charge to Complainant or Respondent before or after the filing of a formal complaint or where no formal complaint has been filed. Such measures are designed to restore or preserve equal access to the University's education program or activity without unreasonably burdening the other party, including measures designed to protect the safety of all parties or the recipient's educational environment, or deter Sexual Harassment and/or Sexual Misconduct.

Supportive measures may include but are not limited to the following:

- Counseling;
- Extensions of deadlines or other course-related adjustments;
- Modifications of work or class schedules;
- Campus safety or security escort services;
- Mutual restrictions on contact between the parties;

- Changes in work or housing locations;
- Leaves of absence;
- Increased security and monitoring of certain areas of the campus; and
- Other similar appropriate measures.

Any supportive measure provided to Complainant or Respondent will remain confidential to the extent that maintaining such confidentiality would not impair the ability of the University to provide the supportive measures. The Title IX Coordinator, or their designee, is responsible for coordinating the effective implementation of supportive measures.

# II. <u>Title IX Coordinator</u>

"Title IX Coordinator" means the University official responsible for investigating complaints of Sexual Harassment, resolving potential violations informally, and facilitating the hearing process.

## JJ. University Counsel

"University Counsel" means the attorney(s) designated by the University to prosecute the alleged violation of this policy to the AR 6:2 Hearing Panel on behalf of the University. The University is a party to a live hearing occurring pursuant to this regulation. University Counsel does not have authority to request dismissal of a charged violation of this policy.

## KK. University Official

"University Official" means any person employed or otherwise authorized by the University to perform assigned administrative or professional responsibilities.

#### V. Prohibited Acts

- A. Every member of the University community is prohibited from:
  - (1) Engaging in Sexual Harassment or Sexual Misconduct;
  - (2) Being complicit in the commission of Sexual Harassment or Sexual Misconduct;
  - (3) Retaliating in any manner against an individual who makes a complaint or participates in the investigation of a complaint of Sexual Harassment or Sexual Misconduct;
  - (4) Interfering with procedures to investigate or redress a complaint of Sexual Harassment or Sexual Misconduct:
  - (5) Making a false statement during the investigation or hearing of a complaint of Sexual Harassment or Sexual Misconduct; and
  - (6) Making an intentionally false accusation of Sexual Harassment or Sexual Misconduct through the University's procedures.

B. Any member of the University community who engages in one of these prohibited acts against any other member of the University community may be subject to corrective action and appropriate sanctions.

# VI. Reporting Allegations and Filing Formal Complaints

- A. A report of alleged misconduct is not the same as a Formal Complaint. A Formal Complaint means a document filed by a Complainant or signed by the Title IX Coordinator alleging Sexual Harassment or Sexual Misconduct against a Respondent and requesting that the University investigate the allegation of Sexual Harassment or Sexual Misconduct. At the time of filing a Formal Complaint, a Complainant must be participating in or attempting to participate in an education program or activity of the University.
- B. Any person may report Sexual Harassment or Sexual Misconduct, regardless of whether the person reporting is the person alleged to be the victim of conduct that could constitute Sexual Harassment or Sexual Misconduct. A report may be made in person, by mail, by telephone, or by electronic mail, using the contact information listed for the Title IX Coordinator or to the University of Kentucky Police Department ("UKPD"), the University Violence Intervention and Prevention Center, the Dean of Students Office, a Campus Security Authority, or by any other means that results in the Title IX Coordinator receiving the person's verbal or written report. Such a report may be made at any time (including during non-business hours) by using the telephone number or electronic mail address, or by mail to the office address, listed for the Title IX Coordinator.

Meredith Reeves, Interim Title IX Coordinator 13 Main Building (859) 257-8927 meredith.reeves@uky.edu

- C. An incident may be reported without filing a written complaint. Individuals who need assistance filing a report may contact the Office of Institutional Equity and Equal Opportunity at (859) 257-8927.
- D. Reports can be made electronically at: <a href="https://www.uky.edu/eeo/discrimination-harassment">https://www.uky.edu/eeo/discrimination-harassment</a>
- E. There is no statute of limitation for reporting behavior that may be a violation of this regulation.
- F. Any Responsible Employee who witnesses or is made aware of an incident of Sexual Harassment or Sexual Misconduct must report it to the Title IX Coordinator as soon as possible. Reports of behavior that constitute an immediate threat to the safety or security of the person experiencing the behavior or the campus community should be made to the UKPD. University employees who are not employed by one of the offices identified in section I, below, are Responsible Employees and are not confidential and are not permitted to hold a report in confidence. Failure of Responsible Employees to report an incident of Sexual Harassment or Sexual Misconduct in a timely manner may result in corrective action, up to and including termination of employment.
- G. Incidents that occur abroad may be reported to the University of Kentucky International Center or directly to the Title IX Coordinator.

- H. Individuals designated as Campus Security Authorities are required by law to report certain crimes, including alleged sex offenses, stalking, dating or domestic violence, and sexual exploitation, to the UKPD or Division of Crisis Management and Preparedness. These reports are made for statistical purposes, without the inclusion of identifying information of the parties. For reporting responsibilities of individuals designated as Campus Security Authorities, see *Administrative Regulation 6:7, Policy on Disclosure of Campus Security and Crime Statistics*.
- I. Confidential reporting is allowed to the University Violence Intervention and Prevention Center and the UK Counseling Center or Health Services when receiving counseling or medical services. The University encourages individuals who make a complaint of Sexual Harassment, or Sexual Misconduct, regardless of where the report is made, to also contact the University Violence Intervention and Prevention Center (<a href="http://www.uky.edu/StudentAffairs/VIPCenter/">http://www.uky.edu/StudentAffairs/VIPCenter/</a>) for assistance in accessing and navigating services, resources, and referrals both on and off campus.
- J. Anonymous reports may be made to the UKPD; however, because police reports are public records under state law, UKPD cannot hold reports of Sexual Harassment or Sexual Misconduct in confidence.
- K. Anonymous reports may also be made to Institutional Equity. However, the University has limited ability to address anonymous reports.
- L. The University provides information on pursuing criminal or other legal action, health care, counseling, and other support services available to students, faculty, staff, and visitors who have made an allegation of Sexual Harassment or Sexual Misconduct.
- M. Reports made through the process described in this AR are separate from and are not dependent on the outcome of any criminal or civil process that may be occurring concurrently.
- N. The University resolves Formal Complaints of Sexual Harassment and Sexual Misconduct within a period that is reasonable given the nature of the complaint. The University will keep the Complainant and Respondent informed of the progress of the proceedings.
- O. Individuals who experience sexual assault, dating violence, or domestic violence are strongly encouraged to seek medical attention and be examined for physical injury, the presence of sexually transmitted diseases, or pregnancy as a result of rape.

NOTE: An individual who is considering making a criminal complaint or taking other legal action should seek medical care as soon as possible after the assault. It is important for the individual to not bathe, douche, or change clothing before the medical examination to avoid inadvertently removing important evidence. The kind of evidence that supports a legal case against an accused should be collected as soon as possible, at maximum within ninety-six (96) hours of an assault.

important onivolotty contact numberor	
UK Police	911 from a UK phone; #UKPD from cell

Important University contact numbers:

Violence Intervention and Prevention Center	(859) 257-3574
Office of the Dean of Students	(859) 257-3754
UK Counseling Center	(859) 257-8701
University Health Services	(859) 323-5823
UK HealthCare	(859) 257-1000

The University's Title IX Coordinator can be contacted during office hours as follows:

Title IX Coordinator 13 Main Building 859-257-8927

# **VII. Rights of the Complainant and Respondent**

- A. The Complainant has the right to choose whether to file a Formal Complaint with the University.
- B. Complainant has the right to access supportive measures regardless of whether they file a Formal Complaint.
- C. In addition to pursuing administrative penalties and remedies, the Complainant maintains the right to pursue criminal or other legal action.
- D. Respondent has the right to be presumed innocent. It is the University's responsibility to prove that Respondent engaged in Sexual Harassment or Sexual Misconduct.
- E. Both the Complainant and Respondent have the right:
  - (1) To have the University respect their rights provided by the United States and Kentucky Constitutions:
  - (2) To be treated with respect by University officials;
  - (3) To take advantage of campus support resources;
  - (4) To experience a safe living, educational, and work environment;
  - (5) To have up to two (2) Advisors, including attorneys, present during any investigation, prehearing meeting, or hearing;
  - (6) To have an attorney represent them at any hearing;
  - (7) To refuse to have an allegation resolved through conflict resolution procedures;

- (8) To receive amnesty for certain student misconduct, such as alcohol or drug violations, that occurred ancillary to the incident;
- (9) To be free from retaliation for reporting violations of this regulation or cooperating with an investigation;
- (10) To have complaints heard in accordance with University procedures;
- (11) To be informed in writing of the outcome/resolution of the complaint, any sanctions where permissible, and the rationale for the outcome where permissible;
- (12) To have minimal interaction or contact with Respondent or Complainant; and
- (13) To request supportive measures from the University to ensure minimal interaction or contact with Respondent or Complainant.
- F. The University will keep confidential to the greatest extent possible the identity of any individual who has made a report or complaint of sex discrimination, including any individual who has made a report or filed a Formal Complaint of Sexual Harassment or Sexual Misconduct, any Complainant, any individual who has been reported to be the perpetrator of sex discrimination, any Respondent, and any witness. The University may be required to disclose the identity of individuals when necessary to provide due process to the Respondent or to comply with federal or state law.

# **VIII. Corrective Actions and Disciplinary Procedures**

- A. For students, faculty, and staff, the University will utilize the procedures outlined in the Appendix to address and resolve allegations of Sexual Harassment or Sexual Misconduct. The Title IX Coordinator, or designee, has the authority to determine whether allegations fall within the purview of AR 6:2 and appropriate charges.
- B. The recommended range of sanctions for students is in accordance with the Appendix and includes disciplinary probation, counseling assessment, social restrictions, social suspension, suspension, and expulsion. Additional sanctions also may be imposed when appropriate. Both the Complainant and Respondent will be informed of the outcome of the corrective action or disciplinary process.
- C. The recommended range of sanctions for faculty and staff is in accordance with the Appendix and includes suspension, counseling, or termination of employment. Additional sanctions also may be imposed when appropriate. Both the Complainant and Respondent will be informed of the outcome of the corrective action or disciplinary process.

## IX. Education

Regular and ongoing education regarding the issues addressed in this regulation is available for all members of the University community. The VIP Center offers both online and interactive training sessions for students and conducts Green Dot bystander intervention training for faculty and staff. Training on Discrimination and Harassment, including Title IX, is offered by the Title IX Coordinator, or designee, on a regular basis for new employees, in the SuperVision curriculum, and for employees and any units upon request.

# Student/Faculty/Staff Disciplinary Proceedings Utilized in Cases of Alleged Domestic Violence, Dating Violence and Stalking

In the event a crime of domestic violence, dating violence, sexual assault, or stalking occurs the University will follow its policy governing disciplinary proceedings, which can be found in the Appendix of Administrative Regulation 6:2. Note: These procedures apply in all cases involving violations of AR 6:2 regardless of whether respondent is a faculty employee, staff employee, or student.

# Administrative Regulation 6:2 - Appendix

UNIVERSITY OF KENTUCKY PROCEDURES FOR ADDRESSING AND RESOLVING ALLEGATIONS OF SEXUAL HARASSMENT UNDER TITLE IX AND OTHER FORMS OF SEXUAL MISCONDUCT

#### **INDEX**

- I. INTRODUCTION
- II. DEFINITIONS
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- VI. DETERMINATION OF PROBABLE CAUSE BY THE TITLE IX COORDINATOR.
- VII. NOTICE OF HEARING AND ADMINISTRATIVE MEASURES
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## I. INTRODUCTION

These procedures are applicable to allegations, investigations, and adjudication of cases involving Administrative Regulation (AR) 6:2, Policy and Procedures for Addressing and Resolving Allegations of Sexual Harassment Under Title IX and Sexual Misconduct.

These procedures apply in all cases involving violations of AR 6:2 regardless of whether Respondent is a faculty employee, staff employee or student. Although a violation of AR 6:2

is a violation of the Student Code, the Student Code explicitly provides that these procedures—not the Code's procedures—apply when a student is accused of violations of AR 6:2. Moreover, for staff employees, these procedures—not the Human Resources Policies and Procedures—apply when a staff member is accused of violations of AR 6:2. Although there are procedures for the termination of a faculty employee in Governing Regulation X, these procedures—not the termination procedures specified in Governing Regulation X—apply for the investigation and initial determination of a faculty employee's responsibility for a violation of AR 6:2. If a faculty employee is found responsible for a violation of AR 6:2, the Provost may initiate termination procedures under Governing Regulation X.

#### **II. DEFINITIONS**

Definitions for these procedures are the same as the definitions in AR 6:2.

#### **III. INITIATING A COMPLAINT**

- A. Distinction Between Report and Formal Complaint: Making a report is not the same as filing a Formal Complaint. Individuals can make a report and receive supportive measures without filing a Formal Complaint.
- B. *Filing a Formal Complaint*: An individual may file a formal complaint by providing the Office of Institutional Equity and Equal Opportunity with a signed document containing the allegations they are making and requesting an investigation. A Formal Complaint can also be initiated with the signature of the Title IX Coordinator on a written complaint.
  - Any individual who does not have the ability to make a complaint in writing may contact Institutional Equity for assistance in filing a Formal Complaint.
- C. *Making a Report:* Any person may report Sexual Harassment, regardless of whether the person reporting is the person alleged to be the victim of conduct that could constitute Sexual Harassment, in person, by mail, by telephone or by electronic mail, using the contact information listed for the Title IX Coordinator, or to the University of Kentucky Police Department, the University Violence Intervention and Prevention Center, the Dean of Students Office, a Campus Security Authority or by any other means that results in the Title IX Coordinator receiving the person's verbal or written report. Such a report may be made at any time (including during non-business hours) by using the telephone number or electronic mail address, or by mail to the office address, listed for the Title IX Coordinator. Reports can be made electronically at: <a href="https://www.uky.edu/eeo/discrimination-harassment">https://www.uky.edu/eeo/discrimination-harassment</a>.
- D. Confidential Reporting: Individuals may make a confidential complaint or report (where individuals receiving the complaint are not required to report incidents to the Title IX Coordinator) to the University Violence Intervention and Prevention Center (VIP Center), University Counseling Center (students only) or University Health Services (students only).
- E. Anonymous Reporting: Anonymous reports may be made to the University of Kentucky Police Department; however, the University of Kentucky Police Department cannot hold reports of sexual assault, stalking, dating violence or domestic violence in confidence. In addition, certain individuals designated as Campus Security Authorities under AR 6:7 are required by law to report certain offences to the University of Kentucky Police Department or Division of Crisis Management and Preparedness. These reports are made for statistical purposes,

without the inclusion of identifying information of the parties. (see AR 6:7, Policy on Disclosure of Campus Security and Crime Statistics).

Anonymous reports may also be made to Institutional Equity. However, the University has limited ability to respond to anonymous reports.

F. Dual Reporting: Sexual Harassment and Sexual Misconduct are both violations of University policy, federal law, and, in some cases, state or criminal law. The University encourages Complainants to make reports to both local law enforcement agencies (Lexington Police Department, University of Kentucky Police Department, or other appropriate local law enforcement agencies) and a University official. The result of an external criminal investigation does not affect whether a violation of University policy has occurred. An external criminal investigation will not take the place of a University investigation, although a criminal investigation may supplement a University investigation. The University will not wait for the conclusion of a criminal investigation to begin conducting its own independent investigation, to take interim measures to protect the University or any member of the University community, or when necessary, initiate hearing procedures as outlined below.

#### IV. INVESTIGATION PROCESS

- A. *Notice:* Upon receipt of a Formal Complaint, the University will provide the following to both the Complainant and Respondent:
  - (1) Notice, which will include:
    - a. Notice of these procedures;
    - b. Notice of the allegations potentially constituting Sexual Harassment or Sexual Misconduct, including the following information if known at the time:
      - i. The identity of the parties involved in the incident;
      - ii. The conduct allegedly constituting Sexual Harassment or Sexual Misconduct; and
      - iii. The date and location of the alleged incident, if known.
    - c. A statement that Respondent is presumed not responsible for the alleged conduct;
    - d. A statement that a determination for responsibility is made at the conclusion of the process outlined in these procedures;
    - e. A statement that the Parties may have two (2) Advisors of their choice, who may be an Attorney;
    - f. A statement that the Parties may inspect and review evidence prior to a determination of responsibility being made;
    - g. A statement that knowingly submitting false statements or knowingly submitting false information is grounds for University discipline;

- h. A statement that if, in the course of the investigation, the University discovers any additional allegations about Complainant or Respondent that are not included in the original notice, the University must provide notice of the additional allegations to the parties; and
- i. A statement that Respondents will have two (2) business days prior to their first investigative meeting to prepare a response to the allegations. Any such response may be, but is not required to be, submitted in writing.
- B. The University will investigate all allegations in the Formal Complaint.
- C. Rights of Complainant and Respondent During the Investigation. In addition to the rights enumerated above, in AR 6:2,VII (E), both Complainant and Respondent have the right to:
  - (1) Present witnesses, including fact and expert witnesses and other inculpatory and exculpatory evidence;
  - (2) Discuss the allegations under investigation or to gather and present relevant evidence;
  - (3) Refuse to speak to the Equal Opportunity Investigator or to answer specific questions. Refusal to speak or answer questions will not result in the investigator drawing an inference of responsibility based on the refusal to answer questions; and
  - (4) Be accompanied by two (2) Advisors, who may be an attorney, when meeting with the Equal Opportunity Investigator.
- D. Dismissal of a Formal Complaint: Dismissal of a Formal Complaint for Sexual Harassment pursuant to Title IX does not preclude the University from bringing charges and taking disciplinary action against Respondent pursuant to Administrative Regulation 6:2 for Sexual Misconduct or pursuant to Administrative Regulation 6:1, Policy on Discrimination and Harassment, or any other applicable University policy. If the University dismisses a Formal Complaint or any allegations in the Formal Complaint for any reason, the Complainant may appeal this decision to the AR 6:2 Appeals Board. If the Formal Complaint is not dismissed in its entirety, the dismissal is not subject to appeal until the adjudication process is complete.
  - (1) The Title IX Coordinator, or their designee, must dismiss a formal complaint of Sexual Harassment if the conduct alleged in the Formal Complaint:
    - a. Would not constitute Sexual Harassment even if proven;
    - b. Did not occur in the University's education program or activity; or
    - c. Did not occur against a person in the United States.
  - (2) The Title IX Coordinator, or their designee, may dismiss the Formal Complaint or any allegations in the Formal Complaint if:

- a. At any time during the investigative process, Complainant notifies the Title IX Coordinator that Complainant would like to withdraw the Formal Complaint or any allegation in the Formal Complaint;
- b. Respondent is no longer enrolled in or employed by the University;
- c. Specific circumstances prevent the University from gathering evidence sufficient to make a determination as to Probable Cause; or
- d. The information gathered in the investigation is not sufficient to make a determination of Probable Cause.
- E. The University may consolidate multiple Formal Complaints where the allegations of Sexual Harassment or Sexual Misconduct arise out of the same facts or circumstances.
- F. An Equal Opportunity Investigator will investigate to determine if there is Probable Cause to believe Respondent committed Sexual Harassment or Sexual Misconduct. The Equal Opportunity Investigator does not make a determination of Probable Cause.
- G. During the Investigative Process, the burden of proof and the burden of gathering evidence sufficient to reach a determination regarding responsibility rests with the University.
- H. During the Investigative Process, the University may not access, consider, disclose, or otherwise use a party's records that are made or maintained by an attorney, physician, psychiatrist, psychologist or other recognized professional's or paraprofessional's capacity or assisting in that capacity, and which are made and maintained in connection with the provisions of treatment to the party, unless the University obtains that party's voluntary, written consent to do so.
- I. Disclosure of Evidence and Response: Prior to the completion of the Final Investigative Report, the University will provide each party and their advisors with an electronic or hard copy of the Preliminary Investigative Report, including all the evidence directly related to the allegations raised in the formal complaint. This includes evidence that the University does not intend to rely upon in reaching a determination regarding responsibility and inculpatory or exculpatory evidence. This does not include information related to supportive measures provided to individuals that do not impact the other party to a matter and does not include any investigative notes of the investigator. Within ten (10) calendar days of receiving the Preliminary Investigative Report, the Complainant and/or Respondent may submit a written Rebuttal or Supplementation to the Preliminary Investigative Report to the Equal Opportunity Investigator. The Equal Opportunity Investigator will consider the written responses before providing the Final Investigative Report and will include the written responses in the Final Investigative Report to the Title IX Coordinator, Respondent, and Complainant.
- J. *Final Investigative Report*. An Equal Opportunity Investigator will prepare a written Final Investigative Report with a recommendation to the Title IX Coordinator as to whether Probable Cause exists. The Final Investigative Report will contain any rebuttal or supplementation provided by Complainant and Respondent.

#### V. INTERIM REMEDIES DURING THE INVESTIGATION PROCESS

#### A. Emergency Suspension

- 1. The Title IX Coordinator, or their designee, may impose an emergency suspension on Respondent. The terms of the Emergency Suspension may remove Respondent from University premises or the University's education program or activity. Before doing so, the Title IX Coordinator, must: (1) undertake an individualized safety and risks analysis; (2) determine that an immediate threat to the physical health or safety of any individual arising from the allegations of Sexual Harassment or Sexual Misconduct justifies removal; and (3) provide Respondent with notice of the emergency suspension and opportunity to challenge the emergency suspension. The Title IX Coordinator's analysis, determinations, and notice must be in writing.
- 2. Because the emergency suspension provisions are mandated by federal regulation, the Emergency Suspension provisions preempt the University's governing and administrative regulations for the suspension of faculty and staff Respondents.
- 3. For faculty and staff Respondents, any Emergency Suspension will be Administrative Leave with pay. Employees who are classified as non-exempt employees will be paid for hours they are regularly scheduled to work including back pay.
- 4. The individual may appeal the Emergency Suspension to the Provost (faculty employee), Associate Provost for Student and Academic Life (students), or Vice President for Human Resources (staff employee) in writing within seven (7) calendar days of the notice of the Emergency Suspension. Any Emergency Suspension remains in effect during the appeal.
- 5. The Provost, Associate Provost for Student and Academic Life, or Vice President for Human Resources may reverse or modify the suspension. The decision of the Provost, Associate Provost for Student and Academic Life, or Vice President for Human Resources regarding the Emergency Suspension is final.
- 6. The possibility of an Emergency Suspension does not diminish Respondent's rights under the Americans with Disabilities Act or Section 504 of the Rehabilitation Act of 1973.

#### B. Supportive Measures Available

Supportive Measures that may be initiated at any time and are not dependent on the outcome of the case include, but are not limited to:

- (1) Referral to on- or off-campus resources, such as the VIP Center or counseling;
- (2) Alteration of the housing (students) or workplace or workstation (employees) situation for the Complainant or Respondent;
- (3) Removing a student from residential facilities or removing an employee from the work setting or University premises (See Emergency Suspension);
- (4) Limitation on contact between parties (e.g., no-contact orders, no-trespass orders);
- (5) Referral to academic support services, such as tutoring and testing accommodations (students);

- (6) Adjustments to course schedules and academic deadlines (students) or work schedules (employees); or
- (7) Other appropriate remedies based on each individual situation.

Supportive measures are not subject to appeal.

#### VI. DETERMINATION OF PROBABLE CAUSE

- A. After reviewing the Final Investigative Report, the Title IX Coordinator, or their designee, will determine whether there is Probable Cause to believe Respondent committed Sexual Harassment or Sexual Misconduct.
- B. If the Title IX Coordinator, or their designee, concludes there is not Probable Cause to believe Respondent committed Sexual Harassment or Sexual Misconduct, the Title IX Coordinator will state this conclusion in writing and notify both the Complainant and Respondent. The Complainant may appeal this decision to the AR 6:2 Appeals Board. If the Formal Complaint is not dismissed in its entirety, the dismissal is not subject to appeal until the adjudication process is complete.
- C. Alternatively, if the Title IX Coordinator, or their designee, concludes there is Probable Cause, then the Title IX Coordinator, will prepare a written statement of charges and present this written statement of charges to both the Complainant and Respondent.
- D. The written statement of charges will include a summary of the complaint, the alleged policy violation(s), the date and time of the pre-hearing meeting, and, if applicable, interim restrictions or supportive measures.

For allegations involving individuals, the statement of charges will be sent to Respondent's and Complainant's official University email addresses no less than five (5) calendar days prior to a scheduled pre-hearing meeting. Failure to read and comply with the statement of charges is not suitable grounds for an appeal.

For registered student organizations, the statement of charges will be mailed to the organization's representative, typically the organization's president, on file with the University. Failure to read and comply with the statement of charges is not suitable grounds for an appeal.

In scheduling a pre-hearing meeting, the Title IX Coordinator, or their designee, considers the availability of Respondent, Complainant, and their respective Advisors, based on Complainant and Respondent's class schedules, if students, and work schedules, if employees.

E. *Pre-Hearing Meetings*: The Title IX Coordinator, or their designee, will meet with Respondent and Complainant separately to: (1) discuss the hearing process; and (2) attempt to resolve the matter without conducting a live hearing. These meetings will occur separately. With the exception of Advisors, pre-hearing meetings are closed meetings. If Respondent chooses to resolve the allegation during the meeting, the case will be closed, and the appropriate unit

administrator(s) will be notified. If the allegation is not resolved during the meeting, the case will be referred to the AR 6:2 Hearing Officer for resolution by an AR 6:2 Hearing Panel.

#### VII. NOTICE OF HEARING AND ADMINISTRATIVE MEASURES

- A. For allegations involving individuals, Notices will be sent to Respondent's and Complainant's official University email addresses no less than five (5) calendar days prior to a scheduled pre-hearing meeting. Failure to read and comply with the Notice is not suitable grounds for an appeal.
- B. For registered student organizations, the Notice will be emailed to the organization's representative, typically the organization's president, on file with the University no less than five (5) calendar days prior to a scheduled pre-hearing meeting. Failure to read and comply with the Notice is not suitable grounds for an appeal.
- C. The Title IX Coordinator, or their designee, schedules hearings. In scheduling a hearing, the Title IX Coordinator, or their designee, considers the availability of Respondent, Complainant, their respective Advisors, University Counsel, the AR 6:2 Hearing Panel.

#### VIII. MEDIATION

If the Title IX Coordinator, or their designee, concludes there is Probable Cause, the Complainant or the Respondent may choose to pursue mediation in lieu of a formal resolution. If the Title IX Coordinator, or their designee, agrees to mediation, the Title IX Coordinator, or their designee, must obtain the voluntary written consent of both Complainant and Respondent.

The Title IX Coordinator, or their designee, may not pursue mediation in any situation where an employee is alleged to have committed Sexual Harassment or Sexual Misconduct against a student.

Under no circumstances will a Mediation Agreement involve the payment of money from the University to Respondent or Complainant or from Respondent to Complainant.

#### IX. LIVE HEARING PROCEDURES

- A. *Pre-hearing Deadlines:* All deadlines below are counted in calendar days. In the event a deadline falls on a weekend or University recognized holiday, the deadline is altered to the next business day.
  - 1. No later than fourteen (14) days prior to a scheduled hearing, the parties will have access to all exculpatory and inculpatory evidence.
  - 2. No later than ten (10 days) prior to a scheduled hearing, University Counsel, Complainant, and Respondent must submit the following to the AR 6:2 Hearing Officer or their designee:
    - a. Challenges to any AR 6:2 Hearing Panel Member as described in IX(B)(c),
    - b. Any information they wish to present at the hearing,
    - c. The name(s) of their Advisor(s),
    - d. A preliminary list of questions or topics they wish to ask of the other party, and
    - e. A possible list of witnesses and the subject(s) on which they are expected to testify.

Except where allowed by the AR 6:2 Hearing Officer, the parties may not submit information for the hearing after this deadline. Upon the receipt of information from both parties, the AR 6:2 Hearing Officer will review the information submitted to eliminate any redundant, irrelevant or prejudicial information.

- 3. At any time prior to the hearing, University Counsel, Complainant, or Respondent may request to postpone the hearing. The AR 6:2 Hearing Officer may accept or deny the request after considering the nature of the request and the incident at issue.
- 4. No later than three (3) days prior to the hearing, the Complainant, Respondent, University Counsel and the AR 6:2 Hearing Panel will receive a copy of the hearing file.
  - a. The hearing file for Complainant, Respondent, and University Counsel will include the following:
    - i. The Final Investigative Report,
    - ii. The Probable Cause determination,
    - iii. A list of witnesses,
    - iv. Preliminary questions submitted by parties, and
    - v. Any other related information.
  - b. The hearing file for the AR 6:2 Hearing Panel will include the following:
    - i. Information about the parties,
    - ii. A list of possible witnesses,
    - iii. The charged policy violations,
    - iv. The date and location of the charged violation, and
    - v. Any other related information.

## B. Selection of AR 6:2 Hearing Panel

- 1. AR 6:2 Hearing Panel: Three (3) randomly selected members of the AR 6:2 Hearing Board will determine responsibility for the charged policy violation. However, the AR 6:2 Hearing Officer will randomly select four (4) members from the AR 6:2 Hearing Board to hear each matter. At the conclusion of the presentation of evidence, one of the four (4) members will be excused as an alternate.
- 2. Conflicts of Interest: Any member of the AR 6:2 Hearing Panel who has a conflict of interest must immediately recuse themselves by notifying the Hearing Officer and Title IX Coordinator, or their designee, of their conflict of interest and intent to recuse. Conflicts of interest include, but are not limited to, personal knowledge of the facts and circumstances of the allegations or having a family, personal, faculty/student or professional relationship with either Complainant or Respondent.
- 3. Challenge to AR 6:2 Hearing Panel Members: University Counsel, Complainant or Respondent may challenge any Hearing Panel Member for Cause if there is a belief that a member of the AR 6:2 Hearing Panel has a conflict of interest. Challenges to the AR 6:2 Hearing Panel Members must be made no later than ten (10) calendar days prior to the hearing. The AR 6:2 Hearing Officer will determine if cause exists and will excuse any Panel Member where Cause exists. Under no circumstance will an AR 6:2 Hearing Panel Member be excluded for a reason that would violate the University's Policy on Discrimination and Harassment.

- 4. Selection of Additional Members: If an AR 6:2 Hearing Panel Member recuses themselves or if the AR 6:2 Hearing Officer excuses a Panel Member for Cause, the AR 6:2 Hearing Officer will randomly select additional members from the AR 6:2 Hearing Board.
- C. Access to Evidence: Prior to the live hearing, Respondent, Complainant, and University Counsel will have access to all exculpatory and inculpatory evidence. Such access to evidence does not include review of the notes of the Equal Opportunity Investigator, the notes of the Title IX Coordinator, recordings of investigatory meetings or information obtained that is not relevant to the charged allegations. Such access to evidence will be given at least fourteen (14) calendar days before the live hearing.
- D. *Investigative Report*: Unless Respondent, Complainant, and University Counsel stipulate, no portion of the Investigative Report, the Rebuttal or any Supplementation is admissible in the hearing and, absent stipulation, the AR 6:2 Hearing Panel will not see the Final Investigative Report.
- E. Location of Hearing: The University may conduct the live hearing with all Parties physically present in the same geographic location or any Parties, witnesses, or other participants may appear virtually as long as all participants can simultaneously see and hear each other. For the duration of the global health crisis caused by COVID-19 and until the University is satisfied the health and safety of participants can be protected otherwise all live hearings will be conducted virtually.
- F. Record of Hearing: The University must create an audio or audiovisual recording or transcript of any live hearing. Copies of the recording or transcript must be made available upon request to University Counsel, Complainant, and Respondent.
- G. *Live Hearings:* Live hearings will be conducted by the AR 6:2 Hearing Officer according to the following procedures:
  - (1) The AR 6:2 Hearing Officer is responsible for maintaining order and determining the sequence of events during a hearing. The AR 6:2 Hearing Officer may direct any person who fails to comply with procedures during the hearing or who disrupts or obstructs the hearing to leave the hearing. All questions of law, whether substantive, evidentiary or procedural, will be addressed to and ruled upon by the AR 6:2 Hearing Officer. The AR 6:2 Hearing Officer does not have authority to dismiss allegations made pursuant to AR 6:2.
  - (2) The hearing will be closed to the public. Complainant, Respondent, their respective Advisor(s), and University Counsel are allowed to attend the entire portion of the hearing, excluding AR 6:2 Hearing Panel deliberations.
  - (3) Witnesses other than Complainant and Respondent will be excluded from hearings, except for the period of their own testimony.
  - (4) Given the nature of these incidents, and the impact on the overall University community, the University, through University Counsel, has the burden of proving that Respondent has violated University policy. Respondent is presumed not responsible for the alleged conduct. While Complainant plays an integral part of the process and the proof of the University's case, it is the responsibility of the University—through University Counsel—to prove by a Preponderance of the Evidence that Respondent committed Sexual

- Harassment or Sexual Misconduct. The Complainant has no responsibility other than to appear as a witness.
- (5) The Title IX Coordinator, or their designee, will arrange the attendance of witnesses who are members of the University community, if reasonably possible. The Respondent and University Counsel are responsible for arranging the attendance of witnesses who are not members of the University community.
- (6) The Title IX Coordinator, or their designee, in consultation with the AR 6:2 Hearing Officer, will create the live hearing file.
- (7) Complainant and Respondent have the right to be assisted by up to two (2) Advisor(s), including attorneys, of their choice. Attorneys who are representing a Complainant, a Respondent, or the University may actively participate in the hearing. Non-attorney Advisor(s) are not permitted to participate directly in any hearing because participation in a live hearing may be regarded as the practice of law in Kentucky. Non-attorney advisors may communicate privately with the person they support during the hearing.
- (8) If Respondent or Complainant does not have an Attorney to represent them at the live hearing, the University will provide, without fee or charge, an Attorney of the University's choice to represent the Party.
- (9) University Counsel, Complainant, and Respondent have the right to call relevant and necessary witnesses and to present evidence.
- (10) University Counsel, Complainant's Advisor, if an Attorney, and Respondent's Advisor, if an Attorney, may ask the Complainant, Respondent, and any other witnesses all relevant questions and follow-up questions, including questions challenging credibility.
- (11) University Counsel, Complainant's Advisor, if an Attorney, and Respondent's Advisor, if an Attorney, may conduct cross-examination of all parties and all witnesses directly, orally, and in real time. Neither the Complaint nor Respondent may conduct cross-examination personally. Only the Advisors who are attorneys may conduct cross-examination.
- (12) Only relevant cross-examination and other questions may be asked of a party or witness. Before Complainant, Respondent or witness answers a question, the AR 6:2 Hearing Officer must first determine whether the question is relevant and explain any decision to exclude a question as not relevant.
- (13) Complainant, Respondent, or any witness may refuse to appear and/or exercise their Fifth Amendment right against self-incrimination. The AR 6:2 Hearing Panel must not draw an inference about the determination regarding responsibility based solely on a Party or witnesses' absence from the live hearing or refusal to answer cross-examination or other questions.
- (14) If a Complainant, Respondent, or any witness testifies on direct examination but does not submit to cross-examination, the AR 6:2 Hearing Panel must not rely on any statement of that individual in reaching a determination regarding responsibility.

- (15) Unless a party explicitly waives the privilege in writing, the AR 6:2 Hearing Officer will not allow questions or evidence constituting or seeking the disclosure of information protected under a legally recognized privilege.
- (16) Questions and evidence about Complainant's sexual predisposition or prior sexual behavior are not relevant unless (1) such questions and evidence about Complainant's prior sexual behavior are offered to prove that someone other than Respondent committed the conduct alleged by Complainant or (2) if the questions and evidence concern specific incidents of Complainant's prior sexual behavior with Respondent and offered to prove consent. This standard is mandated by federal regulations and preempts any contrary Kentucky law.
- (17) At the conclusion of the live hearing, the AR 6:2 Hearing Officer will instruct the AR 6:2 Hearing Panel on the Preponderance of the Evidence standard and any other matters that the AR 6:2 Hearing Officer deems necessary to the Hearing Panel's determination.
- (18) After the AR 6:2 Hearing Panel has reviewed the evidence presented at the hearing, the Hearing Panel will determine whether Respondent has committed Sexual Harassment or Sexual Misconduct. The AR 6:2 Hearing Panel's determination will be made based on the Preponderance of the Evidence standard.
- (19) If the AR 6:2 Hearing Panel determines that Respondent is responsible for the violation, the finding must be unanimous. If any member of the AR 6:2 Hearing Panel believes there is not a Preponderance of the Evidence for responsibility, then Respondent must be found not responsible.
- (20) When an AR 6:2 Hearing Panel determines Respondent is responsible for Sexual Harassment or Sexual Misconduct, the Panel will immediately convene a supplemental proceeding to determine a recommended sanction(s). During the supplemental proceeding, Respondent, University Counsel, and Complainant may submit relevant evidence or make relevant statements regarding the appropriateness of a specific sanction. The past disciplinary record of Respondent may only be supplied to the AR 6:2 Hearing Panel during the supplemental proceeding to consider sanctions.

#### The AR 6:2 Hearing Panel must adopt a written determination that includes:

- a. Identification of the allegations potentially constituting Sexual Harassment or Sexual Misconduct:
- b. A description of the procedural steps taken from the receipt of the Formal Complaint through the determination, including any notifications of the parties, interviews with the parties and witnesses, site visits, methods used to gather other evidence, and hearings held:
- c. Findings of fact supporting the determination;
- d. Conclusions of law regarding the application of this Regulation;
- e. A statement of, and rationale for, the result as to each allegation, including a determination regarding responsibility;
- f. A statement describing the recommended sanction;
- g. A statement as to whether remedies designed to restore or preserve equal access to the University's education program or activity will be provided to Complainant; and
- h. The University's provisions for appeal.

- (21) The AR 6:2 Hearing Panel's Determination of Responsibility and Recommendation of Sanction will be provided simultaneously to both Complainant and Respondent.
- (22) The sanctions will be ultimately determined and imposed by the Associate Provost for Student and Academic Life (for students), the Provost (for faculty), or the Vice President for Human Resources (for staff). The Associate Provost for Student and Academic Life (for students), the Provost (for faculty), or the Vice President for Human Resources (for staff) are not limited to sanctions recommended by the AR 6:2 Hearing Panel.
- (23) The Associate Provost for Student and Academic Life (for students), the Provost (for faculty), or the Vice President for Human Resources (for staff) do not have the authority to overturn or modify the AR 6:2 Hearing Panel's findings of responsibility. Only the AR 6:2 Appeals Board may overturn the AR 6:2 Hearing Panel's findings of responsibility.
- (24) Regardless of the Sanction imposed, the Associate Provost for Student and Academic Life (for students), the Provost (for faculty), or the Vice President for Human Resources (for staff) will provide a written statement describing the recommended sanction and whether remedies designed to restore or preserve equal access to the University's education program or activity will be provided to Complainant. This written statement will be provided simultaneously to Complainant and Respondent and University Counsel.

# X. RECOMMENDED SANCTIONS

The chart below outlines the recommended sanctions for specific acts of Sexual Harassment. Additional sanctions not specifically listed below may also be imposed when appropriate.

	Recommended Range of Sanctions (STUDENTS)	Recommended Range of Sanctions (EMPLOYEES)
Sexual Harassment: Quid Pro Quo	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Written Warning, Counseling Assessment, Suspension, Termination
Sexual Harassment: Hostile Environment	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Written Warning, Counseling Assessment, Suspension, Termination
Sexual Harassment: Sexual Assault	Suspension, Expulsion	Suspension, Termination
Sexual Harassment: Dating Violence or Domestic Violence	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Written Warning, Counseling Assessment, Suspension, Termination
Sexual Harassment: Stalking	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Written Warning, Counseling Assessment, Suspension, Termination

The following chart outlines the recommended sanctions for specific acts of Sexual Misconduct. Additional sanctions not specifically listed below may also be imposed when appropriate.

	Recommended Range of Sanctions (STUDENTS)	Recommended Range of Sanctions (EMPLOYEES)
Sexual Misconduct: Sexual Assault	Suspension, Expulsion	Suspension, Termination
Sexual Misconduct: Dating Violence or Domestic Violence	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Written Warning, Counseling Assessment, Suspension, Termination
Sexual Misconduct: Stalking	Suspension, Expulsion	Suspension, Termination
Sexual Misconduct: Sexual Exploitation	Disciplinary Probation, Counseling Assessment, Social Restrictions, Social Suspension, Suspension, Expulsion	Probation, Counseling Assessment, Suspension, Termination

## XI. APPEALS TO THE AR 6:2 APPEALS BOARD

- A. *Jurisdiction:* The AR 6:2 Appeals Board has appellate jurisdiction over appeals related to Sexual Harassment and Sexual Misconduct. Because the Code of Student Conduct explicitly provides that these procedures—not the Code's procedures—apply when a student is accused of Sexual Harassment or Sexual Misconduct, the AR 6:2 Appeals Board, not the University Appeals Board, will hear appeals of students who have been found responsible for Sexual Harassment or Sexual Misconduct.
- B. Appellate Deadlines: The following deadlines are applicable to all appeals. Dates are calculated in calendar days. In the event, a deadline falls on a weekend or University recognized holiday, the deadline falls on the next business day.
  - 1. No later than fourteen (14) days after the AR 6:2 Hearing Panel's written decision, Dismissal of a Formal Complaint or the Title IX Coordinator's finding that Probable Cause does not exist, a Notice of Appeal must be filed with the AR 6:2 Appeals Board Chair.
  - 2. Within fourteen (14) days of the filing of the Notice of Appeal, the party initiating the appeal must file their Opening Brief with the AR 6:2 Appeals Board Chair.
  - 3. Within fourteen (14) days of the filing of the Opening Brief, the party responding to the appeal must file a Response Brief with the AR 6:2 Appeals Board Chair.
  - 4. Within seven (7) days of the filing of the Response Brief, the party initiating the appeal may file a Reply Brief.

- C. *Decisions Subject to Appeal*: A Respondent, Complainant or University Counsel may appeal the AR 6:2 Hearing Panel's decision regarding the responsibility, the imposition of a particular sanction, the dismissal of allegations or the finding of no Probable Cause for any allegation to the AR 6:2 Appeals Board on any ground identified below in XI (D).
- D. Grounds for Appeal: A Respondent, Complainant, or University Counsel may appeal on the following bases: (1) Dismissal of a Formal Complaint or allegation of a Formal Complaint; (2) Finding of no Probable Cause; (3) procedural irregularity that affected the outcome of the matter; (4) new evidence that was not reasonably available at the time of the determination regarding responsibility or dismissal was made, that could affect the outcome of the matter; (5) the Title IX Coordinator, investigators or AR 6:2 Hearing Panel had a conflict of interest or bias for or against Complainant or Respondent that affected the outcome of the matter; (6) the factual findings were clearly erroneous; (7) the legal conclusions are incorrect; and/or (8) a party's constitutional rights were violated.
- E. Composition of AR 6:2 Appeals Board: The Chair of the AR 6:2 Appeals Board and two (2) members of the AR 6:2 Appeals Board randomly chosen by the Chair will consider the appeal. The appeal does not include a new hearing, but rather is a review of the original decision.
- F. Conflicts of Interest: Any member of the AR 6:2 Appeals Board who has a conflict of interest must immediately recuse themselves by notifying the Board Chair. Conflicts of interest include, but are not limited to, personal knowledge of the facts and circumstances of the allegations or having a family, personal, faculty/student or professional relationship with either the Complainant or Respondent. If the Chair of the AR 6:2 Appeals Board recuses themselves, then the President will appoint a new Chair.
- G. Challenge to AR 6:2 Appeals Board Members. Respondent, University Counsel or Complainant, may challenge any AR 6:2 Appeals Board member if there is a belief that a member of the AR 6:2 Appeals Board cannot render a fair and impartial result. The Chair of the AR 6:2 Appeals Board will determine if cause exists and will excuse any Panel Member where cause exists. Under no circumstance will an AR 6:2 Appeals Board Member be excluded for a reason that would violate the University's Policy on Discrimination and Harassment. If University Counsel, Complainant or Respondent challenges the Chair of the AR 6:2 Appeals Board for cause, the President will determine if cause exists.
- H. *Appellate Procedures*: The following procedures apply to all appeals:
  - (1) An appeal is initiated by filing a Notice of Appeal with the Chair of the AR 6:2 Appeals Board. The Notice of Appeal is a document filed by the party initiating the appeal (Appellant) indicating which decision they wish to appeal. The AR 6:2 Appeals Board Chair will notify the party responding to the appeal (Appellee(s)) that an appeal has been initiated.
  - (2) The Appellant's Opening Brief must not exceed twenty-five (25) pages, double-spaced, with twelve-point type. Prior to filing the Opening Brief, Appellant and their advisors have the right to review the hearing file, including any recording of the hearing, or the investigative report, depending on the decision being appealed.
  - (3) The Appellees' Response Brief must not exceed twenty-five (25) pages, double-spaced,

with twelve-point type. The Appellees and their advisors have the right to review the hearing file, including any recording of the hearing or the investigative report, depending on the decision being appealed.

- (4) The Appellant's Reply Brief must not exceed ten (10) pages, double-spaced.
- (5) Upon request of any party, the Chair of the AR 6:2 Appeals Board has the discretion to extend deadlines or expand page limits.
- (6) After receipt of all Briefs, the AR 6:2 Appeals Board will begin its review of the briefs and the record.
- I. *Appeal Record*: In considering an appeal, the AR 6:2 Appeals Board will conduct a review of the entire record, including but not limited to:
  - 1. Notice of Dismissal;
  - 2. The Final Investigative Report;
  - 3. Probable Cause Determination;
  - 4. The hearing file that is given to the parties prior to the hearing;
  - 5. Any pre-hearing rulings from the AR 6:2 Hearing Officer;
  - 6. The written recommendations of the AR 6:2 Hearing Panel;
  - 7. The recording or transcript of the live hearing;
  - 8. Any other materials admitted into evidence by the AR 6:2 Hearing Panel; and
  - 9. The Opening, Response, and Reply Briefs.
- J. *AR 6:2 Appeals Board Decision*: Upon review of all information applicable to the appeal, the AR 6:2 Appeals Board has the authority to do one of the following:
  - 1. Reverse the dismissal and remand for further proceedings;
  - 2. Uphold the Probable Cause Determination;
  - 3. Reverse the Probable Cause Determination and remand for a hearing by the AR 6:2 Hearing Panel;
  - 4. Uphold the findings and recommendations made by the AR 6:2 Hearing Panel;
  - 5. Modify the recommended sanction(s); however, the AR 6:2 Appeals Board may not

increase a penalty; or

- 6. Remand the case back to an AR 6:2 Hearing Panel for a new Hearing.
- K. AR 6:2 Appeals Board Decision: The AR 6:2 Appeals Board Chair will simultaneously communicate the outcome in writing to the involved parties and the Title IX Coordinator.
  - 1. For students, the decision of the AR 6:2 Appeals Board is final and binding upon all involved.
  - 2. For employees, the decision of the AR 6:2 Appeals Board may be appealed pursuant to applicable law (KRS 164.230) and/or University regulations *GR I.F (faculty and staff)* and *GR X.B.1.f* (faculty).

#### XII. Amendment of These Procedures

The President will consult with the General Counsel, Title IX Coordinator, faculty, students, and staff before making amendments to these procedures. When changes in the law, court decisions, or federal or state regulations require immediate amendment of these procedures, the President may amend these procedures as necessary, with consultation occurring soon after. All substantive amendments will be reported to the Board of Trustees.

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If a report of sexual assault, stalking, dating violence or domestic violence is reported, below are the procedures the University will follow in an easy to read, concise, tabular format. Additional, more specific details regarding each step are available by reading AR 6:2 above or by contacting the Title IX Office.

Table 16: Procedures University Will Follow in the Event of Sexual Assault, Stalking, Dating Violence or Domestic Violence

INCIDENT REPORTED	PROCEDURES UNIVERSITY OF KENTUCKY WILL FOLLOW
Sexual Assault	Depending on reporting time frame, (immediate vs delayed reporting)     the immediate health needs of the survivor will be assessed. Access
Stalking	to medical care will be provided if requested and/or needed.
Dating Violence	
Domestic Violence	Immediate safety needs of the survivor will be assessed.
	<ol><li>The police will be contacted at the survivor's request AND survivor will be provided police contact information.</li></ol>
	4. Information on how to preserve evidence will be provided.

Table 16 (continued).

- 5. The Title IX Coordinator, or their designee, will provide survivor with a written explanation of rights and options and any applicable policy and inform the survivor regarding investigation and resolution pursuant to University regulations. The Title IX Coordinator, or their designee, will also provide the survivor a list of resources needed to address criminal or other legal action, health care both medical and mental, counseling, victim advocacy, student financial aid, visa/immigration services, and/or other support services
- 6. Information about how to obtain an Emergency Protective Order (EPO) or Interpersonal Protective Order (IP) through the Kentucky court system is available at <a href="https://www.uky.edu/eeo/faqs#SexualMisconduct">https://www.uky.edu/eeo/faqs#SexualMisconduct</a>. The Office of Institutional Equity and Equal Opportunity can issue a mutual No Contact Order enforceable by the University.
- 7. The Title IX Coordinator, or their designee, will determine any needed interim or long-term reasonable and/or protective measures to ensure the safety of the survivor prior to, during, and/or following completion of the investigation and/or disciplinary process
- 8. The Title IX Coordinator, or their designee, will inform survivor of investigation outcome, whether or not the accused will be charged and subject to corrective actions and disciplinary procedures, and if applicable, inform survivor of these disciplinary results
- 9. The Title IX Coordinator, or their designee, will inform the survivor they have a right to be free from retaliation (threats, intimidation, harassment, coercion, etc.) for reporting a violation. Retaliatory behavior is not limited to behavior by the accused individual, and covers behavior by his or her associates, as well as third parties. Retaliation should be reported immediately to the Title IX Coordinator.

# **Assistance for Victims: Rights and Options**

When a student or employee reports to the University that the student or employee has been a victim of dating violence, domestic violence, sexual assault, or stalking, whether the offense occurred on or off campus, the University will provide the student or employee with a written explanation of the student or employee's rights and options. This notification comes in the form of letters from the Title IX Office and the VIP Center when initial contact is being made with the survivor. VIP provides an additional resource sheet that covers counseling/therapy services, health/wellness services, substance abuse, community resources, campus resources, and legal resources.

Per Administrative Regulation 6.2, the survivor has a right to experience a safe living, educational, and work environment and the University will make reasonable accommodations

as needed to address these areas of concern, regardless of whether the incident occurred on or off campus.

Per AR 6.2, interim remedies that may be initiated at the beginning of the complaint process and are not dependent on the outcome of the case include, but are not limited to:

- 1. Referral to on- or off-campus resources, such as the VIP Center or counseling;
- 2. Alteration of the housing (students) or workplace or workstation (employees) situation for the Complaining Witness or Respondent;
- 3. Removing a student from residential facilities or removing an employee from the work setting or University premises (See Interim Restriction or Suspension);
- 4. Limitation on contact between parties (e.g. no-contact orders, no-trespass orders);
- 5. Referral to academic support services, such as tutoring and testing accommodations (students);
- 6. Adjustments to course schedules and academic deadlines (students) or work schedules (employees); or
- 7. Other appropriate remedies based on each individual situation.

For a full list of possible interim remedies (emergency suspensions and supportive measures) during the investigation process see Section V of AR 6.2. To request changes to living, educational, and work environments survivors should contact:

Students: Contact the Interim Title IX Coordinator, Meredith Reeves (859) 257-8927

Staff/Faculty: Contact the Interim Title IX Coordinator, Meredith Reeves (859) 257-8927

Additionally, if a report of sexual assault, domestic violence, dating violence, or stalking is made to a UKPD officer, a list of resources is given to the survivor. The list serves to address any needs the victims may have regarding both on-campus and off-campus resources in the following areas; counseling, health, mental health, victim advocacy, legal assistance, visa and immigration assistance, student financial aid or other services available to the victim.

Table 17: On-Campus and Off-Campus Resources

	ON-CAMPUS RESOURCES	
VIP Center	The VIP Center works toward the prevention of interpersonal violence. VIP provides advocacy (free and confidential), education, and programming around social justice issues, activism, bystander dynamics, and many other topics regarding violence prevention.	(859) 257-3574
Student Counseling Center	The Counseling Center offers groups, workshops, and short term counseling	(859) 257-8701

# Table 17 (continued).

UK HealthCare - Psychiatry	UK Psychiatry provides a full range of clinical services.	(859) 323-6021
The Harris Center	The Harris Center offers psychotherapy for adult, children, and couples. Treatment is provided for a full range of issues.	(859) 257-6853
University Health Service	University Health Service includes Student Health, Employee Health, and Employee Health Urgent Care Clinic	(859) 323-5823
University Health Service – Student Behavioral Health	Staff psychiatrists and other mental health providers provide counseling and medication management services	(859) 323-5511
University Health Service -Info Nurse Line (for students)	A registered nurse is available for telephone consultation for illness, injuries, lab results or other health concerns or questions	(859) 323-4636
The Martin Luther King Center	The Martin Luther King Center seeks to empower students from all communities to improve their college experience by increasing access to academic, civic leadership, and cultural exchange opportunities.	(859) 257-4130
Office of LGBTQ* Resources	LGBTQ* Resources is the central hub for accessing information, groups, and services related to diverse sexual orientations and gender identities.	(859) 218-4816
University of Kentucky Police Department (UKPD)	UKPD officers have full police authority and are responsible for the prevention of crime, detection, and apprehension of offenders. They are also charged with the preservation of public peace, the enforcement of all criminal laws and state statutes, as well as compliance with the policies and regulations of the University.	(859) 257-8573 or (859) 257-1616
UKPD – STARR Program	The STARR Program is a self-defense tactics and risk reduction course designed for individuals over the age of 13 with not maximum age limit.	(859) 257-1616
Dean of Students Office	The mission of the Dean of Students is to provide educationally-purposeful programs, activities, and service that engages all students, enhances students' well-being and academic success, and supports positive community behavior.	(859) 257-3754
Institutional Equity and Equal Opportunity (Title IX)	Title IX maintains a comprehensive program designed to protect members of the University community from discrimination on the basis of sex or gender, which includes Sexual Harassment under Title IX and Sexual Misconduct.	(859) 257-8927
SAFECats Escort	Free safety escort service sponsored by UKPD and operated by the Flying Wildcats Booster Club. Escorts have undergone UKPD training and criminal background checks.	(859) 257-7233
On-Demand CATS Bus	Transportation Services operates an on-demand night bus service during fall and spring semesters.	(859) 257-7433

# Table 17 (continued).

Office of Student Success	This office includes units that address academic enrichment, student health and well-being, academic support, etc.	(859) 257-1911
Disability Resource Center	The Disability Resource Center assists students in gaining equal access to programs and services	(859) 257-2754
Work + Life Connections	Work + Connections provides employees with connection to therapists and other specialists in the areas of mental health, finance, health/wellness, exercise, etc.	(859) 257-9555
Office of Student Conduct	The Office of Student Conduct supports the Code of Student Conduct which promotes the core values of integrity, respect, responsibility, and accountability, and a sense of community.	(859) 257-3755
Center for Support and Intervention	The Center for Support and Intervention provides individualized non-clinical case management services to students and comprehensive outreach and training to the larger UK community.	(859) 257-3755
International Center	The International Center can assist with visa and immigration issues.	(859) 323-2154
Office of Financial Aid and Scholarships	The Office of Financial Aid and Scholarships can assist with navigating tuition and fees, scholarships, loans, FAFSA, financial aid literacy, etc.	(859) 562-2287
	OFF-CAMPUS RESOURCES	
Ridge Behavioral Health	The Ridge provides treatment and services to children, adolescents, and adults for psychiatric and substance use disorder related issues.	(800) 753-4673
Ampersand	Formerly known as the Bluegrass Rape Crisis Center, Ampersand provides services to 17 surrounding counties in the area of sexual violence.	(800) 656-4673 or (859) 253-2511
Greenhouse 17	Greenhouse 17 is an advocacy agency committed to ending intimate partner abuse. Trained crisis counseling, emergency shelter, legal advocacy, etc. are	(800) 544-2022
	among the services offered.	
Children's Advocacy Center	among the services offered.  The Children's Advocacy Centers serves to reduce the trauma experienced by sexually abused children by providing services to them in an nurturing, friendly, and safe environment.	(859) 225-5437
Children's Advocacy Center  Gay and Lesbian Services Organization (GLSO)	The Children's Advocacy Centers serves to reduce the trauma experienced by sexually abused children by providing services to them in an nurturing, friendly, and	(859) 225-5437 (859) 253-3233

Table 17 (continued).

Planned Parenthood (Bluegrass Health Center of Lexington)	Planned Parenthood provides health care (specifically reproductive health care), education, and advocacy to both those with and without health insurance.	(317) 205-8088
Health Department	The Lexington Health Department promotes programs related to the enforcement of public health regulations, environmental health, and community safety.	(859) 252-2371
The Refuge Clinic	Patients have access to a variety of charitable care – primary medical, dental, behavioral, etc.	(859) 225-4325
Bluegrass Alcohol Anonymous	Alcoholics Anonymous is a fellowship of individuals who support each other on the journey to sobriety.	(859) 559-5368
Bluegrass.org Pride Program Substance Abuse Rehab	Bluegrass.org Pride Program is a drug and alcohol addiction rehab center.	(859) 425-1210
Chrysalis House	Chrysalis House serves to provide family-oriented treatment and recovery support for women with substance use disorders.	(859) 254-6026
Hope Center Recovery Program for Women	The Hope Center provides recovery program for addiction and alcoholism.	(859) 252-2002
Lexington Division of Police	The Lexington Police Department provides law enforcement services to Fayette County.	(859) 258-3600
Fayette County Sheriff's Office	The Fayette County Sheriff's Office provides domestic violence advocacy, sex offender registration/compliance, court safety and security, fugitive extradition, and service of court documents.	(859) 252-1771
Kentucky State Police	The Kentucky State Police is the official state police agency of the Commonwealth of Kentucky.	(800) 222-5555
Fayette County Attorney	The Fayette County Attorney's Office is responsible for prosecution of criminal cases, establishing paternity/ collecting court-ordered child support, handling juvenile dependency, neglect, and abuse cases, assisting families with disability guardianship and involuntary mental health treatment for loved ones, and providing support/aid to victims of crimes.	(859) 254-4941
Fayette Commonwealth Attorney	The Fayette Commonwealth Attorney's Office prosecutes felony cases which occur in Fayette County. The Office also maintains a victim advocate branch to assist victims of crime.	(859) 246-2060
Kentucky Equal Justice Center	The Kentucky Equal Justice Center promotes equal justice for all residents of the Commonwealth and serves as an advocate for low and other vulnerable members of society.	(859) 233-3057

Student and employees at separate campuses not served by UKPD as the local law enforcement agency should be advised that the above list of on-campus resources also applies to them for any needs they may have concerning resources for counseling, physical health, mental health, victim advocacy, legal assistance, visa and immigration assistance, student financial aid, or other services available to the victim.

Table 18: Additional Resources for Separate Campuses

ADDITIONAL	RESOURCES FOR SEPARATE CAMPU	JSES	
CITY OF VERSAILLES COMMUNITY RESOURCES (Little Research Campus)			
Versailles Police Department	City Law Enforcement Agency	(859) 873-3126	
Bluegrass Community Hospital	Emergency Medical Services, 24 hours/day	(859) 873-3111	
Ampersand	Sexual Violence Resource Center, 24 hours/day	(859) 253-2511	
BREA	THITT COUNTY COMMUNITY RESOURCES (Robinson Forest Campus)		
Breathitt County Sheriff's Office	County Law Enforcement Agency	(606) 666-3800	
Kentucky River Medical Center	Emergency Medical Services, 24 hours/day	(606) 666-6000	
Kentucky River Community Care	Crisis Services, 24 hours/day	(800) 262-7491	
CALD	WELL COUNTY COMMUNITY RESOURCES (West Kentucky Campus)		
Caldwell County Sheriff's Office	County Law Enforcement Agency	(270) 365-2088	
Caldwell Medical Center	Emergency Medical Services, 24 hours/day	(270) 365-0300	
Pennyroyal Center	Crisis Services, 24 hours/day	(270) 881-9551	
The Sanctuary House	Sexual Assault/Domestic Violence Services, 24 hours/day	(800) 766-0000	
СІТҮ	OF HAZARD COMMUNITY RESOURCES (Hazard Campus)		
Hazard Police Department	City Law Enforcement Agency	(606) 436-222	
Hazard Regional Medical Center	Emergency Medical Services, 24 hours/day	(606) 439-6600	
LKLP Community Action Center	Domestic Violence/Safe House, Victims of Crimes Assistance Crisis Services	(606) 436-8853	
The Rising Center	Sexual Assault/Domestic Violence Services, 24 hours/day	(800) 375-7273	
CITY OF PADUCAH COMMUNITY RESOURCES (Paducah Campus)			
Paducah Police Department	City Law Enforcement Agency	(270) 444-8550	
Baptist Health Hospital	Emergency Medical Services, 24 hours/day	(270) 575-2100	
Mercy Health – Lourdes Hospital	Emergency Medical Services, 24 hours/day	(270) 444-2444	
Lotus	Sexual Violence Resource Center, 24 hours/day	(800) 928-7273	
Purchase Area Sexual Assault Center	Rape Crisis Center	(270) 534-4422	

Table 18 (continued).

CITY OF BOWLING GREEN COMMUNITY RESOURCES (Bowling Green Campus)			
Bowling Green Police Department	City Law Enforcement Agency	(270) 393-2473	
TriStar Greenview Regional Hospital	Emergency Medical Services, 24 hours/day	(270) 793-1000	
The Medical Center at Bowling Green	Emergency Medical Services, 24 hours/day	(270) 745-1000	
Hope Harbor	Sexual Violence Resource Center, 24 hours/day	(800) 656-4376	
NORTHE	RN KENTUCKY COMMUNITY RESOURCES		
(Northern Kentuck	y Campus – College of Medicine (Highland I	Heights)	
Northern Kentucky University Police Department	University Law Enforcement Agency	(859) 572-5500	
St. Elizabeth Covington Hospital	Emergency Medical Services, 24 hours/day	(859) 655-8800	
Women's Crisis Center	Sexual Assault/Domestic Violence Services, 24 hours/day	(800) 928-3335	
NORTHERN KENTUCKY COMMUNITY RESOURCES (Northern Kentucky Campus – College of Medicine (Edgewood)			
Edgewood Police Department	City Law Enforcement Agency	(859) 356-3191	
St. Elizabeth Covington Hospital	Emergency Medical Services, 24 hours/day	(859) 655-8800	
The Ion Center	Sexual Violence/Domestic Violence Services, 24 hours/day	(859) 491-3335	

# Confidentiality

Per Administrative Regulation 6.2, the University strongly encourages prompt reporting, including reports from complaining witnesses, witnesses, and those who are made aware of incidents of prohibited conduct. Reports may be made to the UKPD, the VIP Center, the Dean of Students Office, the Title IX Coordinator, a CSA, or to any University official. Incidences of prohibited conduct may also be reported to police in the location where the incident occurred. Regardless of the location of the incident, reports may be made to the Office of Institutional Equity and Equal Opportunity. An incident may be reported without filing a written complaint. Individuals designated as CSAs are required by law to report certain crimes, including alleged sex offenses, stalking, and relationship violence, to the UKPD or CMP. These reports are made for statistical purposes, without the inclusion of identifying information of the parties. For reporting responsibilities of individuals designated as Campus Security Authorities, see Administrative Regulation 6:7, Policy on Disclosure of Campus Security and Crime Statistics. Confidential reporting is allowed to VIP and the UK Counseling Center or Health Services when receiving counseling or medical services.

The University investigates all reports; it does not have a voluntary, confidential reporting policy. UKPD encourages anyone who is the victim or witness to any crime report the incident to the police promptly. Because police reports are public records under state law, UKPD cannot hold reports of crime in confidence. When a potentially dangerous threat to the University community arises, UKPD will issue timely reports or warnings through e-mail announcements, the posting of flyers at local campuses or other appropriate means.

Additionally, the University will maintain as confidential any accommodations or protective measures provided to the victim, to the extent that maintaining such confidentiality would not impair the ability of the institution to provide the accommodations or protective measures.

# Sex Offender Registry and Access to Related Information

The Adam Walsh Child Protection and Safety Act of 2006 (the Act) provides for the registration and tracking of sex offenders. Offenders are required to update their place of residence on a quarterly, biannual, or annual basis depending on the type of convicted offense. The Act also created a national sex offender registry, requiring state compliance in posting sex offender information to a public website. Pursuant to KRS 17.580, the Kentucky State Police provides such a database for public access. Kentucky State Police states that the website's intent is to promote public safety and awareness by alerting possible victims of potential danger, not to punish or embarrass offenders. The Sex Offender Registry may be accessed by visiting <a href="https://kentuckystatepolice.org/sex-offender-registry/">https://kentuckystatepolice.org/sex-offender-registry/</a> or by calling (502) 227-8700. Information regarding the Sex Offender Registry may also be accessed through each county sheriff's office in the Commonwealth of Kentucky. Community members may access sex offender registry information through the Fayette County Sheriff's Office Sex Offender Compliance Division, <a href="https://fayettesheriff.com/?page\_id=28">https://fayettesheriff.com/?page\_id=28</a> or by calling (859) 252-1771.

# Notification to Victims of Crimes of Violence

The University will, upon written request, disclose to the alleged victim of a crime of violence or a non-forcible sex offense, the report on the results of any disciplinary proceeding conducted by such institution against a student who is the alleged perpetrator of such crime or offense. If the alleged victim is deceased as a result of such crime or offense, the next of kin of such victim shall be treated as the alleged victim for purposes of this paragraph.

# Crime Log

The University's Crime Log can be found on-line by visiting <a href="www.uky.edu/crimelog">www.uky.edu/crimelog</a>. This log contains information on the last sixty (60) days of UKPD's activities such as reports taken and arrests made, as well as any incidents reported to the designated campus security authorities. Additionally, crimes occurring on University property that are reported to LPD are included on this log upon notification by LPD. The information in the crime log includes for each recorded incident: incident number, category of offense, crime date/time, report date, location, description, if a residential occurrence, and the disposition.

Crimes must be entered into the Daily Crime Log within two (2) business days after the crime has been reported. Additionally, anyone may access a paper copy of the crime log by visiting UKPD to view a copy of the last sixty (60) days. Crime log information that is older than sixty (60) days will be provided within two (2) business days upon request by contacting CMP at (859) 257-9665 or cmp@uky.edu.

# Disclosure of Crime Statistics

CMP has been designated as the office for ensuring compliance with the requirements of the Clery and Minger Acts by the University. This designation can be found in AR 6:7, "POLICY ON DISCLOSURE OF CAMPUS SECURITY AND CRIME STATISTICS." For the full text of the AR, go to <a href="https://www.uky.edu/regs/ar6-7">https://www.uky.edu/regs/ar6-7</a>.

**Crime Information/Statistic Sources:** CMP gathers crime statistics from a variety of law enforcement agencies and CSA sources.

- UKPD Reports
- CSA Reports
- LPD University of Kentucky Area Case Report
- Local law enforcement statistics gathered from separate campus locations and student domestic and international trips

**Law Enforcement:** The majority of crime statistics tracked for compliance with the Clery and Minger Acts are provided by UKPD. Individual inquiries are made to local Kentucky law enforcement agencies (KSP, Fayette County Sherriff, and LPD) that may be involved in addressing crime on the University's campuses and properties. If UKPD becomes aware of a specific crime being worked by a local law enforcement agency that has an immediate or ongoing threat to campus, the two agencies will share information to ensure the community is properly informed. Further, statistics are also gathered from local law enforcement agencies (municipal, county, and state) for student domestic and international trips.

**Campus Security Authorities (CSA):** Individuals may be designated a CSA due to their University responsibilities. These individuals are to report criminal incidents and allegations of

such incidents to CMP immediately. While CSAs have a duty to report on a prompt, on-going basis, the University also issues a written request for statistical information to this group on an annual basis to ensure compliance.

All CSAs are notified of their designation on an annual basis and receive basic training annually on their responsibilities as well as forms to use when reporting crimes in compliance with the Clery/Minger Acts. Further, all CSAs are contacted on an annual basis and advised to forward any reports for the fiscal year they may have failed to previously report.

## A CSA fits any of the following descriptions:

- 1. A campus police department or a campus security department. All individuals who work for these departments are CSAs.
- 2. Any individual(s) who have responsibility for campus security but who do not constitute a campus police department or a campus security department (e.g., an individual who is responsible for monitoring the entrance to University property.) For example, individuals who provide security at a campus parking kiosk, act as event security, or escort students around campus after dark.
- 3. Any individual or organization specified in an institution's statement of campus security policy as an individual or organization to which students and employees should report criminal offenses.
- 4. An official of an institution who has significant responsibility for student and campus activities, including, but not limited to, student housing, student discipline and campus judicial proceedings. An official is defined as any person who has the authority and the duty to take action or respond to particular issues on behalf of the institution.

The following are CSAs for the University per Administrative Regulation 6:7:

- Officers and employees of the University Police Department;
- Employees of Transportation Services;
- Employees of the Office of the Vice President for Student Affairs;
- Employees of colleges designated as Student Affairs Officers;
- Employees of the Athletics Department, including Committee of 101 volunteers;
- Employees and Students serving as advisors to registered student organizations;
- Employees of University Housing, specifically:
  - Associate Auxiliary Services Director
  - · Assistant Auxiliary Services Director
  - Housing Manager Undergraduate Assignments
  - Apartment Housing Manager
- Associate Vice President for Auxiliary Services
- Director of Student Health Services
- Any faculty or staff that lead or participate in education travel experiences that include students, whether credit bearing or non-credit bearing, including international or domestic travel.
- Other employees as designated

It is important to note that licensed pastoral or professional counselors acting in their professional capacity are not CSAs. Under the Clery Act, these counselors are under no obligation to report information they learn about through client interactions.

Preparation of the Annual Security Report: The Annual Security Report (ASR) is prepared each spring by CMP. The ASR outlines required safety and security information as well as crime tables for the previous three (3) calendar years. This report is published no later than October 1 of each year. The University sends an e-mail notification to all students, faculty, and staff with a link to the website to access this report. The ASR is available in print format, upon request, and is posted on the CMP website at <a href="https://www.uky.edu/cmp/annual-safety-security-reports">https://www.uky.edu/cmp/annual-safety-security-reports</a>. For prospective employees and students, the disclosure of the availability of the ASR is listed on the UK Jobs web page, <a href="https://atmission.uky.edu/apply">https://ukjobs.uky.edu/</a>, UK Admissions web page, <a href="https://atmission.uky.edu/apply">https://atmission.uky.edu/apply</a>, and UK Graduate School, <a href="https://gradschool.uky.edu/">https://gradschool.uky.edu/</a>, respectively. For further information on the ASR or to request a copy, please contact CMP at (859) 257-9567 or at cmp@uky.edu.

Additionally, CMP submits the annual crime statistics published in the ASR each year to the US Department of Education by October 1 for inclusion on their website.

# **Crime Statistics Location Definitions**

The crime statistics reported are broken down geographically according to the following Clery categories: On-campus, Residential Facility; Non-campus Property; and Public Property. The following definitions apply to these geographic categories:

**On-campus**: (1) Any building or property owned or controlled by an institution within the same reasonably contiguous geographic area (within one [1] mile) and used by the institution in direct support of, or in a manner related to, the institution's educational purposes, including residence halls; and (2) Any building or property that is within or reasonably contiguous to the area identified in paragraph (1) of this definition, that is owned by the institution but controlled by another person, is frequently used by students, and supports institutional purposes (such as a food or other retail vendor).

**Residential Facility:** This is a subset of on-campus geography. Any student housing facility that is owned or controlled by the institution or is located on property that is owned or controlled by the institution, and is considered reasonably contiguous (a location that students consider to be, and treat as, part of "campus." Generally speaking, it is usually within one [1] mile to Main Campus). It includes residence halls, student apartment housing or Greek sponsored housing facilities, if appropriate.

**Non-campus building or property:** (1) Any building or property owned or controlled by a student organization that is officially recognized by the institution; or (2) any building or property owned or controlled by an institution that is used in direct support of, or in relation to, the institution's educational purposes, is frequently used by students, and is not within the same reasonably contiguous geographic area (within one [1] mile) of the institution.

Non-Campus geography also includes buildings and properties (such, as hotel or academic

space) under the temporary control of the institution during student short-stay "away" trips (domestic or international) of more than one night or to repeated locations.

Table 19: Short-stay "Away" Trip Assessment for Non-Campus Geography

TYPE OF TRIP	STUDENT TRIP ACCOMMODATIONS FREQUENCY	STUDENT TRIP DURATION	NON-CAMPUS GEOGRAPHY
University-sponsored "field" trips to non-UK affiliated locations	None	Day Trip	NO
Student organized or private trips – no UK agreements or involvement	Unknown	1 night or more	NO
Overnight UK sponsored trip  – UK or *third party contractor arranged	You do not anticipate using the accommodations every year.  Does not meet the frequently-used-by-students criterion.	1 night	NO
Repeated Use of Location (trip accommodations and/or academic space) – UK or third-party contractor arranged	The same accommodations are used in two or more consecutive years	1 night or more	YES
Short-stay "away" trips – UK or third-party contractor arranged	You do not anticipate using the accommodations every year	2 or more nights	YES

<sup>\*</sup>Per Clery guidance "it is assumed that the contractor is operating on behalf of the school as the school's agent, putting the institution in control of this space."

**Public property**: All public property including thoroughfares, streets, sidewalks, and parking facilities, that is within the campus, or immediately adjacent to and accessible from the campus.

# **Definitions of Crimes**

According to the Clery Act, Criminal Offenses, Hate Crimes, arrests and disciplinary referrals must be classified based on the FBl's Uniform Crime Reporting (UCR) Program. The definitions for Murder, Rape, Robbery, Aggravated Assault, Burglary, Motor Vehicle Theft, Arson, Weapons Carrying, Possessing, Etc. Law Violations, Drug Abuse Violations, and Liquor Law Violations are from the *Summary Reporting System* (SRS) *User Manual* from the FBl's UCR Program. The definitions of Fondling, Incest and Statutory Rape are from the FBl's National Incident-Based Reporting System (NIBRS) *Data Collections Guidelines* edition of the UCR. Hate Crimes are classified according to the FBl's *Uniform Crime Reporting Hate Crime Data* 

Collection Guidelines and Training Manual. The categories of Domestic Violence, Dating Violence and Stalking are classified according to the definitions provided by the Violence against Women Act (VAWA) of 1994 and repeated in Clery Act regulations.

The Clery Act requires the disclosure of four (4) general categories of crime statistics: Criminal Offenses, Hate Crimes, VAWA Offenses, and Arrests and Referrals for Disciplinary Action.

#### **Criminal Offenses**

# **Criminal Homicide**

**Murder and Non-negligent Manslaughter:** The willful (non-negligent) killing of one human being by another.

Included in Murder and Non-negligent Manslaughter are death caused by injuries received in a fight, argument, quarrel, assault, or the commission of a crime.

**Manslaughter by Negligence:** The killing of another person through gross negligence. Included in Manslaughter by Negligence is any death caused by gross negligence of another. In other words, it is something that a reasonable and prudent person would not do.

# Sexual Assault (Sex Offenses)

Any sexual act directed against another person, without consent of the victim, including instances where the victim is incapable of giving consent. This includes attempts.

**Rape**: The penetration, no matter how slight, of the vagina or anus with any body part or object, or oral penetration by a sex organ of another person, without the consent of the victim. This offense includes the rape of both males and females.

**Fondling**: The touching of the private parts of another person for the purpose of sexual gratification, without consent of the victim, including instances where the victim is incapable of giving consent because of his/her age or because of his/her temporary or permanent mental incapacity.

**Incest**: Sexual intercourse between persons who are related to each other within degrees wherein marriage is prohibited by law.

**Statutory Rape**: Sexual intercourse with a person who is under the statutory age of consent. (In Kentucky, the age of consent is sixteen (16) years old.)

## **Other Offenses**

**Robbery**: The taking or attempting to take anything of value from the care, custody or control of a person or persons by force or threat of force or violence and/or by putting the victim in fear.

**Aggravated Assault**: An unlawful attack by one person upon another for the purpose of inflicting severe or aggravated bodily injury. This type of assault is usually accompanied by the use of a weapon or by means likely to produce death or great bodily harm. (Simple assaults are excluded.)

**Burglary**: The unlawful entry of a structure to commit a felony or a theft. For reporting purposes, this definition includes unlawful entry with intent to commit a larceny or felony; breaking and entering with intent to commit a larceny; housebreaking; safecracking; and all attempts to commit any of the aforementioned.

**Motor Vehicle Theft**: The theft or attempted theft of a motor vehicle. (This does not include thefts from motor vehicles.) This includes all cases where automobiles are taken by persons not having lawful access even though the vehicles are later abandoned – including joyriding.

**Arson**: Any willful or malicious burning or attempt to burn, with or without the intent to defraud, a dwelling house, public building, motor vehicle or aircraft, personal property of another, etc.

#### **Hate Crimes**

A Hate Crime is any criminal offense (as listed above) that manifests evidence that the victim was intentionally selected because of the perpetrator's bias against the victim. Bias is a preformed negative opinion or attitude toward a group of persons based on their race, gender, religion, disability, sexual orientation, or ethnicity/national origin – or the offender's perception thereof.

# The Clery Act Categories of Bias:

**Race**: A preformed negative attitude toward a group of persons who possess common physical characteristics (e.g., color of skin, eyes, and/or hair; facial features, etc.) genetically transmitted by descent and heredity which distinguish them as a distinct division of humankind (e.g., Asians, blacks or African Americans, whites).

**Religion:** A preformed negative opinion or attitude toward a group of persons who share the same religious beliefs regarding the origin and purpose of the universe and the existence or nonexistence of a supreme being (e.g., Catholics, Jews, Protestants, atheists).

**Sexual Orientation**: A preformed negative opinion or attitude toward a group of persons based on their actual or perceived sexual orientation. Sexual Orientation is the term for a person's physical, romantic, and/or emotional attraction to members of the same and/or opposite sex, including lesbian, gay, bisexual, and heterosexual (straight) individuals.

**Gender**: A preformed negative opinion or attitude toward a person or group of persons based on their actual or perceived gender (e.g., male or female).

**Gender Identity**: A preformed negative opinion or attitude toward a person or group of persons based on their actual or perceived gender identity (e.g., bias against transgender or gender nonconforming individuals).

**Ethnicity**: A preformed negative opinion or attitude toward a group of people whose members identify with each other, through a common heritage, often consisting of a common language, common culture (often including a shared religion) and/or ideology that stress a common ancestry.

**National Origin**: A preformed negative opinion or attitude toward a group of people based on their actual or perceived country of birth.

**Disability**: A preformed negative opinion or attitude toward a group of persons based on their physical or mental impairments, whether such disability is temporary or permanent, congenital or acquired by heredity, accident, injury, advanced age or illness.

For Clery Act purposes, Hate Crimes include any of the offenses listed above and the offenses below that are motivated by bias:

**Larceny-Theft**: The unlawful taking, carrying, leading, or riding away of property from the possession or constructive possession of another. (Larceny and theft mean the same thing in the UCR.) Constructive possession is the condition in which a person does not have physical custody or possession but is in a position to exercise dominion or control over a thing.

**Simple Assault**: An unlawful physical attack by one person upon another where neither the offender displays a weapon, nor the victim suffers obvious severe or aggravated bodily injury involving apparent broken bones, loss of teeth, possible internal injury, severe laceration, or loss of consciousness.

**Intimidation**: To unlawfully place another person in reasonable fear of bodily harm through the use of threatening words and/or other conduct, but without displaying a weapon or subjecting the victim to actual physical attack.

**Destruction/damage/Vandalism of Property**: To willfully or maliciously destroy, damage, deface or otherwise injure real or personal property without the consent of the owner or the person having custody or control of it.

#### **VAWA Offenses**

**Dating Violence**: Violence committed by a person who is or has been in a social relationship of a romantic or intimate nature with the victim. The existence of such a relationship shall be based on the reporting party's statement and with consideration of the length of the relationship, the type of relationship, and the frequency of interaction between the persons involved in the relationship. For purposes of this definition, dating violence includes, but is not limited to, sexual or physical abuse or the threat of such abuse. Dating violence does not include acts covered under the definition of domestic violence.

**Domestic Violence**: A felony or misdemeanor crime of violence committed by a current or former spouse or intimate partner of the victim; by a person with whom the victim shares a child in common; by a person who is cohabitating with or has cohabitated with the victim as a spouse or intimate partner; by a person similarly situated to a spouse of the victim under the domestic or family violence law of the jurisdiction in which the crime of violence occurred; or by any other person against an adult or youth victim who is protected from the person's acts under the domestic or family violence laws of the jurisdiction in which the crime of violence occurred.

To categorize an incident as Domestic Violence, the relationship between the perpetrator and the victim must be more than just two people living together as roommates. The people cohabitating must be current or former spouses or have an intimate relationship.

**Stalking**: Engaging in a course of conduct directed at a specific person that would cause a reasonable person to fear for the person's safety or the safety of others; or suffer substantial emotional distress.

# Arrests and Disciplinary Referrals for Violation of Weapons, Drug Abuse, and Liquor Laws

The Clery Act requires reporting of arrests and referrals for disciplinary actions for the following violations:

**Weapons** (carrying, possessing, etc.): The violation of laws or ordinances prohibiting the manufacture, sale, purchase, transportation, possession, concealment, or use of firearms, cutting instruments, explosives, incendiary devices or other deadly weapons. This classification also encompasses weapons offenses that are regulatory in nature.

**Drug Abuse Violations**: The violation of laws prohibiting the production, distribution and/or use of certain controlled substances and the equipment or devices utilized in their preparation and/or use. The unlawful cultivation, manufacture, distribution, sale, purchase, use, possession, transportation or importation of any controlled drug or narcotic substance. Arrests for violations of state and local laws, specifically those relating to the unlawful possession, sale, use, growing, manufacturing, and making of narcotic drugs.

**Liquor Law Violations**: The violation of state or local laws or ordinances prohibiting: the manufacture, sale, purchase, transportation, possession, or use of alcoholic beverages, not including driving under the influence and drunkenness.

### **Unfounded Crimes**

**Unfounded Crimes**: A crime may only be classified as unfounded after a full investigation by a sworn or commissioned law enforcement personnel. A crime is considered unfounded (for Clery Act purposes) if sworn or commissioned law enforcement personnel make a formal determination that the report is false or baseless. Crime reports can be properly determined to be false only if the evidence from a complete and thorough investigation establishes that the crime reported was not, in fact, completed or attempted in any manner. Crime reports can be determined to be baseless only if the allegations reported did not meet the elements of the offense or were improperly classified as crimes in the first place. As such, for *Clery Act* purposes, the determination to unfound a crime can be made only when the totality of available information specifically indicates that the report was false or baseless.

### **Rules for Counting Crimes**

For Clery Act reporting purposes, crimes are counted for statistical purposes in the following manner. Crimes may be counted based on the number of victims, number of individuals arrested/cited/referred or number of distinct operations.

Table 20: Rules for Counting Crimes

CRIME CLASSIFICATION	COUNT Count One Offense Per Victim
Murder/Non-Negligent Manslaughter	
Manslaughter by Negligence Sexual Assault	Count One Offense Per Victim
Sortadi / locadit	Count One Offense Per Victim
(Rape, Fondling, Incest, Statutory Rape) Aggravated Assault	Count One Offense Per Victim
Aggravateu Assault	Count One Onense Fer Victim
Liquor Law Violation Arrest/Citation	Count One Offense Per Individual Arrested/Cited
Drug Law Violation Arrest/Citation	Count One Offense Per Individual Arrested/Cited
Weapons Violation Arrest/Citation	Count One Offense Per Individual Arrested/Cited
Liquor Law Violation Referral	Count One Offense Per Individual Referred to Student Conduct
Drug Law Violation Referral	Count One Offense Per Individual Referred to Student Conduct
Weapons Violation Referral	Count One Offense Per Individual Referred to Student Conduct
•	
Motor Vehicle Theft	Count One Offense for Each Stolen Vehicle
Robbery	Count One Offense for Each Distinct Operation
Burglary	Count One Offense for Each Distinct Operation
Arson	Count One Offense for Each Distinct Incident

Hate crimes are independent of the primary crime tables and instead reported in narrative format indicating both the crime and bias classification. A Hate Crime is a criminal offense committed against a person or property which is motivated/intentionally selected due to the offender's bias. For Clery Act purposes, Hate Crimes include any of the following offenses motivated by bias: Murder/Non-Negligent Manslaughter, Sexual Assault (Rape, Fondling, Incest, Statutory Rape), Robbery, Aggravated Assault, Burglary, Motor Vehicle Theft, Arson, Larceny-Theft, Simple Assault, Intimidation, and Destruction/Damage/Vandalism of Property. Note: Hate Crimes are counted in the same manner the offenses are when they are not modified by hate.

## Crime Tables

Activity reported by calendar year

Data compiled in accordance with the most recent US Department of Education 2016 guidance

### **Main Campus**

Table 21: Main Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	23	18	0	0	23
	2020	15	11	1	0	16
	2021	34	27	0	0	34
Fondling	2019	20	8	1	0	21
	2020	10	3	0	0	10
	2021	21	3	0	1	22
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	2	0	0	0	2
	2020	0	0	0	2	2
	2021	0	0	0	0	0

Table 21 (continued).

	2019	3	0	0	1	4
Aggresseted Aggestlt	2020	3	2	0	0	3
Aggravated Assault	2021	7	2	0	0	7
	2019	15	3	0	0	15
Duralen/	2020	9	1	1	0	10
Burglary	2021	7	3	0	0	7
	2019	13	0	0	1	14
Motor Vehicle Theft	2020	12	0	0	0	12
Motor venicle Their	2021	22	0	0	1	23
	2019	2	0	0	0	2
A 40 0 0	2020	2	2	0	0	2
Arson	2021	0	0	0	0	0

Table 22: Main Campus Violence Against Women Act (VAWA) Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	10	0	0	1	11
Domestic Violence	2020	7	1	0	2	9
Domestic violence	2021	14	4	1	0	15
	2019	39	27	0	1	40
Dating Violence	2020	11	7	0	0	11
Dating Violence	2021	11	6	0	0	11
	2019	34	10	0	0	34
<b>.</b>	2020	16	9	0	0	16
Stalking	2021	13	3	0	0	13

Table 23: Main Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	2 1 0	2 0 0	0 0 0	0 2 0	2 3 0
Arrests: Drug Abuse Violations	2019 2020 2021	67 41 37	26 10 3	1 0 0	43 26 13	111 67 50
Arrests: Weapons Carrying, Possession, etc.	2019 2020 2021	1 0 1	0 0 0	0 0 0	1 2 1	2 2 2
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	822 688 454	819 686 452	0 3 0	0 1 0	822 692 454
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	85 42 26	80 41 26	0 0 0	1 1 0	86 43 26
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - One (1) On-Campus Simple Assault characterized by religious bias reported for Main Campus.

2020 - Zero (0) hate crimes were reported for Main Campus.

2021 - Zero (0) hate crimes were reported for Main Campus.

### **Unfounded Crimes:**

**2019** - Four (4) crimes were unfounded by UKPD Officers.

2020 - Five (5) crimes were unfounded by UKPD Officers.

2021 - Seven (7) crimes were unfounded by UKPD Officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus, Residential Facilities is a subset of On Campus.

### **North Farm Campus**

Table 24: North Farm Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	1	0	0	0	1**
	2020	1	0	0	0	1**
	2021	4	0	0	0	4**
Fondling	2019	6	0	0	0	6**
	2020	7	0	0	0	7**
	2021	3	0	0	0	3**
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	4	0	0	0	4**
	2021	13	0	0	0	13**

Table 24 (continued).

	2019	2	0	0	0	2
Duralon.	2020	0	0	0	0	0
Burglary	2021	1	0	0	0	1
	2019	0	0	0	0	0
Motor Vobiolo Thoff	2020	0	0	0	0	0
Motor Vehicle Theft	2021	0	0	0	0	0
	2019	2	0	0	0	2***
Arson	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 25: North Farm Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	1	0	0	0	1**
Dating Violence	2020	0	0	0	0	0
Dating violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Stalking	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 26: North Farm Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Arrests: Liquor Law	2020	0	0	0	0	0
Violations	2021	0	0	0	0	0

Table 26 (continued).

	2019	0	0	0	0	0
Arrests: Drug Abuse	2020	0	0	0	0	0
Violations	2021	0	0	0	0	0
	2019	0	0	0	0	0
Arrests: Weapons	2020	0	0	0	0	0
Carrying, Possessing, etc.	2021	0	0	0	0	0
	2019	0	0	0	0	0
Disciplinary Referrals:	2020	0	0	0	0	0
Liquor Law Violations	2021	0	0	0	0	0
	2019	0	0	0	0	0
Disciplinary Referrals:	2020	0	0	0	0	0
Drug Abuse Violations	2021	0	0	0	0	0
_	2019	0	0	0	0	0
Disciplinary Referrals:	2020	0	0	0	0	0
Weapons: Carrying, Possessing, etc.	2021	0	0	0	0	0

2019 - Zero (0) hate crimes were reported for North Farm Campus.

2020 - Zero (0) hate crimes were reported for North Farm Campus.

2021 - Zero (0) hate crimes were reported for North Farm Campus.

#### **Unfounded Crimes:**

**2019** - Nine (9) crimes were unfounded by UKPD officers. These incidents were reported from an inpatient psychiatric facility.

**2020** - Four (4) crimes were unfounded by UKPD officers. These incidents were reported from an inpatient psychiatric facility.

**2021** - Two (2) crimes were unfounded by UKPD officers. These incidents were reported from an inpatient psychiatric facility.

\*Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

<sup>\*\*</sup>Occurred specifically at Eastern State Hospital, an inpatient psychiatric facility.

<sup>\*\*\*</sup>One (1) occurred specifically at Eastern State Hospital, an inpatient psychiatric facility.

### **South Farm Campus**

Table 27: South Farm Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	2	0	0	0	2
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 27 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 28: South Farm Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020	0	0	0	0	0
Dating violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Stalking	2020	0	0	0	0	0
Staikilly	2021	0	0	0	0	0

Table 29: South Farm Campus Arrests & Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for South Farm Campus.

2020 - Zero (0) hate crimes were reported for South Farm Campus.

2021 - Zero (0) hate crimes were reported for South Farm Campus.

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Little Research Campus**

Table 30: Little Research Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 30 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 31: Little Research Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic Violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020 2021	0	0	0	0	0
	2019	0	0	0	0	0
2	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 32: Little Research Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

**2019** - Zero (0) hate crimes were reported for Little Research Campus.

2020 - Zero (0) hate crimes were reported for Little Research Campus.

2021 - Zero (0) hate crimes were reported for Little Research Campus.

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Robinson Forest Campus**

Table 33: Robinson Forest Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	1	0	0	0	1
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 33 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 34: Robinson Forest Campus VAWA Offenses

0
0
0
0
0
0
0
0
0

Table 35: Robinson Forest Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Robinson Forest Campus.

2020 - Zero (0) hate crimes were reported for Robinson Forest Campus.

2021 - Zero (0) hate crimes were reported for Robinson Forest Campus.

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Western Kentucky Campus**

Table 36: Western Kentucky Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 36 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 37: Western Kentucky Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020	0	0	0	0	0
Dating Violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Stalking	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 38: Western Kentucky Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Western Kentucky Campus.

2020 - Zero (0) hate crimes were reported for Western Kentucky Campus.

2021 - Zero (0) hate crimes were reported for Western Kentucky Campus.

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Paducah Campus**

Table 39: Paducah Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 39 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
	2019	1	0	0	0	1
Aroon	2020	0	0	0	0	0
Arson	2021	0	0	0	0	0

Table 40: Paducah Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	1	0	0	0	1
Domestic Violence	2020	0	0	0	0	0
Domestic Violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violance	2020	0	0	0	0	0
Dating Violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Ctalking	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 41: Paducah Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Paducah Campus.

**2020** - Zero (0) hate crimes were reported for Paducah Campus.

2021 - Zero (0) hate crimes were reported for Paducah Campus.

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Hazard Campus**

Table 42: Hazard Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 42 (continued)

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 43: Hazard Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020	0	0	0	0	0
Dating violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Stalking	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 44: Hazard Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Hazard Campus.

**2020** - Zero (0) hate crimes were reported for Hazard Campus.

2021 - Zero (0) hate crimes were reported for Hazard Campus.

#### **Unfounded Crimes:**

**2019** - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### **Bowling Green Campus – College of Medicine**

Table 45: Bowling Green Campus Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Murder Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
	2019	0	0	0	0	0
Manslaughter by	2020	0	0	0	0	0
Negligence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dana	2020	0	0	0	0	0
Rape	2021	0	0	0	0	0
	2019	0	0	0	0	0
Fandling	2020	0	0	0	0	0
Fondling	2021	0	0	0	0	0
	2019	0	0	0	0	0
lmanet	2020	0	0	0	0	0
Incest	2021	0	0	0	0	0
	2019	0	0	0	0	0
<b>3</b>	2020	0	0	0	0	0
Statutory Rape	2021	0	0	0	0	0
	2019	0	0	0	0	0
	2020	0	0	0	0	0
Robbery	2021	0	0	0	0	0
	2019	0	0	0	0	0
Aggreyated Assert	2020	0	0	0	0	0
Aggravated Assault	2021	0	0	0	0	0
	2019	0	0	0	0	0
_	2020	0	0	0	0	0
Burglary	2021	0	0	0	0	0

Table 45 (continued).

	2019	0	0	0	0	0
	2020	0	0	0	0	0
Motor Vehicle Theft	2021	0	0	0	0	0
	2019	0	0	0	0	0
Avoon	2020	0	0	0	0	0
Arson	2021	0	0	0	0	0

Table 46: Bowling Green Campus VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020	0	0	0	0	0
Dating Violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Stalking	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 47: Bowling Green Campus Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Bowling Green Campus.

2020 - Zero (0) hate crimes were reported for Bowling Green Campus.

2021 - Zero (0) hate crimes were reported for Bowling Green Campus.

#### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### Northern Kentucky Campus - College of Medicine (Highland Heights)

Table 48: Northern Kentucky Campus (Highland Heights) Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	0	0	0	0	0
Non-Negligent	2020	0	0	0	0	0
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Fondling	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Incest	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Statutory Rape	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Robbery	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Aggravated Assault	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Burglary	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 48 (continued).

Motor Vehicle Theft	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0
Arson	2019	0	0	0	0	0
	2020	0	0	0	0	0
	2021	0	0	0	0	0

Table 49: Northern Kentucky Campus (Highland Heights) VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
	2019	0	0	0	0	0
Domestic Violence	2020	0	0	0	0	0
Domestic violence	2021	0	0	0	0	0
	2019	0	0	0	0	0
Dating Violence	2020	0	0	0	0	0
Dating violence	2021	0	0	0	0	0
	2019	1	0	0	0	1
Stalking	2020	0	0	0	0	0
Stalking	2021	0	0	0	0	0

Table 50: Northern Kentucky Campus (Highland Heights) Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	0 0 0	0 0 0	0 0 0	0 0 0	0 0 0

2019 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Highland Heights).

2020 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Highland Heights).

2021 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Highland Heights).

### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

### Northern Kentucky Campus – College of Medicine (Edgewood)

Note: The Northern Kentucky Campus at Edgewood became a separate campus in the University of Kentucky campus system in May 2021. There is no data for 2019 or 2020.

Table 51: Northern Kentucky Campus (Edgewood) Criminal Offenses

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Murder	2019	N/A	N/A	N/A	N/A	N/A
Non-Negligent	2020	N/A	N/A	N/A	N/A	N/A
Manslaughter	2021	0	0	0	0	0
Manslaughter by Negligence	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Rape	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Fondling	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Incest	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Statutory Rape	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	O	0
Robbery	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Aggravated Assault	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0

Table 51 (continued).

Burglary	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Motor Vehicle Theft	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Arson	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0

Table 52: Northern Kentucky Campus (Edgewood) VAWA Offenses

OFFENSE (Crimes Not Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Domestic Violence	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Dating Violence	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0
Stalking	2019	N/A	N/A	N/A	N/A	N/A
	2020	N/A	N/A	N/A	N/A	N/A
	2021	0	0	0	0	0

Table 53: Northern Kentucky Campus (Edgewood) Arrests and Disciplinary Referrals

OFFENSE (Reported by Hierarchy)	Year	On Campus	On Campus – Residential Facilities	Non- Campus	Public Property	*Total
Arrests: Liquor Law Violations	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Arrests: Drug Abuse Violations	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Arrests: Weapons Carrying, Possessing, etc.	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Disciplinary Referrals: Liquor Law Violations	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Disciplinary Referrals: Drug Abuse Violations	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0
Disciplinary Referrals: Weapons: Carrying, Possessing, etc.	2019 2020 2021	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0	N/A N/A 0

2019 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Edgewood).

2020 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Edgewood).

2021 - Zero (0) hate crimes were reported for Northern Kentucky Campus (Edgewood).

#### **Unfounded Crimes:**

2019 - Zero (0) crimes were unfounded by UKPD officers.

2020 - Zero (0) crimes were unfounded by UKPD officers.

<sup>\*</sup>Total = On Campus + Non-Campus + Public Property. On Campus Residential Facilities is a subset of On Campus.

This report is provided as part of the University of Kentucky's commitment to the safety and security of its campus community in compliance with the federal Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act and the state Michael Minger Life Safety Act.

To view the Annual Safety and Security Report, visit <a href="https://www.uky.edu/cmp/annual-safety-security-reports">https://www.uky.edu/cmp/annual-safety-security-reports</a>. Click on the year you wish to view.

To obtain a printed copy of the Annual Safety and Security Report, please contact the Division of Crisis Management and Preparedness at (859) 257-9665 or email <a href="mailto:CMP@uky.edu">CMP@uky.edu</a>.



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